



香港中文大學(深圳) The Chinese University of Hong Kong, Shenzhen

High Energy Nuclear Physics meets

Discriminative and Generative AI

Kai Zhou (CUHK Shenzhen)

第4届核物理与核数据中的机器学习应用研讨会, 南华大学, 衡阳, 湖南 2025

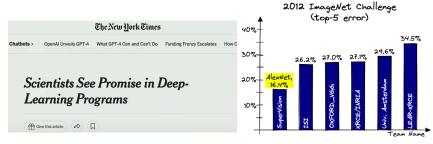
# Overview: Nuclear Physics meets Machine Learning



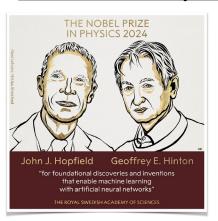
2012 : Discovery of <u>Higgs boson</u>



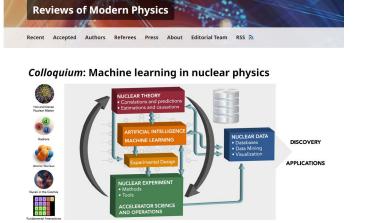
AlexNet - Birth of Deep Learning



2024 : Nobel Prize in Physics



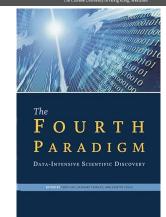
• <u>ML4Physics</u>, Al4Science

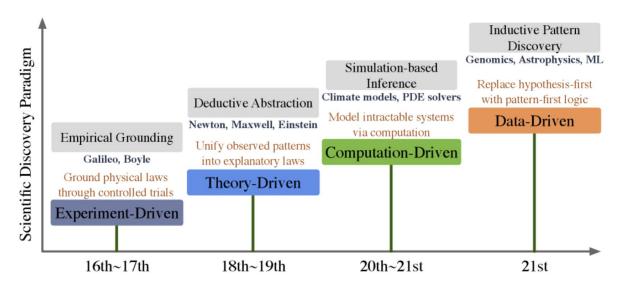


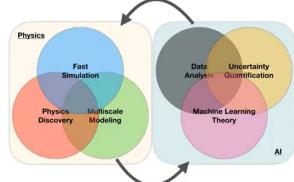
# Overview: Fifth paradigm for scientific discovery

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- Scientific discovery were driven by series of methodological paradigm evolution
- Machine Learning & Synthetic data forms the fifth methodological paradigm



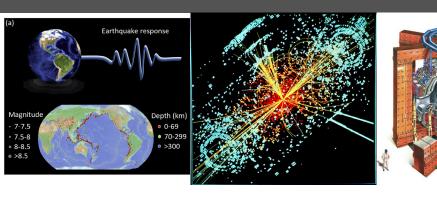




## Overview: Machine- and Deep-Learning







Protein Folding Al

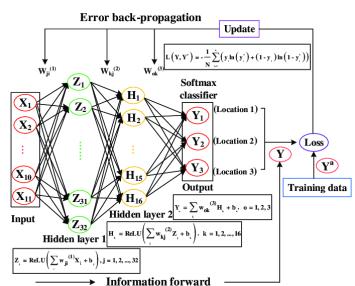
DeepMind Breakthrough

Find and Decode the mapping/representations into

Deep Neural Network

Function approximator

Universal approximator (Hastad et al 86 & 91)



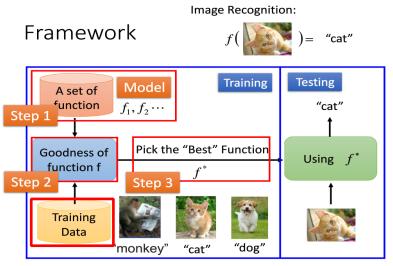
Differentiable programming

**Backward Propagation** 

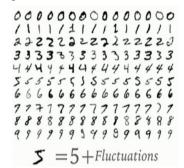
**Gradient Descent Algorithm** 

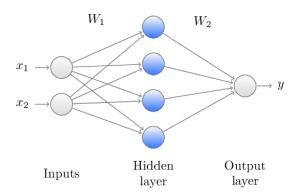
# Overview: Machine learning, Deep Neural Networks, Representation learning





modified from Hung-Yi.Lee





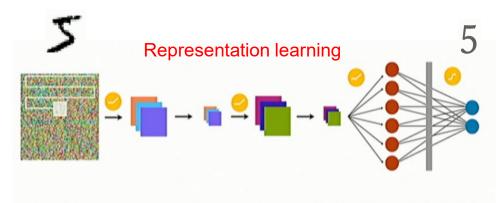
#### **Composing:**

Linear affine transformation +

Non-linear activation

Layer by layer

$$f_{NN}(x;\theta) = h_2(w_2h_1(w_1x + b_1) + b_2)$$



## Discriminative / Generative ML



• Discriminative Learning : prediction

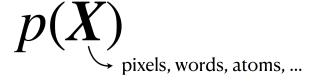
function fitting y = f(x)

