

Collective masses for fusion/fission dynamics

Takashi Nakatsukasa

Center for Comp. Sci., Univ. of Tsukuba

Collaborator

Nobuo Hinohara, Kohei Washiyama, Kai Wen



ERATO



2026.5.11-15 @FD2026, Chongqing, China



Macroscopic model of sub-barrier fusion and spontaneous fission

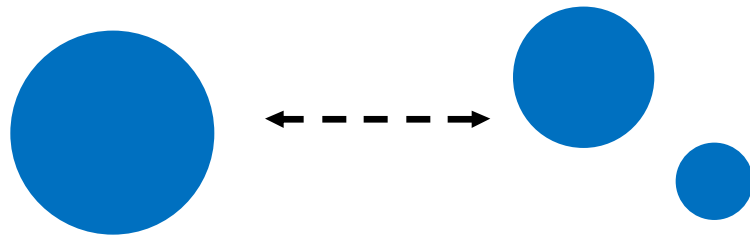
Macroscopic (collective) variables: $\{\beta^i, p_i\}_{i=1, \dots, M}$

$$H = \frac{1}{2} \sum_i B^{ij}(\beta) p_i p_j + V(\beta)$$

Quantization: $H \rightarrow \hat{H}$

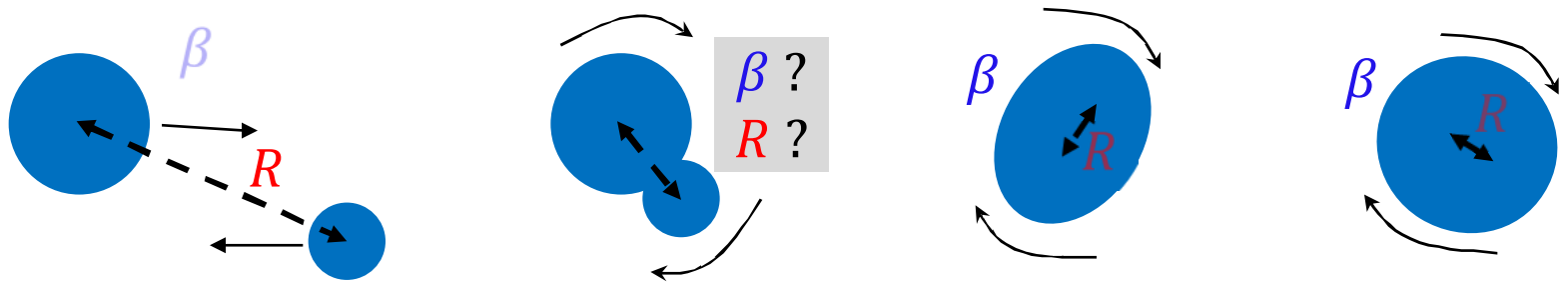
Asymptotically, the variables β^i should describe

- Shape d.o.f.
- Relative motion between two fragments



Relative coordinates vs Shape variables

- Low-energy nuclear reaction (fusion)
 - From relative motion between two nuclei
 - Into motion in shape and rotational d.o.f.

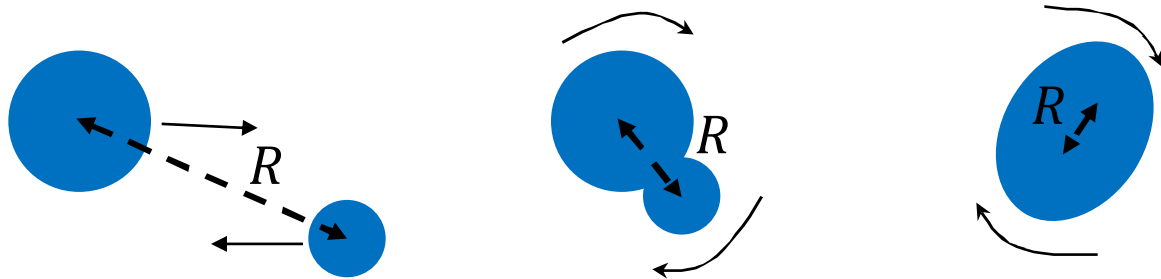


The relative coordinate R or the shape parameter β ?

Relative coordinates vs Shape variables

- One-to-one correspondence: Point transf: $R(\beta)$, $\beta(R)$
The relative coordinate R can be a possible choice

$$V(\beta) = V(\beta(R)) = \bar{V}(R)$$

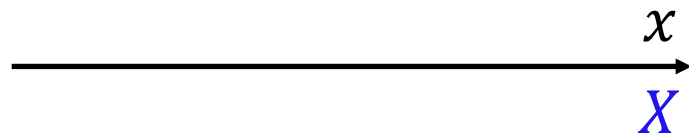


The choice, R or β , influences the inertial masses

Mass & scale of coordinate

- A particle moving along x axis

- $L = \frac{1}{2} m_x \dot{x}^2 - V(x)$



- Looking at the motion in X axis

- Scale transf.: $x = \alpha X$

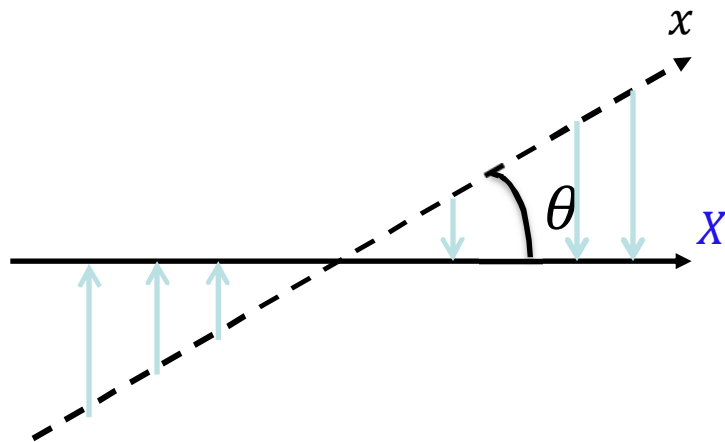
- $L = \frac{1}{2} m_X \dot{X}^2 - \bar{V}(X)$

- $\bar{V}(X) \equiv V(\alpha X)$

- $m_X = \alpha^2 m_x$

Mass & choice of coordinate

- A particle moving along x axis
 - $L = \frac{1}{2}m_x\dot{x}^2 - V(x)$
- Looking at the motion in X axis
 - Point transf.: $x = f(X) = X/\cos\theta$
 - $L = \frac{1}{2}m_X\dot{X}^2 - \bar{V}(X)$
 - $\bar{V}(X) \equiv V(f(X))$
 - $m_X = \frac{m_x}{(\cos\theta)^2}$



Equivalent description with X and x

m_X depends on the choice of X .

$\theta \rightarrow \pi/2$, the coordinate X is no longer valid.

