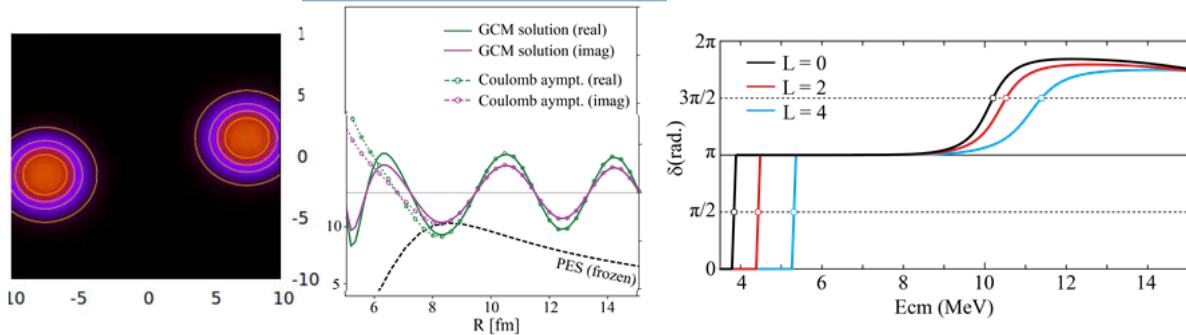


GCM + Time-Dependent Approach for sub-barrier reaction

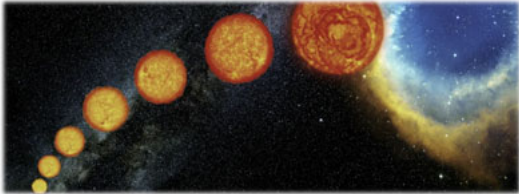
- Toward microscopic description of sub-barrier fusion -

M. Kimura (RIKEN)



Astrophysical fusion reactions

Fusion of light elements such as $^{12}\text{C}+^{12}\text{C}$ and $^{16}\text{O}+^{16}\text{O}$ play essential roles in various astrophysical phenomena.



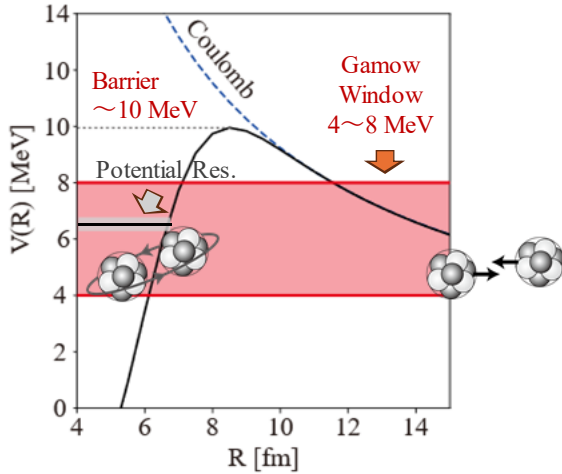
- Stellar evolution and nucleosynthesis
- Type Ia supernovae
- Core-collapse supernovae
- X-ray superbursts

Reaction	Astrophysical phenomena	T[GK]	Gamow Window
$^{12}\text{C}+^{12}\text{C}$	SN Ia, Superbursts	0.5 – 1.0	1.5 – 3.0 MeV
$^{12}\text{C}+^{16}\text{O}$	Massive-star evolution	1.0 – 2.0	3.0 – 5.0 MeV
$^{16}\text{O}+^{16}\text{O}$	Core-collapse SN	1.5 – 2.5	4.0 – 8.0 MeV

Astrophysical fusion reactions

All these reactions occur well below the Coulomb barrier

e.g. $^{16}\text{O}+^{16}\text{O}$: Coulomb barrier 10MeV, GW 4 – 8 MeV.