conditional probability  $p_{\theta}(y|x) \rightarrow p(y|x)$ 

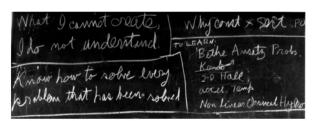


Joint probability distribution  $p_{\theta}(x,y) \rightarrow p(x,y)$ 















DaLL-E

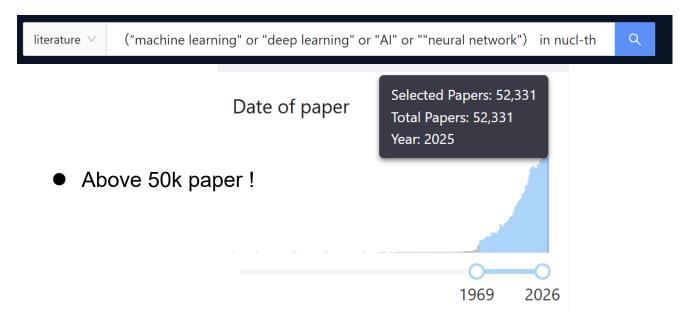
ChatGPT

AlphaFold3

"What I can not create, I do not understand"

# Overview: super biased introduction in this talk in following



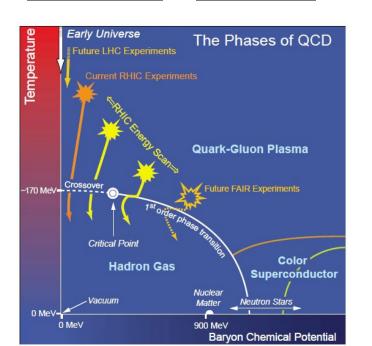


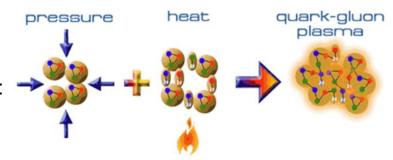
- This talk will selectively (biasedly) focus on high energy nuclear physics studies with:
- Discriminative ML to Physics Unfolding and Generative ML for Physics obs Generation

## Overview: Golden Age of QCD matter in extreme

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- Phases of matter : solid, liquid, gas, plasma
- Matter in extreme conditions reveals its constituents : <u>nuclear matter</u> → <u>quark matter</u>





## To study the most elementary particle matter :

- Nuclear Collisions : heat & compress matter
- Neutron Star: dense matter, astronomy constraints
- Lattice Field Theory / fQCD / Effective models

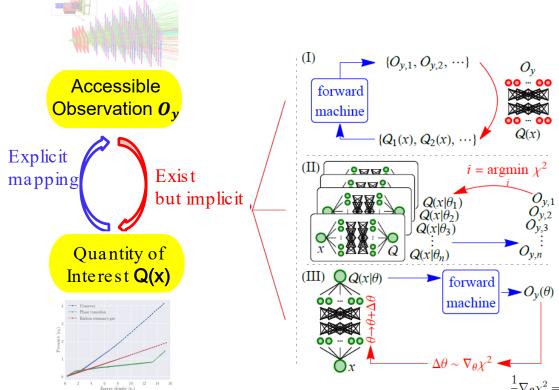


 Discriminative AI for unfolding physics in high-energy nuclear physics physics priors need to be embedded in solving inverse problems

Generative AI for speeding up physics simulations (IQFT, HIC modelling)

# Inverse Problems Solving with discriminative ML





- Direct inverse mapping capturing : with Supervised Learning
- Statistical approach to  $\chi^2$  fitting: Bayesian Reconstruction for posterior or Heuristic (Generic) Algorithm to min.

$$\chi^2 = \sum_{y} \left( \frac{\mathcal{F}_y[\mathcal{Q}_{\text{NN}}(x|\theta)] - \mathcal{O}_y}{\Delta \mathcal{O}_y} \right)^2$$

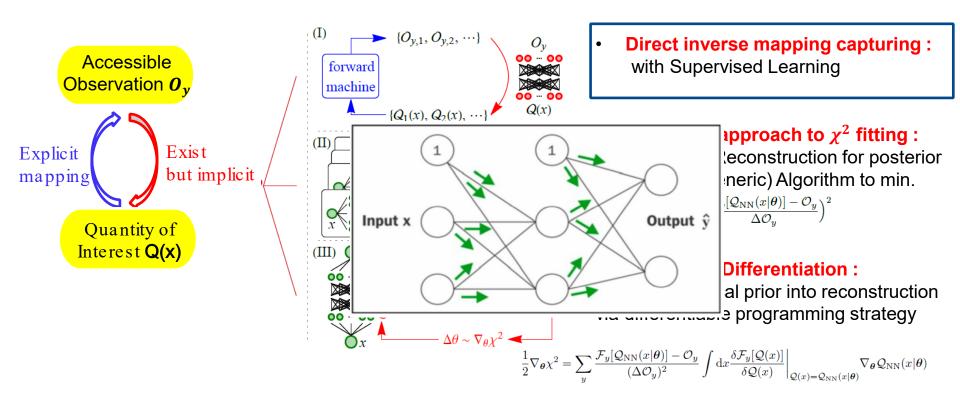
Automatic Differentiation :

fuse physical prior into reconstruction via differentiable programming strategy

$$\frac{1}{2}\nabla_{\boldsymbol{\theta}}\chi^{2} = \sum_{y} \frac{\mathcal{F}_{y}[\mathcal{Q}_{\text{NN}}(x|\boldsymbol{\theta})] - \mathcal{O}_{y}}{(\Delta\mathcal{O}_{y})^{2}} \int dx \frac{\delta\mathcal{F}_{y}[\mathcal{Q}(x)]}{\delta\mathcal{Q}(x)} \bigg|_{\mathcal{Q}(x) = \mathcal{Q}_{\text{NN}}(x|\boldsymbol{\theta})} \nabla_{\boldsymbol{\theta}} \mathcal{Q}_{\text{NN}}(x|\boldsymbol{\theta})$$