Macroscopic reaction model at low energy

One-to-one correspondence in collective variable: $\beta \leftrightarrow R$

$$\left\{ -\frac{1}{2} \frac{d}{dR} \frac{1}{M(R)} \frac{d}{dR} + \frac{L(L+1)}{2 I(R)} + V(R) \right\} \psi_L(R) = E_L \psi_L(R)$$

- Microscopic construction requires
 - Determination of reaction path
 - Calculation of the potential $V(R)$
 - Calculation of the mass $M(R)$ & M.o.I $I(R)$

Adiabatic Self-consistent Collective Coordinate (ASCC) method

TN, PTEP 2012, 01A207 (2012)

TN, et al., RMP 88, 045004 (2016)

ASCC method

- microscopically determines a collective subspace (*reaction path*)
- produces collective potential $V(R)$ and collective inertias $M(R), I(R)$

Requantization on the collective subspace leads to

$$\left\{ -\frac{d}{dR} \frac{1}{2M(R)} \frac{d}{dR} + \frac{L(L+1)}{2I(R)} + V(R) \right\} \psi_L(R) = E_L \psi_L(R)$$

Finite amplitude method for collective mass

Wen, TN, PRC 94, 054618 (2016)

Washiyama, Hinohara, TN, PRC 103, 014306 (2021)

TN, Hinohara, EPJA, in press

$$\begin{pmatrix} A & B \\ B^* & A^* \end{pmatrix} \begin{pmatrix} X^i \\ Y^i \end{pmatrix} = \Omega_i \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} X^i \\ Y^i \end{pmatrix}$$

- Normal eigenmodes: $\frac{\partial x}{\partial \rho_{ph}}, m_x$

- For a given (*intuitive*) one-body operator: X

$$m_X = m_x \left(\frac{dx}{dX} \right)^2 = m_x \sum_{p,h} \left(\frac{\partial x}{\partial \rho_{ph}} \frac{\partial \rho_{ph}}{\partial X} \right)^2$$

- Respect symmetries by inclusion of residual effects

Energy density functional

$$E[\rho] = \int \frac{1}{2m} \tau(\mathbf{r}) d\mathbf{r} + \int d\mathbf{r} \left\{ \frac{3}{8} t_0 \rho^2(\mathbf{r}) + \frac{1}{16} t_3 \rho^3(\mathbf{r}) \right\}$$

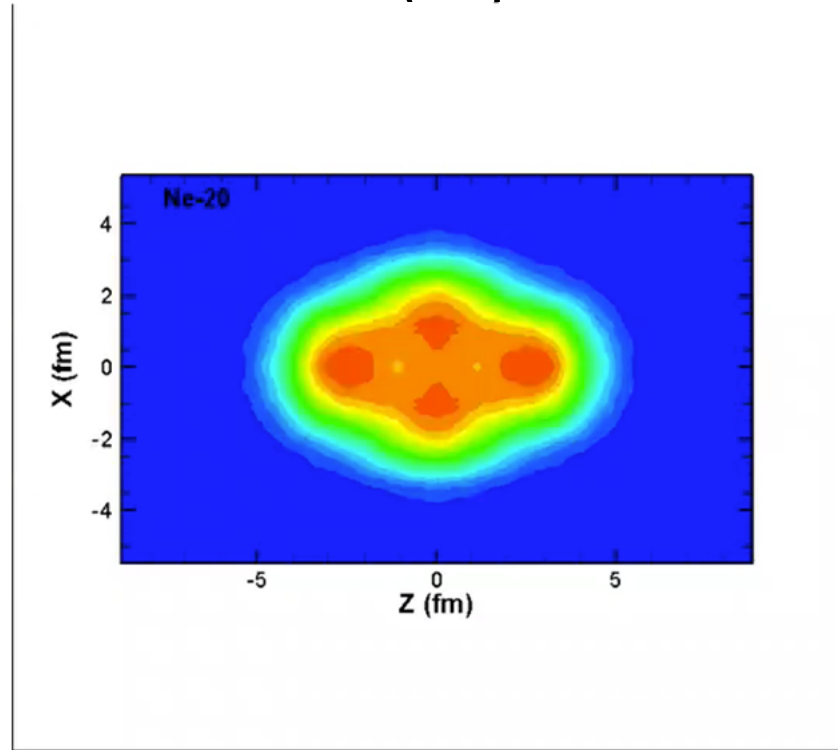
$$+ \iint d\mathbf{r} d\mathbf{r}' \rho(\mathbf{r}) v(\mathbf{r} - \mathbf{r}') \rho(\mathbf{r}')$$

$$\hat{h}_{\text{HF}}(\mathbf{r}) = -\nabla \frac{1}{2m^*(\mathbf{r})} \nabla + \frac{3}{4} t_0 \rho(\mathbf{r}) + \frac{3}{16} t_3 \rho^2(\mathbf{r})$$

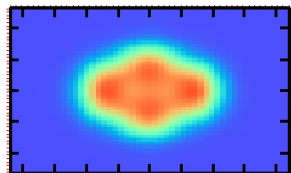
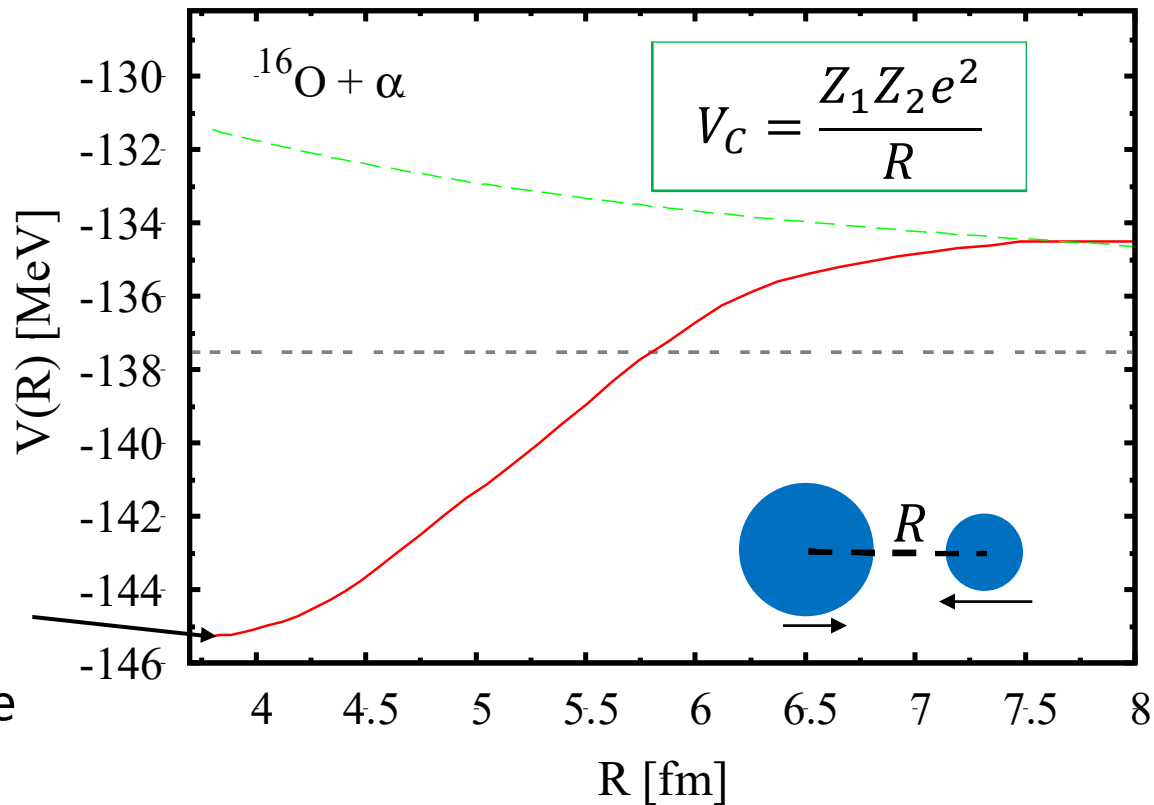
$$+ \int d\mathbf{r}' v(\mathbf{r} - \mathbf{r}') \rho(\mathbf{r}')$$