- Classically forbidden, purely quantum phenomena
- S-wave ($J = 0$) dominance (requiring J -projected description)
- Potential resonance & compound state



Quite challenging issue in quantum many-body problems

Coupled Channel approach to sub-barrier fusion

Schematic two-channel model for $^{16}\text{O}+^{16}\text{O}$ (coupling to the 3^- octupole excitation)

$$\left[-\frac{\hbar^2}{2\mu} \frac{d^2}{dR^2} + \begin{pmatrix} U_L(R) & V_{cc}(R) \\ V_{cc}(R) & U_L(R) + E_{3^-}^* \end{pmatrix} \right] \begin{pmatrix} \chi_{g.s.}(R) \\ \chi_{3^-}(R) \end{pmatrix} = E_{cm} \begin{pmatrix} \chi_{g.s.}(R) \\ \chi_{3^-}(R) \end{pmatrix}$$

K. Hagino et al., CPC 123 (1999), PTP 128, 1061 (2012)

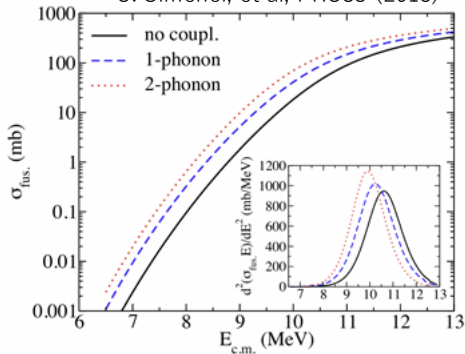
In the adiabatic/sudden limit, channel coupling generates eigen-barriers, effectively lowering the fusion barrier.

Direct and coupling potentials are usually introduced phenomenologically. (Use of TDHF/DC-TDHF have also been explored)

A. S. Umar and V. E. Oberacker, PRC 74, 021601(R) (2006)

Full-microscopic description is challenging and interesting

C. Simenel, et al, PRC88 (2013)



Motivation and theoretical recipe

Ultimate goal: Microscopic description of quantum tunneling in heavy-ion reactions

Key question: How do effective channel couplings emerge from many-body dynamics?

First step: We employ a computationally tractable **time-dependent cluster model** and focus on **subbarrier scattering** to investigate essential collective dynamics

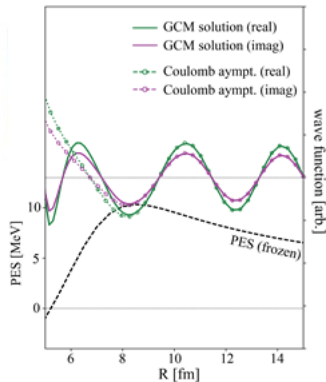
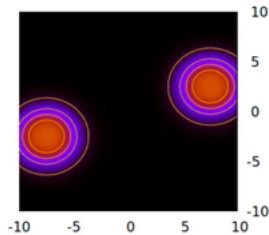
Theoretical Recipe

Step 1: Time-dependent cluster dynamics

Generate dynamical basis states from above-barrier reactions

Step 2: GCM + Kohn variational method for scattering

Extract phase shifts and resonance structures



Microscopic α -cluster model

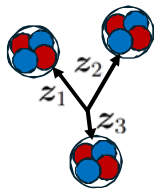
The α -cluster model simplifies a nucleus as composed of α -clusters

$$\Phi_{N\alpha}(\mathbf{z}_1, \dots, \mathbf{z}_N) := \mathcal{A}' \{ \Phi_\alpha(\mathbf{z}_1) \cdots \Phi_\alpha(\mathbf{z}_N) \},$$