# Inverse Problems Solving with ML

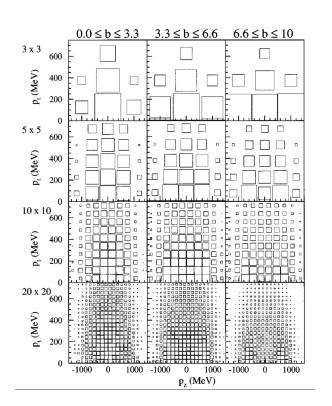


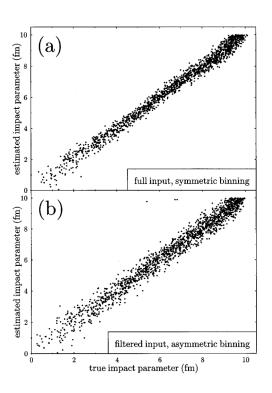


# Early attempts: impact parameter determination



Simple DNN Trained on QMC data Input 5X5





S. A. Bass, A. Bischoff, J. A. Maruhn, H. Stöcker, and W. Greiner, Phys. Rev. C 53, 2358 (1996)

## Further dev for impact parameter determination



P. Xiang, Y. Zhao, X. Huang, Chi. Phys. C 53, 2358 (2022)

### MLP and CNN

(on AMPT event)

F. Li, Y. Wang, H. Lue, P. Li, Q. Li, F. Liu, **JPG** 47, 115104 (2020)

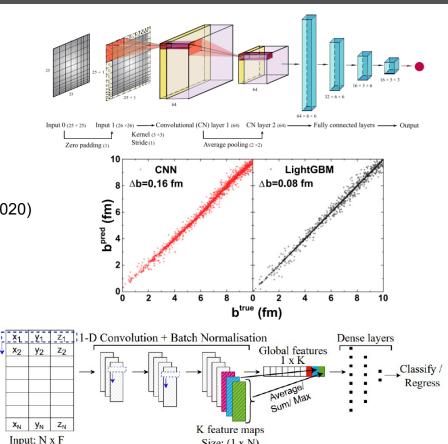
# CNN and LightGBM

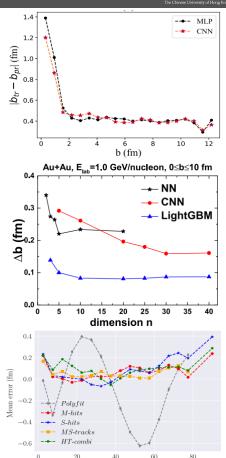
(on UrQMD event)

M. OK, J. S, K. Z, H. S, PLB 811,135872 (2020)

#### **PointCloud Network**

(on UrQMD + CBMRoot event) End-to-end b estimation





Centrality (%)

Size: (1 x N)

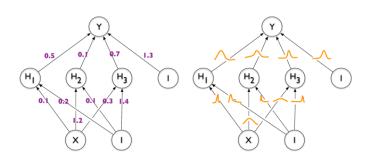
## Initial clustering structure identification in HICs



#### PHYSICAL REVIEW C 104, 044902 (2021)

# Machine-learning-based identification for initial clustering structure in relativistic heavy-ion collisions

Junjie He (何俊杰) , <sup>1,2</sup> Wan-Bing He (何万兵) , <sup>3,\*</sup> Yu-Gang Ma (马余刚) , <sup>3,†</sup> and Song Zhang (张松) <sup>3</sup>

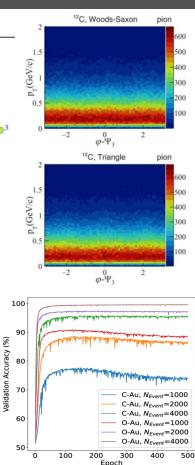


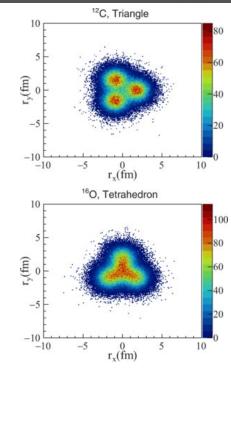
#### **Bayesian CNN**

on AMPT events (multiple-event basis) Charged pions (phi, pT) from 12C/16O

+ 197Au collisions at 200 GeV

Multiple event basis





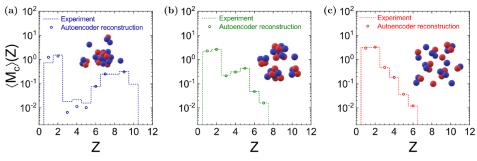
# Nuclear liquid-gas phase transition recognition from autoencoder