BKN functional
PRC 13, 1226 (1976)

“Fictitious” fission of ^{20}Ne α - ^{16}O fusion (alpha reaction)

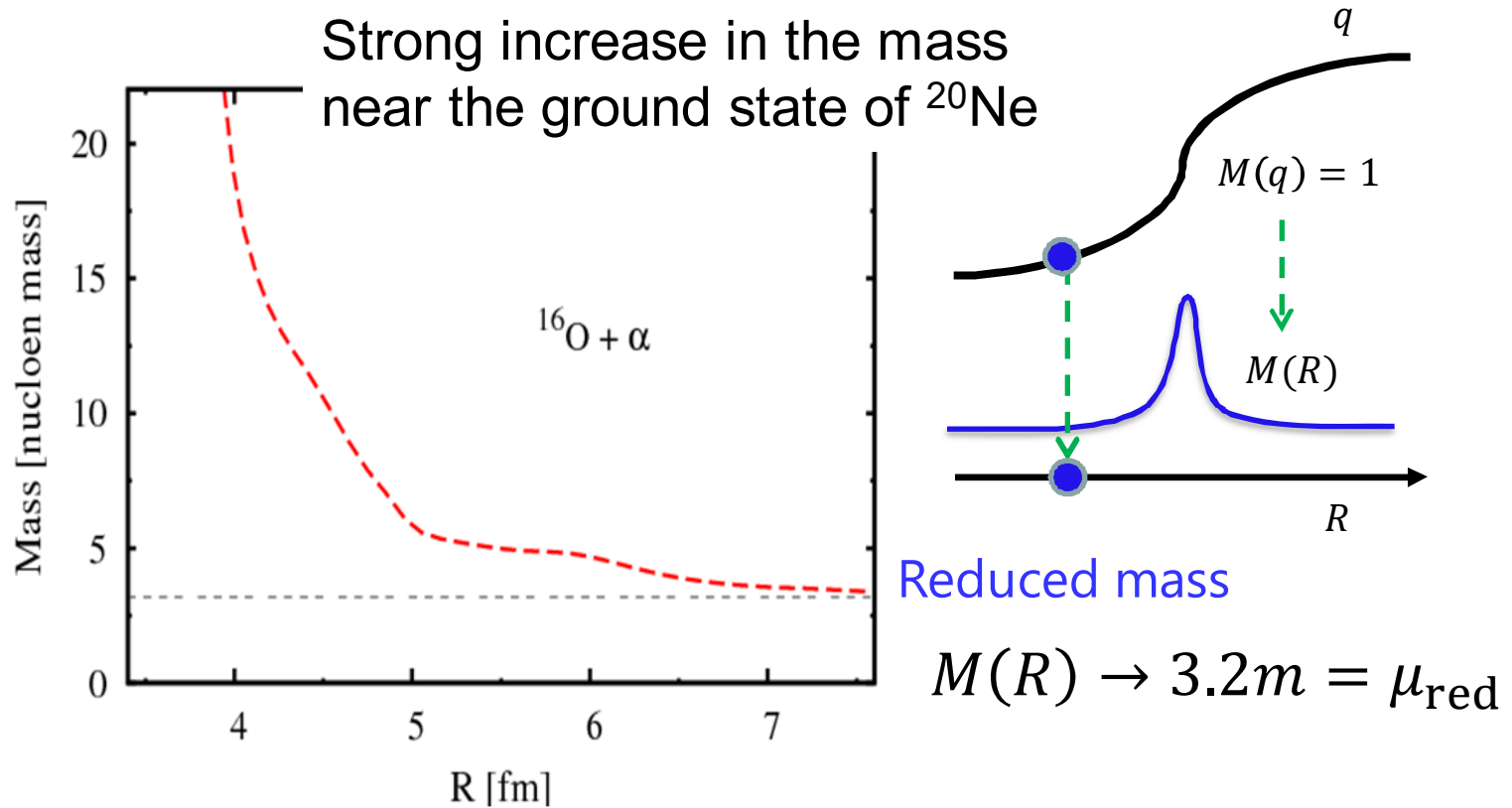


$$V(R) \quad (m^*/m = 1)$$



Ground state
of ^{20}Ne

^{20}Ne : Inertial mass for relative motion



Effective mass

- Velocity-dependent potential
- Nucleonic effective mass
 - $\frac{m^*}{m} \sim 0.7 - 0.8$
- Does this affect the inertial mass?
 - For CoM translation, $M = Am$ ($\neq Am^*$)

Recovery of Galilean invariance

Energy density functional

$$E[\rho] = \int \frac{1}{2m} \tau(\mathbf{r}) d\mathbf{r} + \int d\mathbf{r} \left\{ \frac{3}{8} t_0 \rho^2(\mathbf{r}) + \frac{1}{16} t_3 \rho^3(\mathbf{r}) \right\} \\ + \iint d\mathbf{r} d\mathbf{r}' \rho(\mathbf{r}) v(\mathbf{r} - \mathbf{r}') \rho(\mathbf{r}') \\ + B_3 \int d\mathbf{r} \{ \rho(\mathbf{r}) \tau(\mathbf{r}) - \mathbf{j}^2(\mathbf{r}) \},$$

BKN functional
PRC 13, 1226 (1976)

$$\hat{h}_{\text{HF}}(\mathbf{r}) = -\nabla \frac{1}{2m^*(\mathbf{r})} \nabla + \frac{3}{4} t_0 \rho(\mathbf{r}) + \frac{3}{16} t_3 \rho^2(\mathbf{r}) \\ + \int d\mathbf{r}' v(\mathbf{r} - \mathbf{r}') \rho(\mathbf{r}') + B_3 [\tau(\mathbf{r}) + i \nabla \cdot \mathbf{j}(\mathbf{r})] \\ + 2i B_3 \mathbf{j}(\mathbf{r}) \cdot \nabla,$$