Each α cluster has a $(0s)^4$ configuration localized at a phase-space point \mathbf{z} ($\langle \mathbf{r} \rangle \propto \Re \mathbf{z}$, $\langle \mathbf{p} \rangle \propto \Im \mathbf{z}$)

$$\Phi_\alpha(\mathbf{z}) := \mathcal{A} \{ \phi(\mathbf{r}_1, \mathbf{z}) \chi_{p\uparrow} \cdots \phi(\mathbf{r}_4, \mathbf{z}) \chi_{n\downarrow} \},$$

$$\phi(\mathbf{r}, \mathbf{z}) := \left(\frac{2\nu}{\pi} \right)^{3/4} \exp \{ -\nu (\mathbf{r} - \mathbf{z})^2 \},$$



e.g., 3 α system (^{12}C)

Microscopic Hamiltonian with effective NN and NNN interactions

- Reproduces α - α phase shifts
- Reasonable description of excitation spectra in ^{12}C and ^{16}O

$$H = \sum_i t_i - t_{cm} + \sum_{i<j} v_{ij} + \sum_{i<j<k} v_{ijk}$$

A. Tohsaki, PRC 49, (1994)

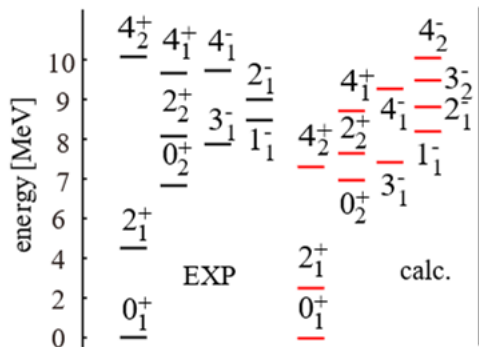
GCM with microscopic α - cluster model

- Low-lying spectra of ^{12}C and ^{16}O are reasonably described within the GCM framework.

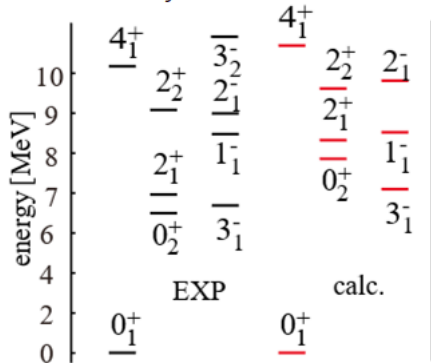
$$\Phi_{GCM} = \sum_p c_p P^J \Phi_{N\alpha}(z_1^{(p)}, \dots, z_N^{(p)}) \quad \text{Imai et al., PRC99 (2019)}$$

- The 3^- state of ^{16}O , which is important for subbarrier reactions, is reasonably reproduced.

3 α system (^{12}C)



4 α system (^{16}O)



Time-dependent α - cluster model

The phase space positions of α particles are time dependent.

$$\mathbf{z} \rightarrow \mathbf{z}(t) \quad \text{E. Caurier, et al., PLB109, (1982)}$$

$$\Phi_{N\alpha}(t) := \Phi_{N\alpha}(\mathbf{z}_1(t), \dots, \mathbf{z}_N(t)) = \mathcal{A}' \{ \Phi_{\alpha}(\mathbf{z}_1(t)) \cdots \Phi_{\alpha}(\mathbf{z}_N(t)) \},$$

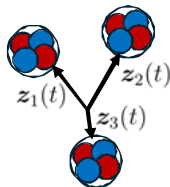
TDVP

$$\delta \int dt \frac{\langle \Phi_{N\alpha}(t) | i\hbar \partial_t - H | \Phi_{N\alpha}(t) \rangle}{\langle \Phi_{N\alpha}(t) | \Phi_{N\alpha}(t) \rangle} = 0$$



EOM

$$i\hbar \sum_j C_{ij} \frac{d}{dt} \mathbf{z}_j(t) = \frac{\partial}{\partial \mathbf{z}_i^*} \frac{\langle \Phi_{N\alpha}(t) | H | \Phi_{N\alpha}(t) \rangle}{\langle \Phi_{N\alpha}(t) | \Phi_{N\alpha}(t) \rangle},$$

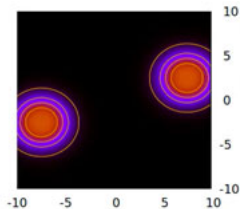


PROS

- Free from spurious center-of-mass excitation
- Small comp. cost \Leftrightarrow Projections, GCM, etc.