#### PHYSICAL REVIEW RESEARCH 2, 043202 (2020)

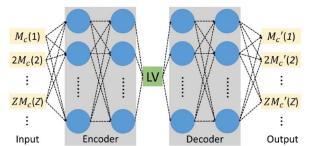
#### Nuclear liquid-gas phase transition with machine learning

Rui Wang 0, 1,2,\* Yu-Gang Ma, 1,2,† R. Wada, Lie-Wen Chen 0,4 Wan-Bing He,1 Huan-Ling Liu,2 and Kai-Jia Sun<sup>3,5</sup>



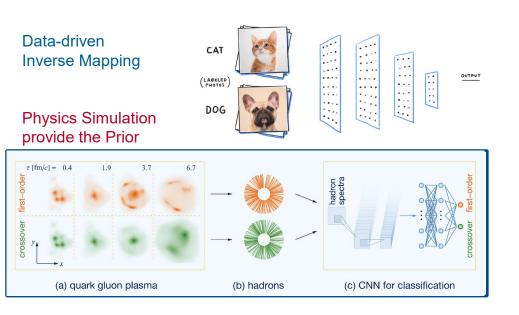
EbE charge-weighted charge multiplicity distribution of quasi-projectile as input →

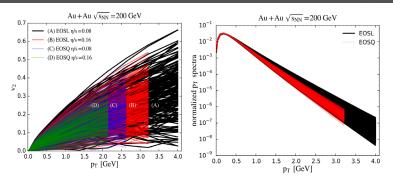
### <u>Autoencoder + confusion scheme</u> (on NIMROD experiment)



# Direct inverse mapping with CNN for identifying QCD transition







- Conventional obs. hard to distinguish
- Strongly influence from initial fluctuations and other uncertainties
- CNN: 95% <u>event-by-event</u> accuracy!
- Robust to initial conditions, eta/s

<u>Conclusion</u>: Information of early dynamics can **survive** to the end of hydrodynamics and encoded within the final state raw spectra, immune to evolution's uncertainties, **with deep CNN we can decode it back**.

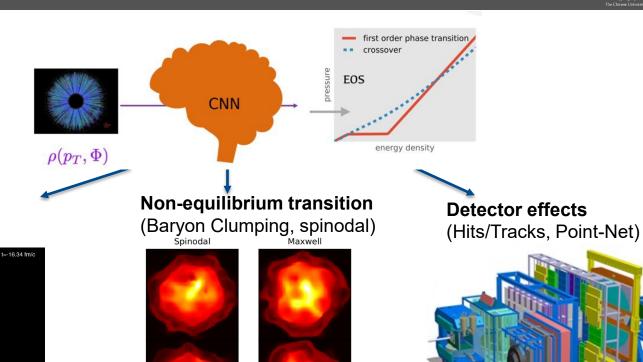
## Into more realistic situations

Hadronic cascade

(UrQMD considered)

U+U 23 GeV/A





**Eur. Phys. J. C** 80 (2020) no.6,516

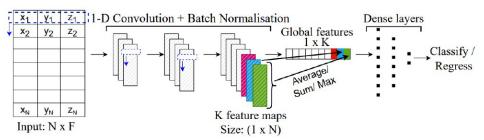
JHEP 12,122(2019) Phys. Rev. D 103,116023 (2021)

Phys. Lett. B 811, 135872 JHEP 21 (2021) 184

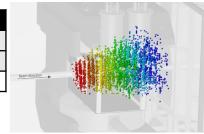
# Point Cloud Network for Physics online analysis for HICs



- Experimental data has inherent point cloud structure
  - collection of particles as 2D array:
- PointNet based models learn directly from point clouds.
  - respects the order invariance of point clouds
  - direct processing of experimental data from detector ⇒ ideal online analysis algorithm
  - optimal for higher dimensional data

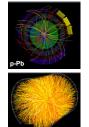


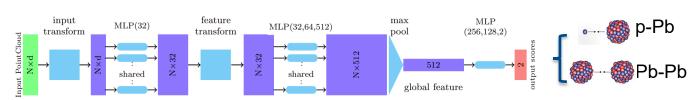
	E	Px	Py	Pz	pid
1	6.84	1.07	4.5	6.83	211
	40.4	0.06	0.54	40	321
	40.4	0.00	0.34	40	321
		•••	•••	•••	•••



- Collision Centrality Regression
- M. OK, J. S, K. Zhou, H. S, **Phys.Lett.B** 811 (2020) 135872
- EoS Classification
- M. OK, K. Zhou, J. S, H. S, **JHEP** 10(2021) 184
- Small/ Large-system Identification

Manjunath O.K. and Kai Zhou, etc. Phys.Lett.B 811 (2020) 135872; JHEP10(2021)184.