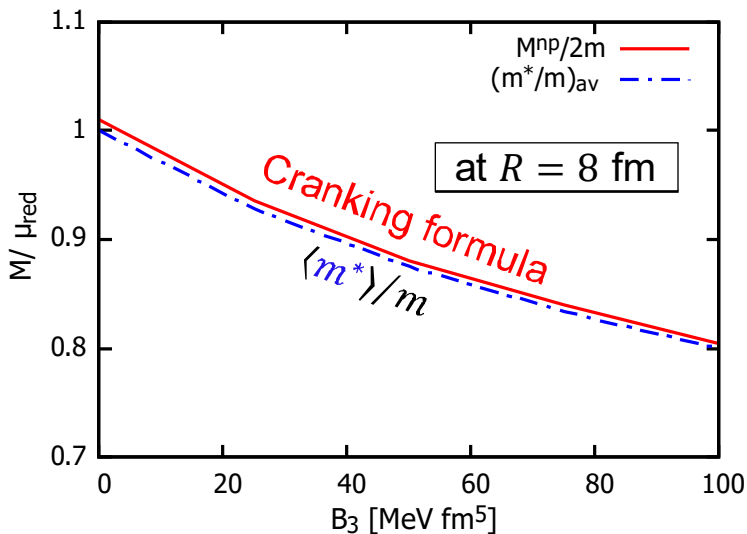
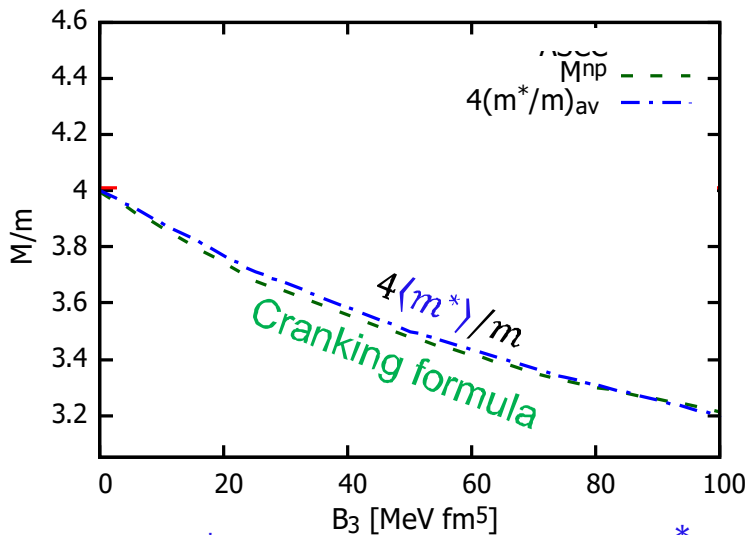
$$B_3 = 0 \quad \rightarrow \quad m^* = m$$

$$B_3 > 0 \quad \rightarrow \quad m^* < m$$

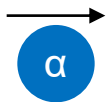
Failure of cranking formula

$$M_{\text{cr}}^{\text{np}} = 2 \sum_{n \in \text{p}, j \in \text{h}} \frac{|\langle n | \hat{p}_x | j \rangle|^2}{e_n - e_j}$$

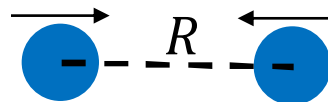
$$M_{\text{cr}}^{\text{np}} = 2 \sum_{n \in \text{p}, j \in \text{h}} \frac{|\langle n | \partial / \partial R | j \rangle|^2}{e_n - e_j}$$



$$m = m^*$$



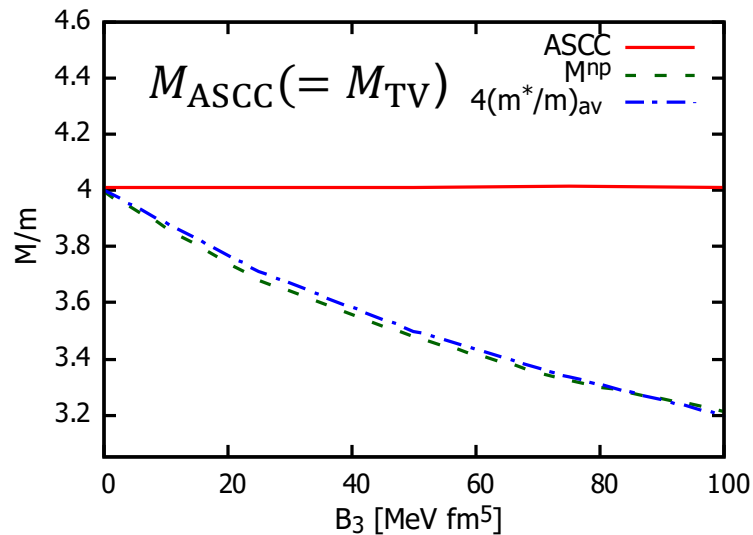
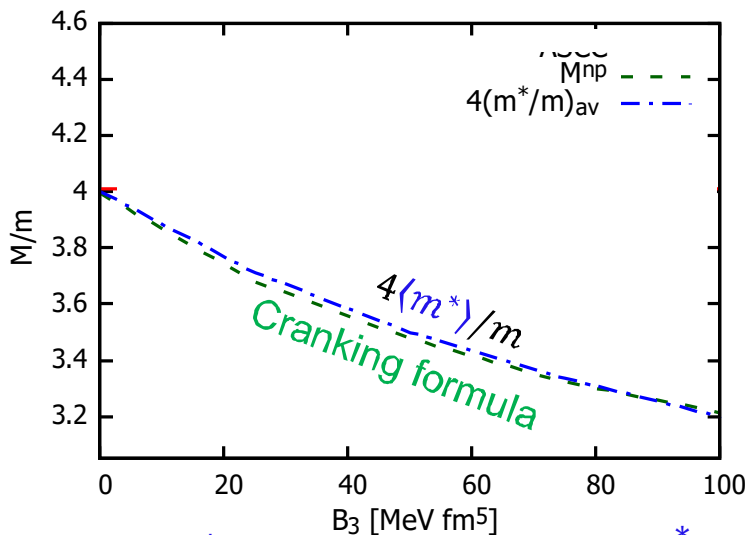
$$\frac{m^*}{m} = 0.8$$



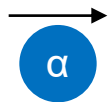
Recovery of Galilean invariance

$$M_{\text{cr}}^{\text{np}} = 2 \sum_{n \in p, j \in h} \frac{|\langle n | \hat{p}_x | j \rangle|^2}{e_n - e_j}$$

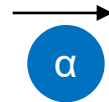
Inclusion of residual interaction
(time-odd mean fields)



$$m = m^*$$

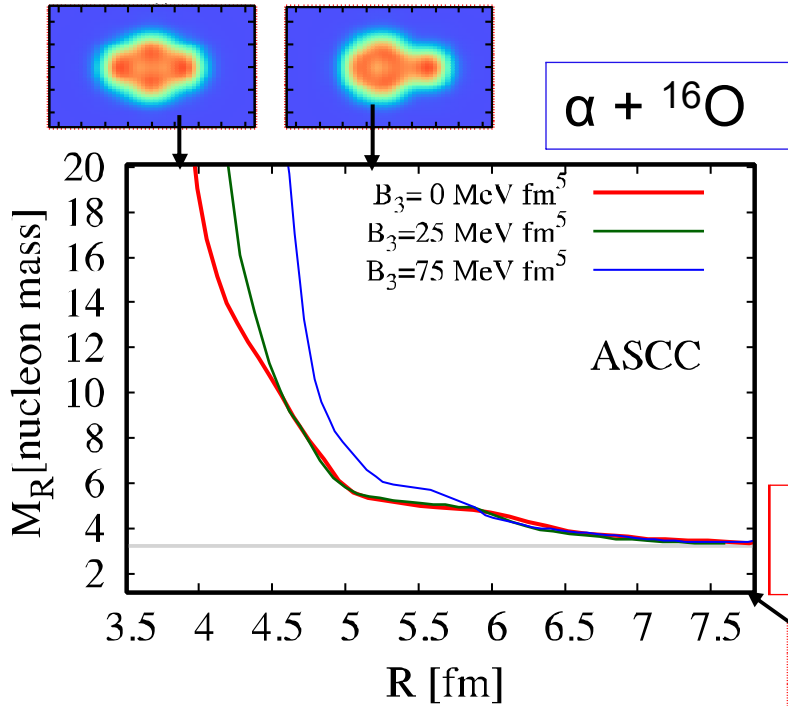
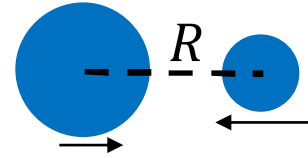