CONS

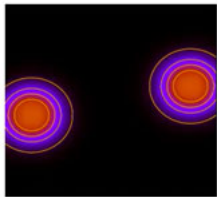
- Too small DOF, oversimplified
- Weak one-body dissipation, No two-body dissipation



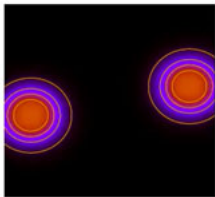
Reaction map of $^{16}\text{O}+^{16}\text{O}$ by TDCM

- Reaction pattern can be classified to “Reflection”, “Capture”, “Inelastic”
- Narrow window for “Capture” due to weak dissipation (small DOF)
- In the “Inelastic” process, ^{16}O is octupole excited.

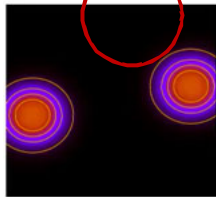
Reflection



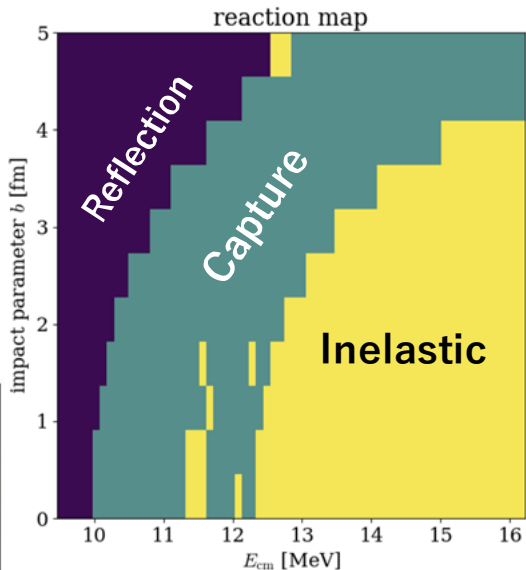
Capture



Inelastic



Analyze ^{16}O
after scattering



Anatomy of ^{16}O after the scattering

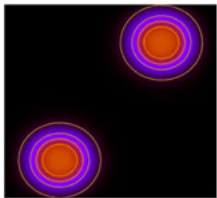
Excitation energy of ^{16}O

$$E^*(^{16}\text{O}) = \langle \Phi_{4\alpha}(t) | H | \Phi_{4\alpha}(t) \rangle - E_{g.s.}(^{16}\text{O})$$

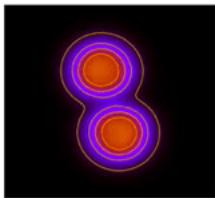
- 1.0 ~ 1.7 MeV excitation after “Inelastic” event
- A few hundreds keV excitation after “Reflection”

Analyze ^{16}O
after scattering

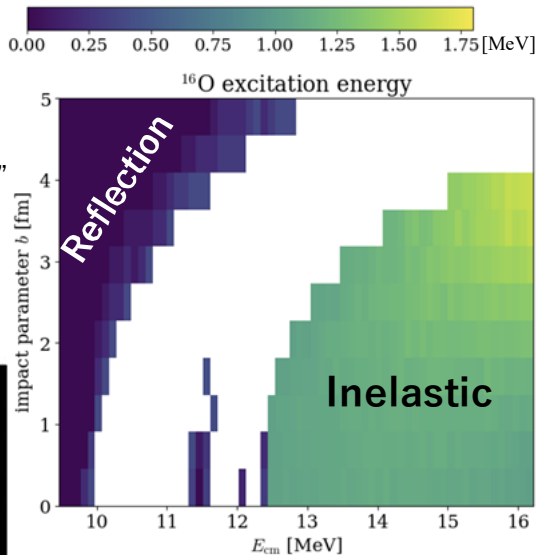
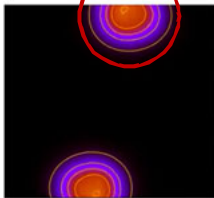
Reflection