# Modern dynamical edge CNN + PCN for self similarity searching



dynamical edge convolution network followed by a point cloud net is used to identify self-similarity and critical fluctuations in HIC

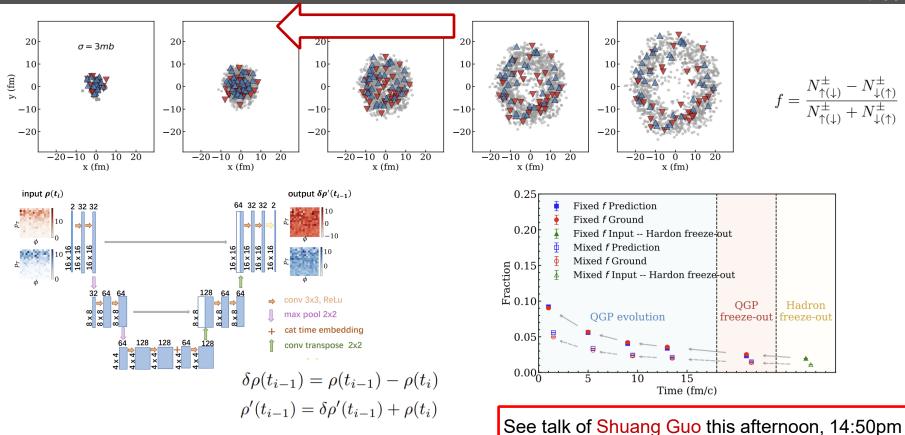
Repeating the **KNN** and **edge convolution** blocks twice helps to find long-range multi-particle correlations that are the key to searching for critical fluctuations.

Input: particle list 2×(kNN + Edge CNN) 1D CNN latent features k neighbors in feature space Point Cloud Net 1D CNN Classification latent features Global Max Pooling Y/N 1D CNN Noise Signal **Tagging** 

Y.-G. Huang, L.-G. Pang, X.F. Luo and X.-N. Wang, PLB 827(2022) 137001

### Unfold CME in HICs with time-embedded UNet





## Lessons

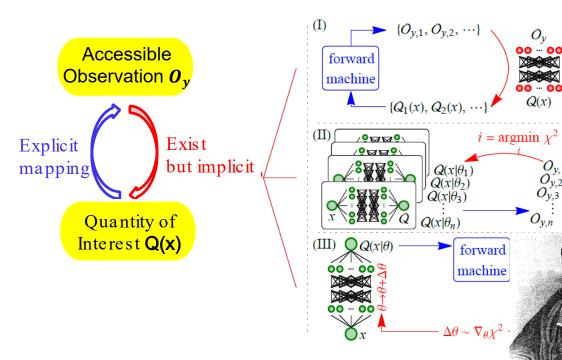


- Low-level features (raw data) might work better in DL era!
- Point Cloud representation for nuclear collision analysis! Theory-Data
- Physics prior info is important!

- Need to have well-defined problem : classification, regression
- Benchmark datasets release from our community?
- Interpretable ? How to connect to fundamental physics?

# Inverse Problems Solving with ML





- Direct inverse mapping capturing : with Supervised Learning
- Statistical approach to  $\chi^2$  fitting: Bayesian Reconstruction for posterior or Heuristic (Generic) Algorithm to min.

$$\chi^{2} = \sum_{x} \left( \frac{\mathcal{F}_{y}[\mathcal{Q}_{\text{NN}}(x|\boldsymbol{\theta})] - \mathcal{O}_{y}}{\Delta \mathcal{O}_{y}} \right)^{2}$$

# Bayes' Theorem

$$\underbrace{P(\theta \mid y)}_{P(\theta \mid y)} \propto \prod_{i}^{N} \underbrace{P(y_{i} \mid \theta)}_{Data \text{ Likelihood}} \underbrace{P(\theta)}_{P(\theta)}$$

## Bayesian (Statistical) Inference of hot matter EoS from HIC data



PRL **114,** 202301 (2015)

PHYSICAL REVIEW LETTERS

week ending 22 MAY 2015

#### Constraining the Equation of State of Superhadronic Matter from Heavy-Ion Collisions

Scott Pratt, <sup>1</sup> Evan Sangaline, <sup>1</sup> Paul Sorensen, <sup>2</sup> and Hui Wang <sup>2</sup>

<sup>1</sup>Department of Physics and Astronomy and National Superconducting Cyclotron Laboratory Michigan State University,

East Lansing, Michigan 48824, USA

<sup>2</sup>Brookhaven National Laboratory, Upton, New York 11973, USA

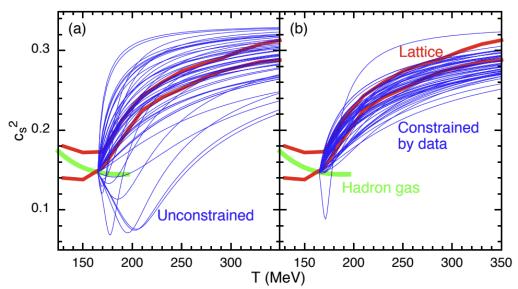
(Received 19 January 2015; published 19 May 2015)

$$c_s^2(\epsilon) = c_s^2(\epsilon_h) + \left(\frac{1}{3} - c_s^2(\epsilon_h)\right) \frac{X_0 x + x^2}{X_0 x + x^2 + X'^2},$$
$$X_0 = X' R c_s(\epsilon) \sqrt{12}, \quad x \equiv \ln \epsilon / \epsilon_h,$$

$$P(D|\theta) = \prod_{i} \exp(-(z_i(\theta) - z_{i,exp})^2/2),$$

14 model parameters

speed of sound squared slightly softer than lattice EoS But significantly overlap