$$\frac{m^*}{m} = 0.8$$



$4m$

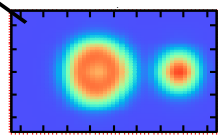
$$M(R) \quad (m^*/m \leq 1)$$



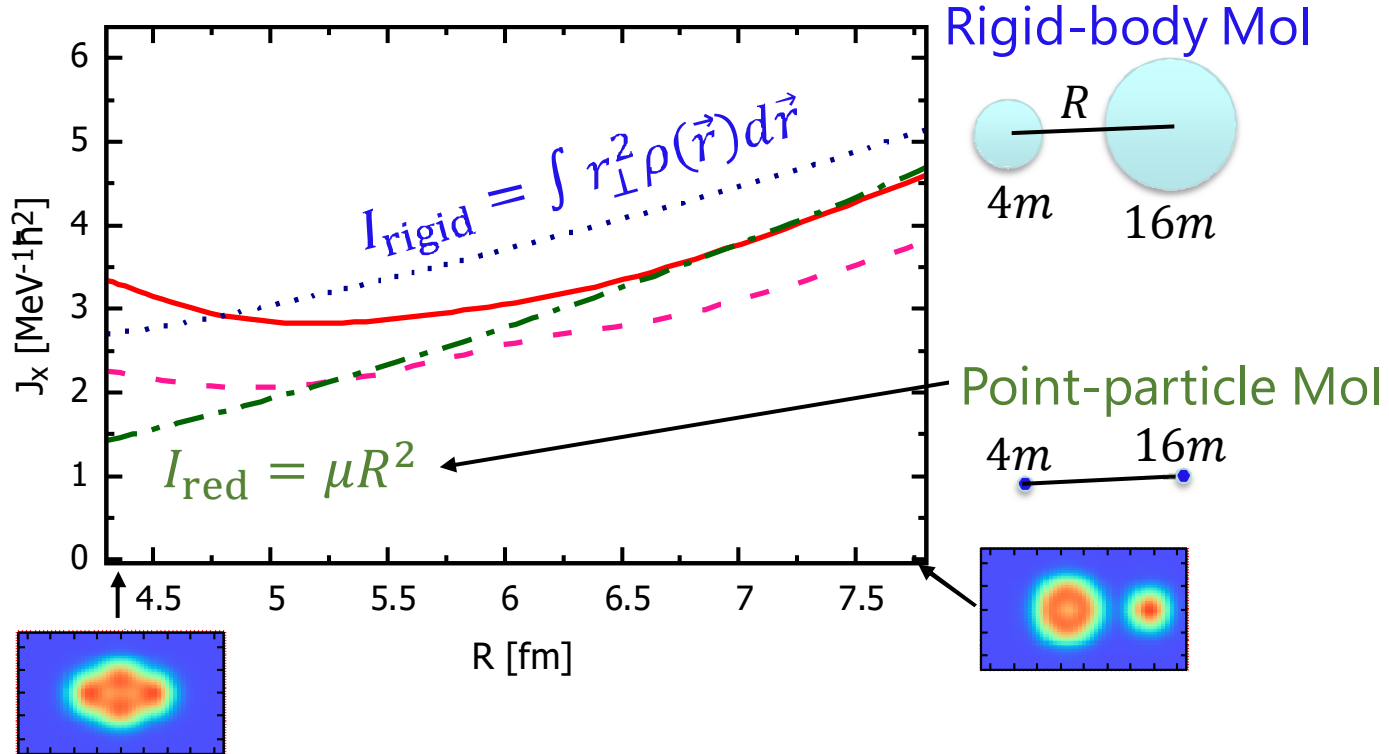
$$m^* < m^* < m^* = m$$

Reduced mass

$$M(R) = \mu_R \quad (R \rightarrow \infty)$$

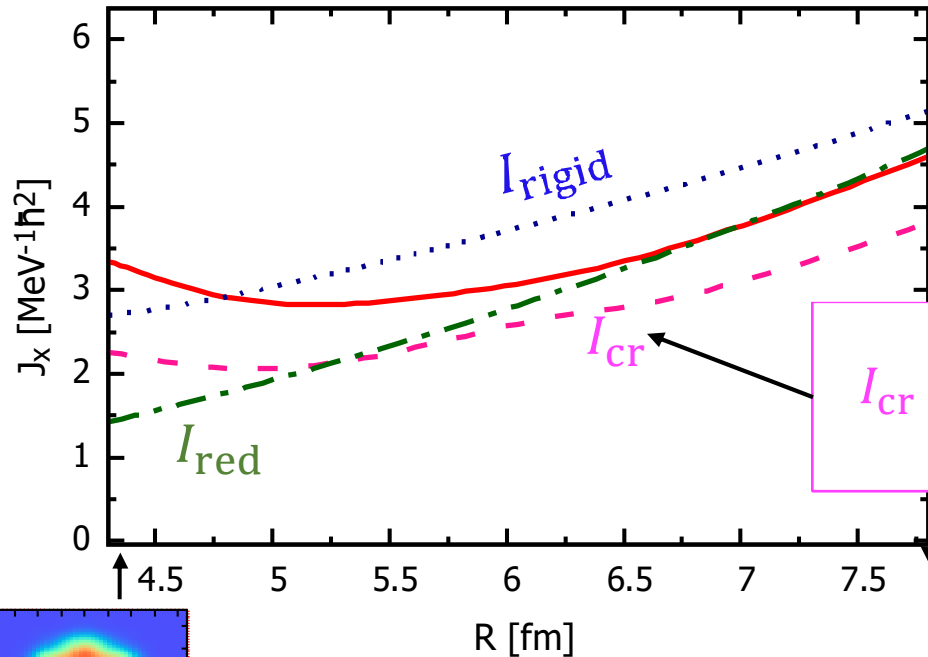


$$I(R) \quad (m^*/m < 1)$$



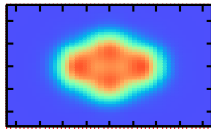
Ground state of ^{20}Ne

$$I(R) \quad (m^*/m < 1)$$

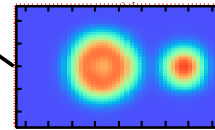


Cranking formula

$$I_{\text{cr}} = 2 \sum_{n \in p, j \in h} \frac{|\langle n | \hat{j}_x | j \rangle|^2}{e_n - e_j}$$