Capture



Inelastic



Anatomy of ^{16}O after the scattering

Angular momentum decomposition of ^{16}O

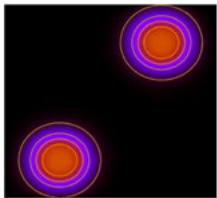
Probability to find the state with angular momentum J

$$P(J) = \sum_K \frac{\langle \Phi_{4\alpha}(t) | P_{KK}^J | \Phi_{4\alpha}(t) \rangle}{\langle \Phi_{4\alpha}(t) | \Phi_{4\alpha}(t) \rangle}$$

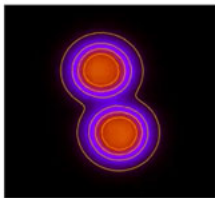
- 8~12% are excited to $J \neq 0$ states after “Inelastic”
- A few % excitation after “Reflection”
- Most of them (80%) are $J = 3^-$

Analyze ^{16}O
after scattering

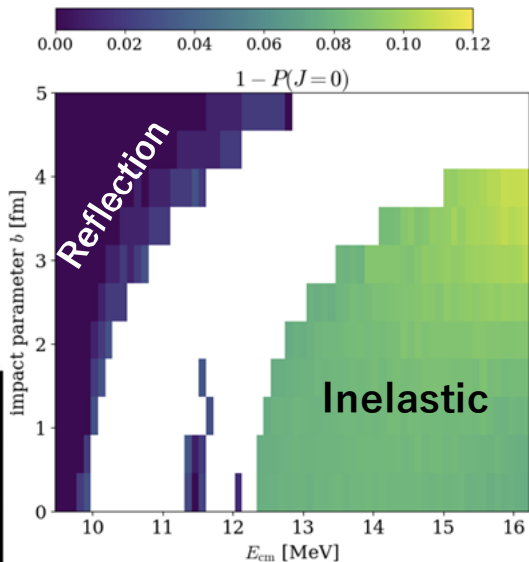
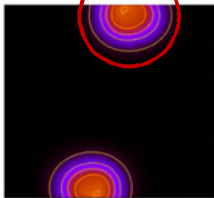
Reflection



Capture



Inelastic



Anatomy of ^{16}O after the scattering

Angular momentum decomposition of ^{16}O

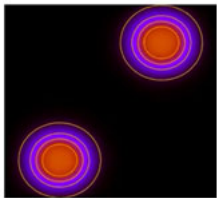
Probability to find the state with angular momentum J

$$P(J) = \sum_K \frac{\langle \Phi_{4\alpha}(t) | P_{KK}^J | \Phi_{4\alpha}(t) \rangle}{\langle \Phi_{4\alpha}(t) | \Phi_{4\alpha}(t) \rangle}$$

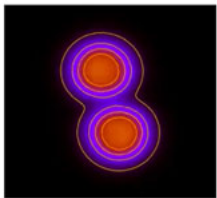
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Analyze ^{16}O
after scattering

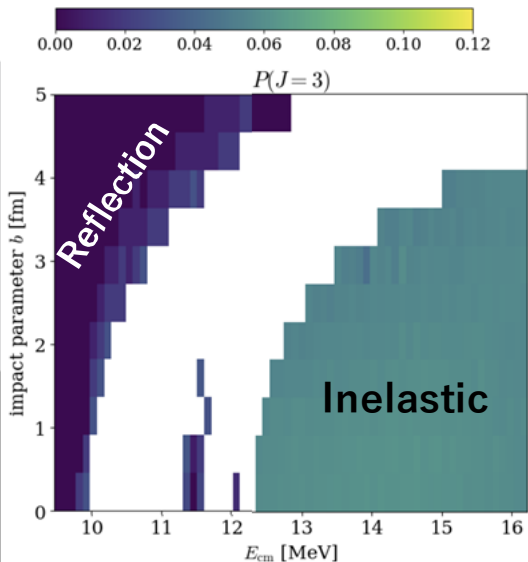
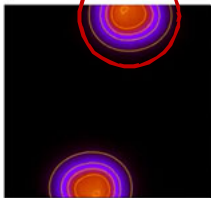
Reflection