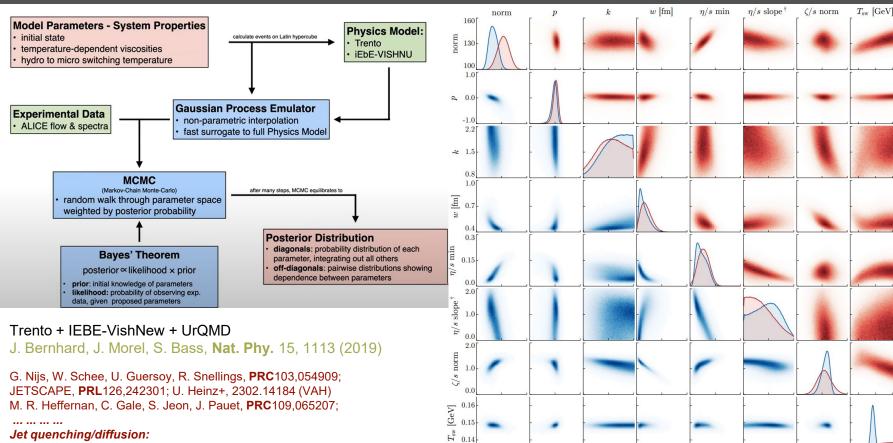


## Bayesian (Statistical) global fit on HICs

Y. He, L. Pang, X. Wang, **PRL** 122 (25) 252302

M. Xie, W. Ke, H. Zhang, X. Wang, PRC108 (2023) L011901; ... ...





160 -1.0 0.0

norm

0.7

w [fm]

1.0 0.0 0.15 0.3 0.0

 $\eta/s$  min

1.0

 $\eta/s$  slope †

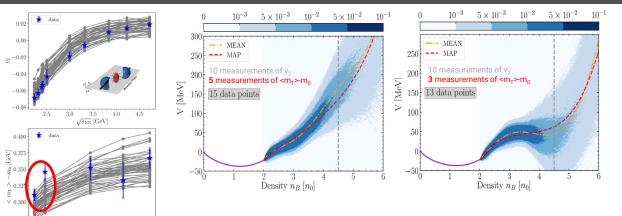
Zυ

 $T_{\rm sw} \, [{
m GeV}]$ 

 $\zeta/s$  norm

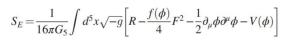
# Bayesian Inference Dense Matter EoS from HIC and Holography





- Comprehensive Bayesian inference necessary for unambiguous solution
- Tension between data-data or model (UrQMD)-data
- Next-gen experiments will provide immense amount of high precision data

M.OK, J. Steinheimer, K. Zhou, H. Stoecker, **PRL131,202303(2023)** 

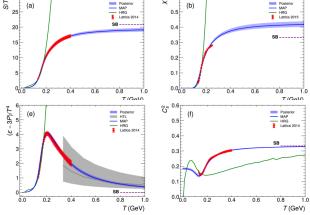


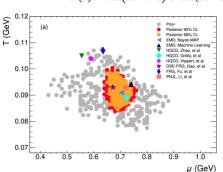
$$A(z) = d\ln(az^2 + 1) + d\ln(bz^4 + 1), f(z) = e^{cz^2 - A(z) + k}$$

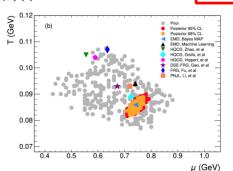
See talk of Li-qiang Zhu tomorrow 16:40pm

 Critical endpoint from holography (EMD) via Bayesian Inference

L. Zhu, X. Chen, K. Zhou, H. Zhang, M, Huang, Phys. Rev. D112(2025) 026019







# Incompressibility K from Bayesian Inference with low-energy HIC

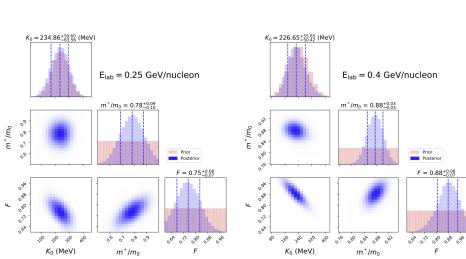


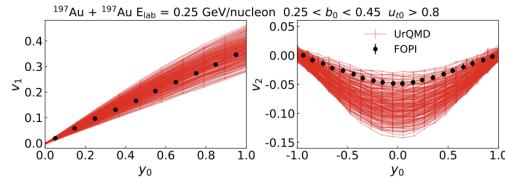
#### Bayesian analysis of properties of nuclear matter with the FOPI experimental data

Guojun Wei,<sup>1,2</sup> Manzi Nan,<sup>1,3</sup> Pengcheng Li,<sup>1</sup> Yongjia Wang,<sup>1,4,\*</sup> Qingfeng Li,<sup>1,†</sup> Gaochan Yong,<sup>3</sup> and Fuhu Liu<sup>2</sup>

#### arXiv:2509.03406

# UrQMD simulation of <u>proton v1 and v2</u> @Au+Au at E=0.25, 0.4 GeV/Nucleon





See talk of Qing-feng Li this morning 11:00am

# Incompressibility K from Bayesian Inference with low-energy HIC



# Bayesian inference of nuclear incompressibility from proton elliptic flow in central ${\rm Au+Au}$ collisions at 400 MeV/nucleon