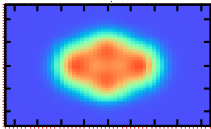
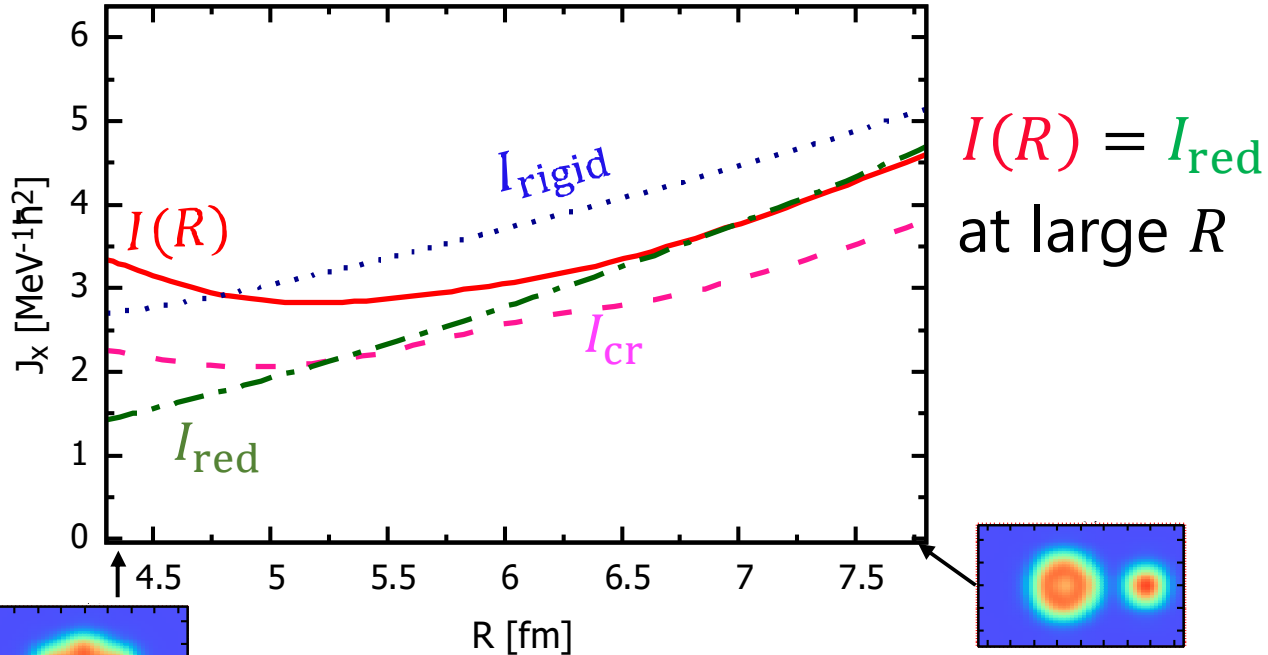


$$I_{\text{cr}} < I_{\text{rigid}}$$

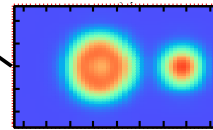


$$I_{\text{cr}} \neq I_{\text{red}} \text{ at large } R$$

$$I(R) \quad (m^*/m < 1)$$



$$I(R) \approx I_{\text{rigid}}$$

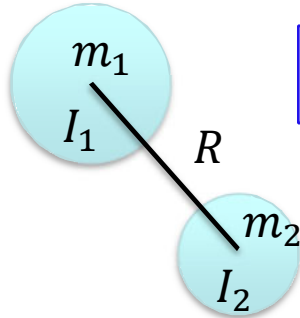


$I(R)$ decreases with R ($R < 5.5$ fm)

Transition from I_{rigid} to I_{red}

Quantum effect

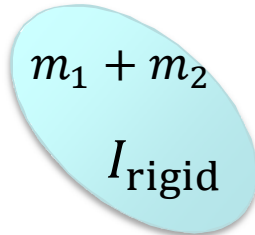
Quantum spherical systems: $I = 0$ (Vanishing Mol)



$$I(R) = I_{\text{red}}(R) + I_1 + I_2$$

$$I_{\text{red}}(R) = \mu_R R^2 = \frac{m_1 m_2}{m_1 + m_2} R^2$$

I_i : Mol of nucleus i w.r.t. its CoM



$$I(R) = I_{\text{red}}(R) + I_{\text{rigid}}^{(1)} + I_{\text{rigid}}^{(2)}$$

Moments of inertia (HO potential model)

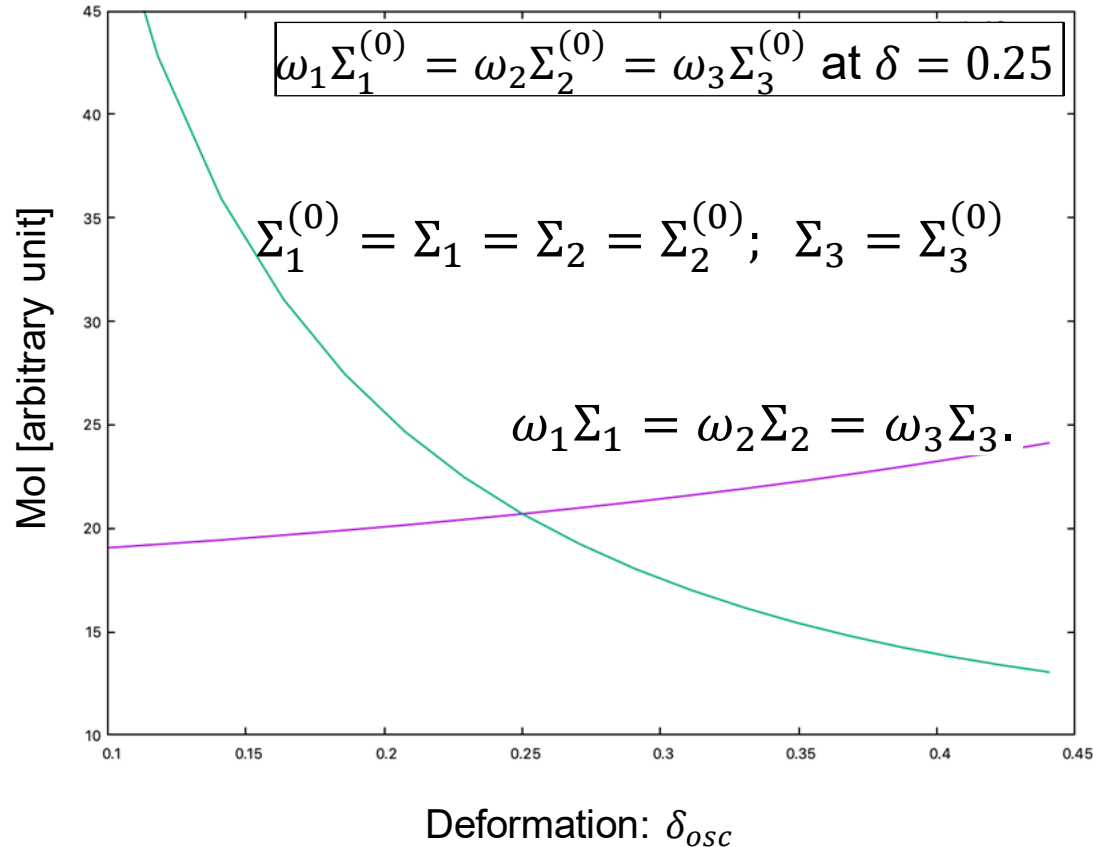
Bohr, Mottelson, *Nuclear Structure* Vol.2