Capture



Inelastic



GCM for nucleus-nucleus scattering

- Linear operator for scattering of $^{16}\text{O}+^{16}\text{O}$

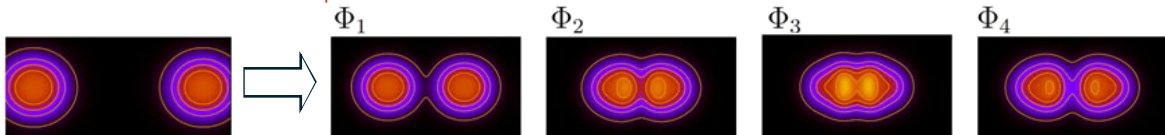
$$\mathcal{L} = H_{^{16}\text{O}+^{16}\text{O}} - 2H_{^{16}\text{O}} - E_{cm}$$

- GCM ansatz for scattering of $^{16}\text{O}+^{16}\text{O}$

$$\Phi_{GCM} = \sum_i c_i \Phi_i + \Phi^{(-)} + S_l \Phi^{(+)} \quad \text{with boundary cond. (incoming/outgoing Coulomb wave)}$$

$$\Phi^{(\pm)} \rightarrow H_L^{(\pm)}(k_{cm}R) \Phi_{^{16}\text{O}} \Phi_{^{16}\text{O}} \quad \text{as } R \rightarrow \infty$$

Superpose
the TDCM snapshot



- Kohn variational principle for scattering problems W. Kohn, Phys. Rev. 74 (1948)

$$\delta \left(S_l + i \frac{\mu}{k_{cm}} \langle \Phi_{GCM} | \mathcal{L} | \Phi_{GCM} \rangle \right) = 0 \quad \Rightarrow \quad \text{GCM equations for scattering.}$$

GCM for nucleus-nucleus scattering

○ GCM equation for scatt. problem Y. Mito et al., Prog. Theor. Phys. 56 (1976)

Linear equations with coefficient c_i and S-matrix S_l as output

Computational cost is almost same with the ordinary GCM for the bound states

$$\begin{pmatrix} \langle P^L \Phi_1 | \mathcal{L} | P^L \Phi_1 \rangle & \cdots & \langle P^L \Phi_1 | \mathcal{L} | P^L \Phi_N \rangle & \langle P^L \Phi_1 | \mathcal{L} | \Phi^{(+)} \rangle \\ \vdots & & \vdots & \vdots \\ \langle P^L \Phi_N | \mathcal{L} | P^L \Phi_1 \rangle & \cdots & \langle P^L \Phi_N | \mathcal{L} | P^L \Phi_N \rangle & \langle P^L \Phi_N | \mathcal{L} | \Phi^{(+)} \rangle \\ \langle \Phi^{(+)} | \mathcal{L} | P^L \Phi_1 \rangle & \cdots & \langle \Phi^{(+)} | \mathcal{L} | P^L \Phi_N \rangle & \langle \Phi^{(+)} | \mathcal{L} | \Phi^{(+)} \rangle \end{pmatrix} \begin{pmatrix} c_1 \\ \vdots \\ c_N \\ -S_l \end{pmatrix} = - \begin{pmatrix} \langle P^L \Phi_1 | \mathcal{L} | \Phi^{(-)} \rangle \\ \vdots \\ \langle P^L \Phi_N | \mathcal{L} | \Phi^{(-)} \rangle \\ \langle \Phi^{(+)} | \mathcal{L} | \Phi^{(-)} \rangle \end{pmatrix}$$