$$\mathcal{I}_1 = \frac{\hbar}{2\omega_2\omega_3} \left(\frac{(\omega_2 + \omega_3)^2}{\omega_2 - \omega_3} (\Sigma_3 - \Sigma_2) + \frac{(\omega_2 - \omega_3)^2}{\omega_2 + \omega_3} (\Sigma_2 + \Sigma_3) \right)$$

$$\omega_1 \Sigma_1 = \omega_2 \Sigma_2 = \omega_3 \Sigma_3 \quad \mathcal{I}_1 = \left\langle \sum_{k=1}^A M (x_2^2 + x_3^2)_k \right\rangle = \mathcal{I}_{\text{rig}} \quad \text{Rigid body}$$

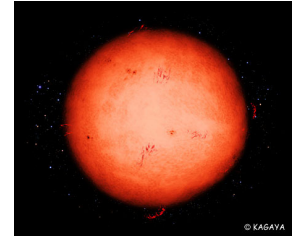
$$\Sigma_1 = \Sigma_2 = \Sigma_3, \quad \mathcal{I}_1 = M \frac{\left\langle \sum_k (x_2^2 - x_3^2)_k \right\rangle^2}{\left\langle \sum_k (x_2^2 + x_3^2)_k \right\rangle} \quad \text{Irrotational flow}$$

Decreasing function w.r.t. deformation



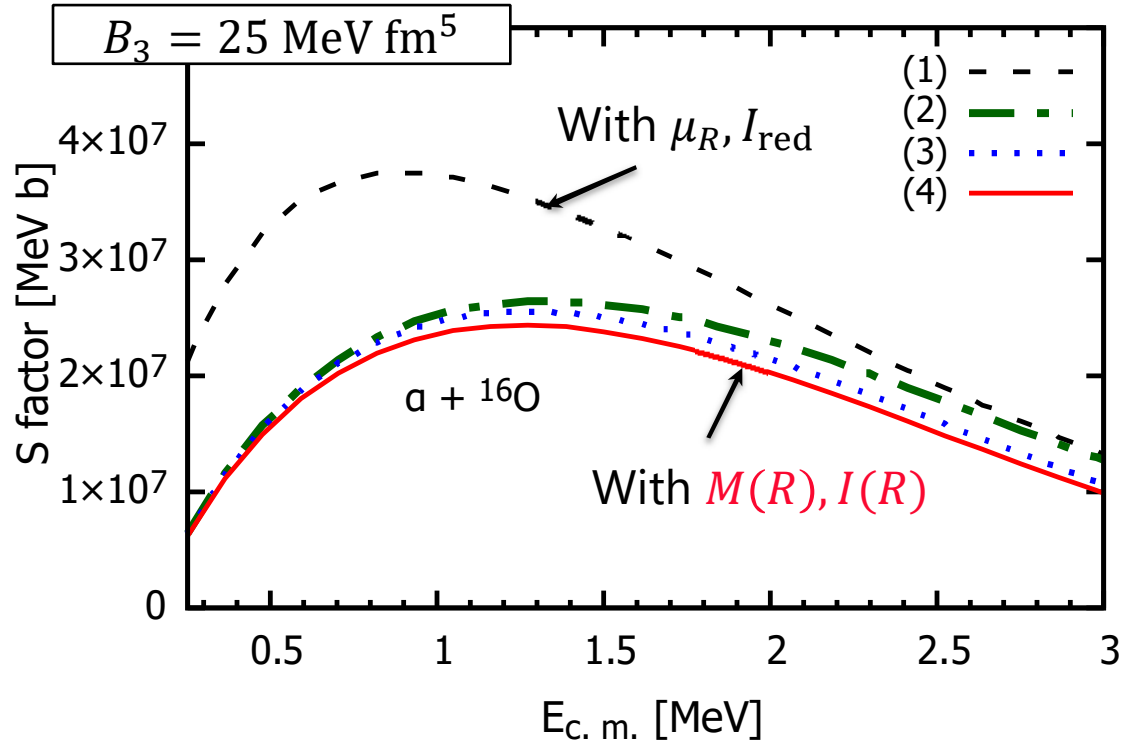
Alpha reaction: $^{16}\text{O} + \alpha$

Synthesis of ^{20}Ne



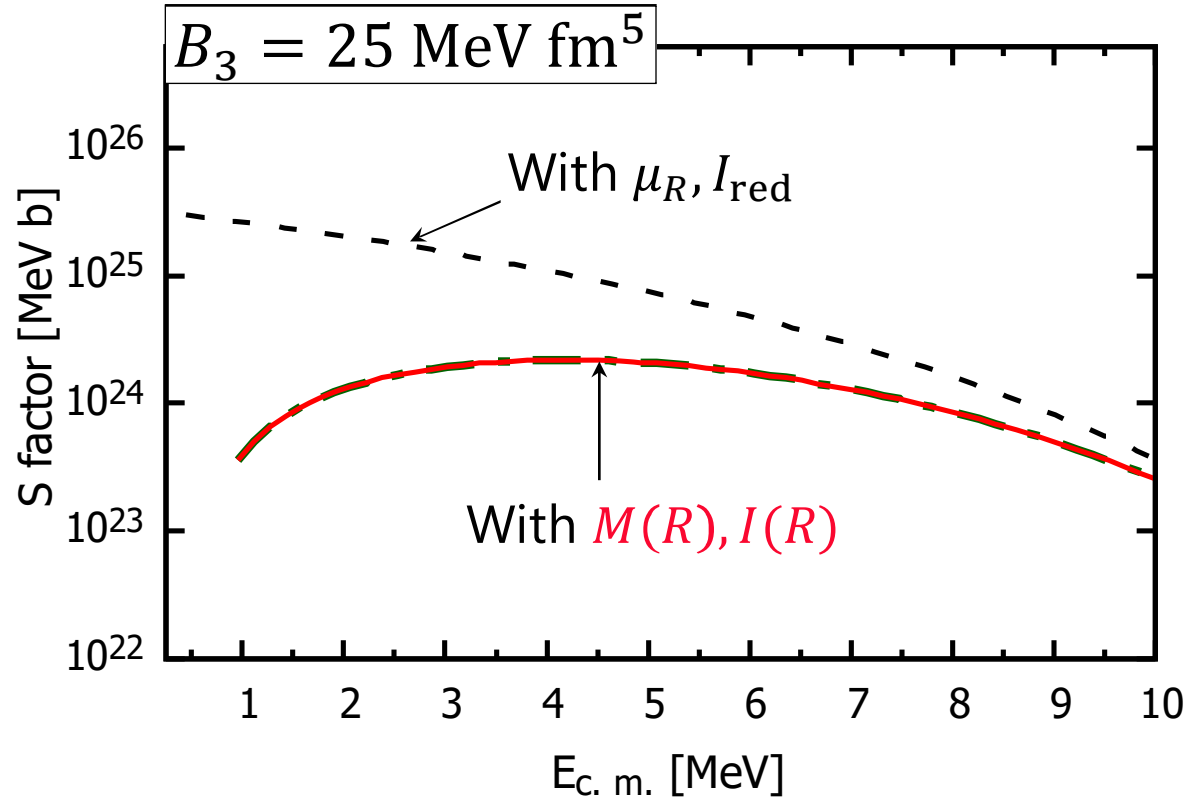
Fusion reaction:
Astrophysical S-factor

$$\sigma(E) = \frac{1}{E} P(E) \times S(E)$$



$^{16}\text{O} + ^{16}\text{O}$ fusion reaction

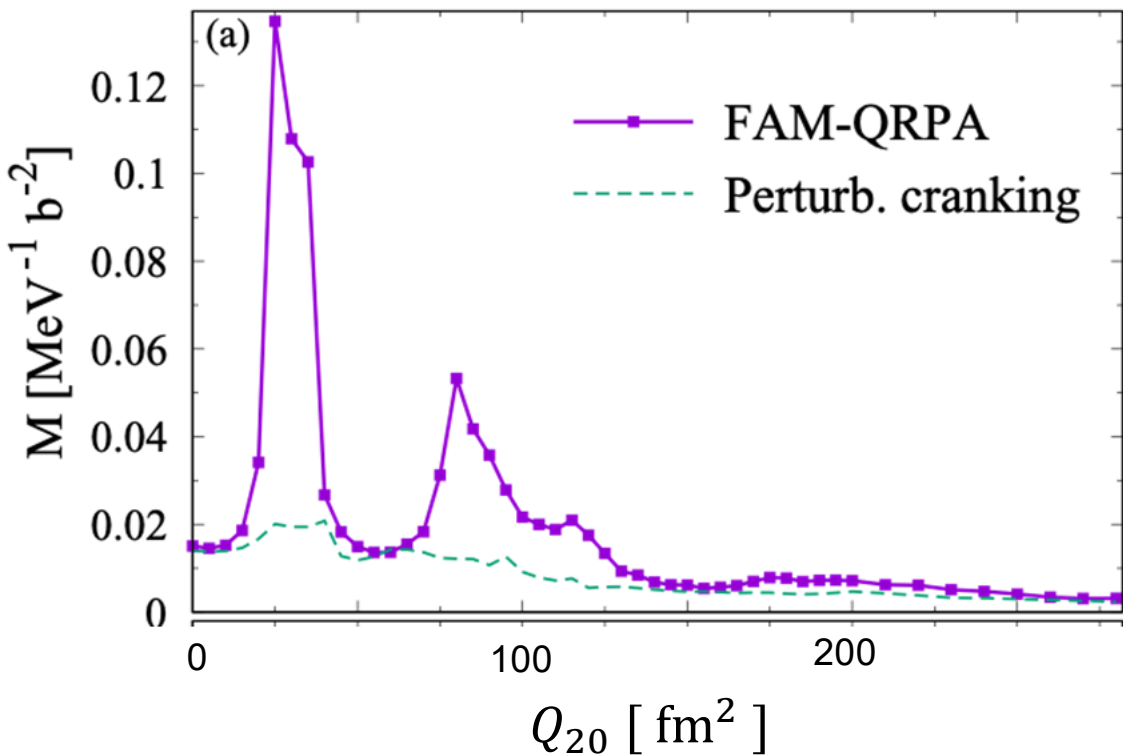
$$\sigma(E) = \frac{1}{E} P(E) \times S(E)$$



Strong fusion hindrance due to dynamical change of the inertial mass

Collective mass for fission of ^{240}Pu

Washiyama, Hinohara, TN, PRC 103, 014306 (2021)



Collective coordinate
= Mass quadrupole moment

Strong enhancement over
the cranking mass



Increase of fission lifetime
by several order of magnitude

Reduced-basis method

N. Hinohara, X. Zhang, and J. Engel, in preparation

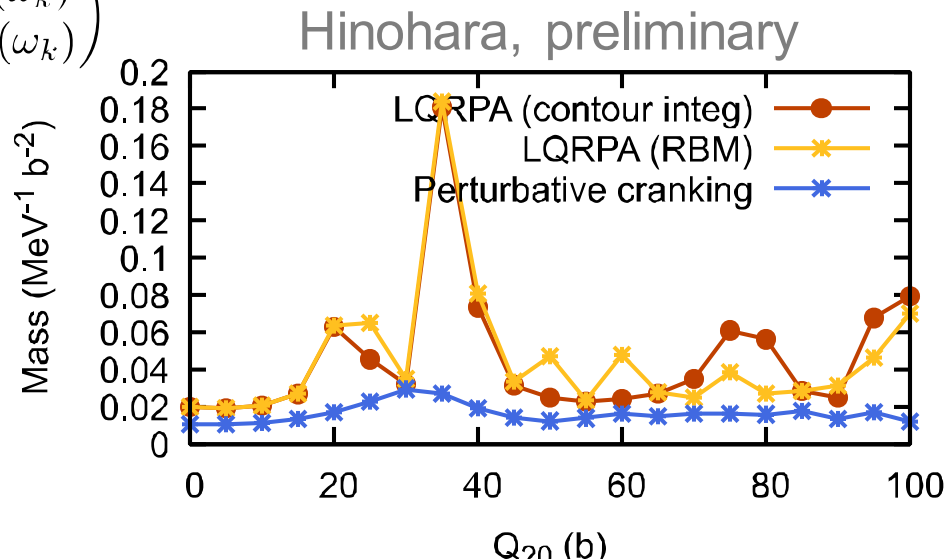
Application to calculation of collective mass

Using solutions of the finite amplitude method for n different frequencies ω_k

$$\begin{pmatrix} X_{\mu\nu}(\omega) \\ Y_{\mu\nu}(\omega) \end{pmatrix} = \sum_{k=1}^n a_k(\omega) \begin{pmatrix} X_{\mu\nu}(\omega_k) \\ Y_{\mu\nu}(\omega_k) \end{pmatrix} + b_k(\omega) \begin{pmatrix} Y_{\mu\nu}^*(\omega_k) \\ X_{\mu\nu}^*(\omega_k) \end{pmatrix}$$

$2n$ -dimensional RPA matrix

Result with $n = 10$



Summary

- Self-consistent description of fusion/fission
 - Reaction path affects the inertial mass
 - Effective mass: Clear failure of the cranking formula
 - Vanishing Mol in spherical nuclei (quantum effect)
 - Strong reduction of astrophysical S-factor and fission probability (fusion/fission hindrance due to mass effect)
- Developments in RBM

K. Wen, TN., Phys. Rev. C 105 (2022) 034603; Front. Phys. 8 (2020) 16

Washiyama, Hinohara, TN, PRC 103, 014306 (2021)

Hinohara, et al., in preparation