○ GCM equation for structure problems

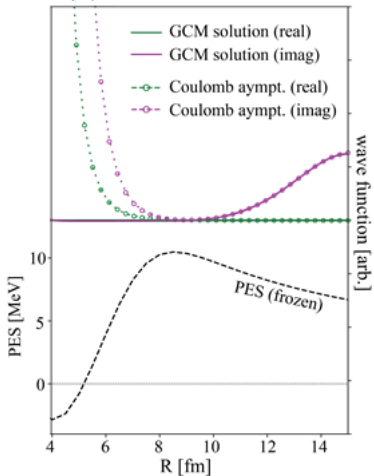
Eigenvalue problem with eigenvector c_i and eigen-energy E

$$\begin{pmatrix} \langle \Phi_1 | H - E | \Phi_1 \rangle & \cdots & \langle \Phi_1 | H - E | \Phi_N \rangle \\ \vdots & & \vdots \\ \langle \Phi_N | H - E | \Phi_1 \rangle & \cdots & \langle \Phi_N | H - E | \Phi_N \rangle \end{pmatrix} \begin{pmatrix} c_1 \\ \vdots \\ c_N \end{pmatrix} = 0$$

Solving GCM for the scattering

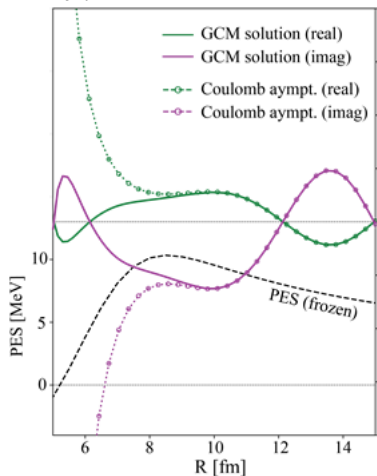
Below barrier

$E_{cm} = 8 \text{ MeV}$, $\delta = 0 \text{ deg.}$



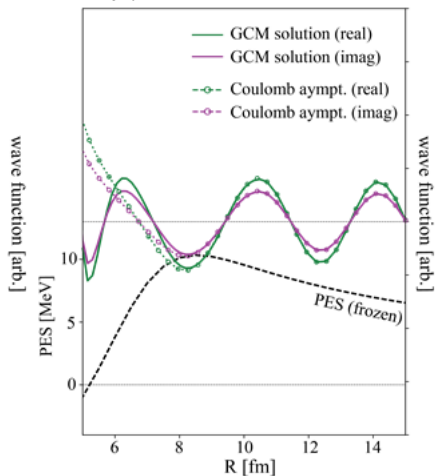
Just below barrier

$E_{cm} = 9.5 \text{ MeV}$, $\delta = 24 \text{ deg.}$



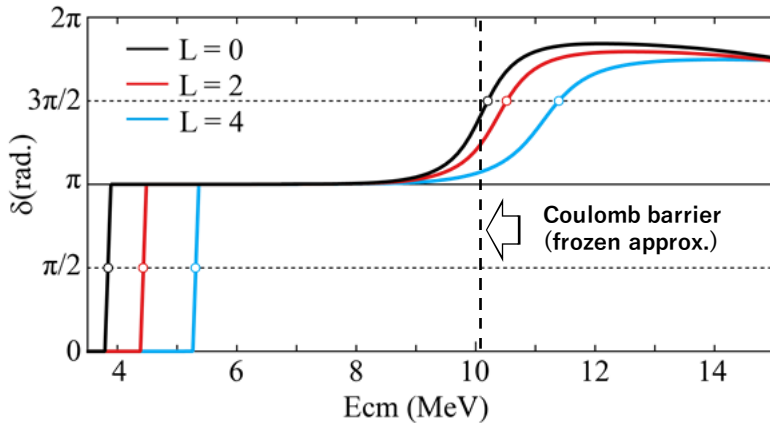
Above barrier

$E_{cm} = 14 \text{ MeV}$, $\delta = 141 \text{ deg.}$



^{16}O - ^{16}O phase shift from GCM

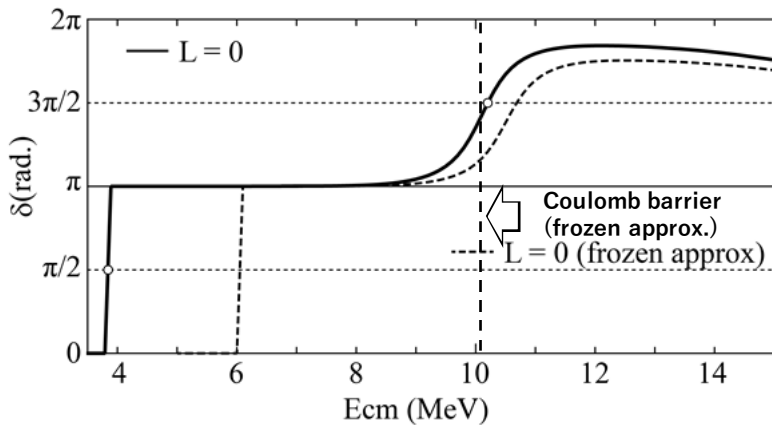
- Barrier top resonances with $L=0, 2$ and 4
- Below the barrier, the phase shift is already positive (tunneling)
- Deep sub-barrier resonances around $E_{\text{cm}} = 4$ to 6 MeV



$^{16}\text{O}-^{16}\text{O}$ phase shift from GCM

Effect of the inelastic channel (3 $^-$)

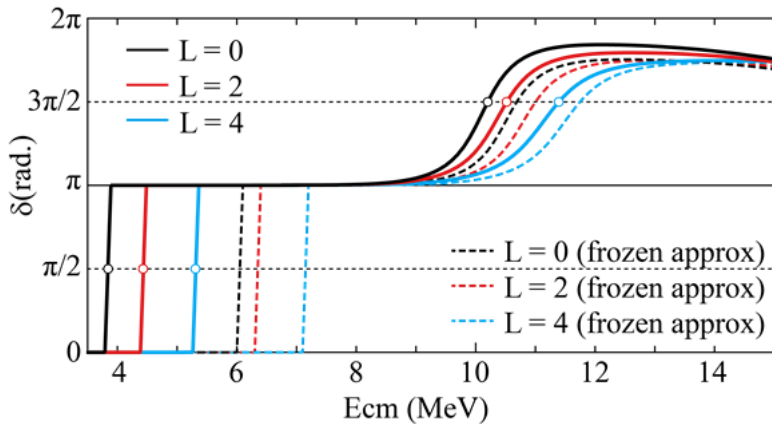
- Barrier top and deep sub-barrier resonances are shifted down
- Tunneling occurs at smaller energies and interaction becomes more attractive



$^{16}\text{O}-^{16}\text{O}$ phase shift from GCM

Effect of the inelastic channel (3 $^-$)

- Barrier top and deep sub-barrier resonances are shifted down
- Tunneling occurs at smaller energies and interaction becomes more attractive
- The trend is common to other partial waves



Summary and outlook

Summary

- Microscopic understanding of sub-barrier fusion is a challenging problem.
- We introduced a method to describe nucleus–nucleus scattering using basis states generated by TDCM.
- By solving the GCM for scattering problem, sub-barrier scattering and deep sub-barrier resonances are described microscopically.

Outlook

- Upgrade basis wave functions to more realistic frameworks such as TDAMD and TDHF.
- Extend the formalism to coupled-channel dynamics.
- Coupling to CN and their decay channels must be incorporated.