J. M. Wang (汪金梅),<sup>1,2</sup> X. G. Deng (邓先概) <sup>1</sup> ,<sup>1,2,\*</sup> W. J. Xie (谢文杰),<sup>3</sup> B. A. Li (李宝安) <sup>1</sup> ,<sup>4,†</sup> and Y. G. Ma (马余刚) <sup>1</sup> 1,<sup>2,‡</sup>

## Chinese Physics C 49, 124105 (2025)

# IQMD simulation of proton v2 Au+Au at E=400 MeV/Nucleon

#### **MDI**: momentum dependent Interaction

$$E/A = \frac{\alpha}{2} \frac{\rho}{\rho_0} + \frac{\beta}{\gamma + 1} \left(\frac{\rho}{\rho_0}\right)^{\gamma} + \frac{3}{10m} \left(\frac{3\pi^2 \hbar^3 \rho}{2}\right)^{2/3} + \frac{1}{2} t_4 \frac{\rho}{\rho_0} \int f(\vec{p}) \ln^2 \left[1 + t_5 \left(\vec{p} - \langle \vec{p}' \rangle\right)^2\right] d^3 p, \tag{1}$$

$$P = \rho^2 \frac{\partial E/A}{\partial \rho} = \frac{\alpha}{2} \frac{\rho^2}{\rho_0} + \frac{\beta \gamma \rho}{\gamma + 1} \left(\frac{\rho}{\rho_0}\right)^{\gamma} + \frac{1}{5m} \left(\frac{3}{2} \pi^2 \hbar^3\right)^{\frac{2}{3}} \rho^{\frac{5}{3}} + \frac{t_4}{2} \frac{\rho^2}{\rho_0} \ln^2 \left(1 + t_5 P_F^2\right),$$
(2)

$$\begin{split} K &= 9\rho^2 \frac{\partial^2 E/A}{\partial \rho^2} \mid_{\rho_0} = -\frac{3}{5m} \left( \frac{3\pi^2 \hbar^3 \rho_0}{2} \right)^{2/3} + \frac{9\beta \gamma \left( \gamma - 1 \right)}{\gamma + 1} \\ &+ \ln \left( 1 + t_5 P_F^2 \right) \frac{6t_4 t_5 P_F^2}{1 + t_5 P_F^2}, \end{split}$$

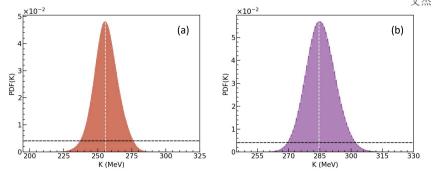


FIG. 4. Without considering the MDI: the posterior PDFs of K. Left: using the observables  $-v_2(y_0)$  and  $-v_2(u_{t0})$ , right: observables  $-v_2(y_0)$ ,  $-v_2(u_{t0})$  and  $v_1(p_t^{(0)})$ .

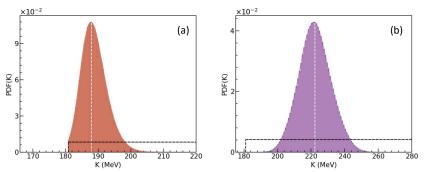


FIG. 6. Considering the MDI: the posterior PDFs of K. Left: observables  $-v_2(y_0)$  and  $-v_2(u_{t0})$ , right: observables  $-v_2(y_0)$ ,  $-v_2(u_{t0})$  and  $v_1(p_t^{(0)})$ .

## Bayesian Imaging for Nuclear Structure in Isobar Collisions



R = 5.09 fma = 0.46 fm

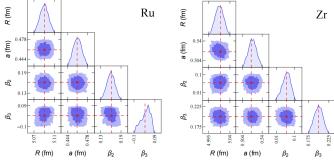
 $\beta_2 = 0.162$ 

R = 5.02 fm

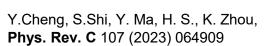
a = 0.52 fm

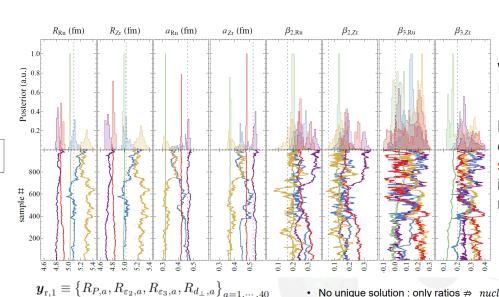
- Nuclear Structure imaging for single system? (caveat: model dependent)
- Simultaneous inference for isobar systems with ratio?
- **Bayesian Inference:** Gaussian Process emulator + PCA dim reduction + MCMC Data: MC-Glauber + Matching (linear response approximation)

$$\boldsymbol{y}_{\mathrm{Ru}} \equiv \left\{ P_{a}^{\mathrm{Ru}}, \varepsilon_{2,a}^{\mathrm{Ru}}, \varepsilon_{3,a}^{\mathrm{Ru}}, d_{\perp,a}^{\mathrm{Ru}} \right\}_{a=1,\cdots,40}$$



Single system works good





With purely the Isobar-Ratios:

MCMC can not converge to a stationary inference of the nuclear structure

Quadrupole

Octupole

J. Jia, aXiv:2106.08768

## Bayesian Imaging for Nuclear Structure in Isobar Collisions



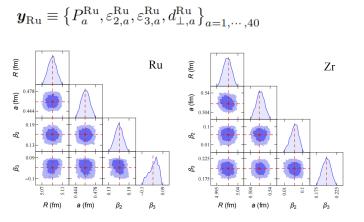
R = 5.09 fma = 0.46 fm

 $\beta_2 = 0.162$ 

R = 5.02 fm

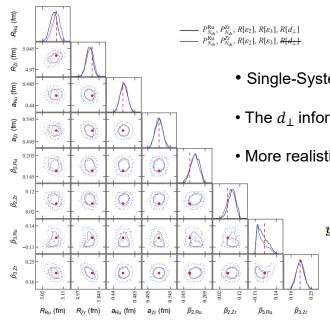
a = 0.52 fm

- Nuclear Structure imaging for single system? (caveat: model dependent)
- Simultaneous inference for isobar systems with ratio?
- Bayesian Inference: Gaussian Process emulator + PCA dim reduction + MCMC
   Data: MC-Glauber + Matching (linear response approximation)



## Single system works good

Y.Cheng, S.Shi, Y. Ma, H. S., K. Zhou, **Phys. Rev. C** 107 (2023) 064909



• Single-System Multiplicity makes it possible

J. Jia, aXiv:2106.08768

Quadrupole

Octupole

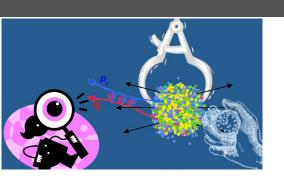
• The  $d_{\perp}$  information is redundant

More realistic analysis with AMPT in progress



# Bayesian reconstruction for h-h interaction from <u>femtoscopy</u> – mock test





$$C(k) = \int S(\mathbf{r}) |\psi_k(\mathbf{r})|^2 d^3r,$$

$$-\frac{\hbar^2}{2\mu}\nabla^2\psi + V\psi = E\psi,$$

$$S(r) = \frac{1}{(4\pi r_0^2)^{3/2}} \exp\left(-\frac{r^2}{4r_0^2}\right),$$

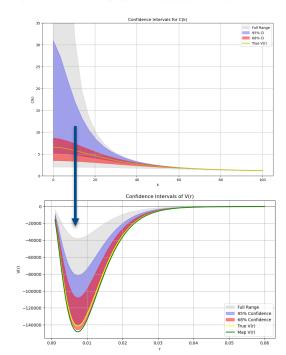
$$r_0 = 1.0 \text{ fm}$$

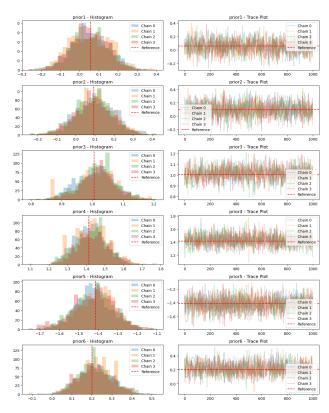
DNN emulator + PCA for correlation + PyMC With O. L, J. Z, X. C, etc., in preparation

$$V(r) = \sum_{i=1,2} a_i e^{-(r/b_i)^2} + a_3 m_{\pi}^4 f(r, b_3) \frac{e^{-2m_{\pi}r}}{r^2}$$

$$f(r, b_3) = \left(1 - e^{-(r/b_3)^2}\right)^2$$

There are six parameters are  $a_i, b_i \ (i=1,2,3)$  for this potential !





# Bayesian (Statistical) global fit on HICs



OPEN ACCESS

IOP Publishing

Journal of Physics G: Nuclear and Particle Physics

J. Phys. G: Nucl. Part. Phys. 51 (2024) 103001 (43pp)

https://doi.org/10.1088/1361-6471/ad6a2b

**Topical Review** 

# Applications of emulation and Bayesian methods in heavy-ion physics

## Different analyses = different constraints

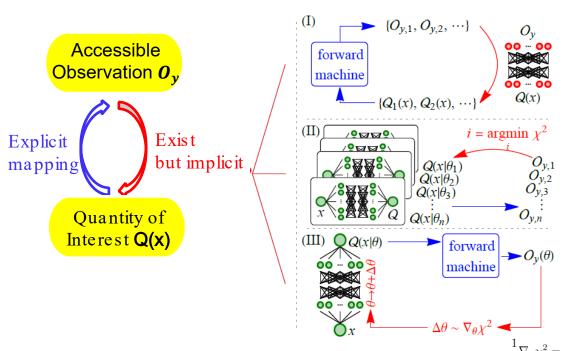
- Use different data sets
- Different modelling assumptions:
  - Initial conditions
  - Cooper-Frye
  - Allowed parametrization of transport coefficient
- Treatment of correlations in experimental uncertainties

Jean-François	Paquet @
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References	Pre-hydro	Hydro	Cooper-Frye	Data	Covariance
Bernhard et al [28]	Trento+f.s.	DNMR	P.T.B.; σ meson production	Pb-Pb @ 2.76 TeV and 5.02 TeV	$\Sigma_{ m emul}$ +diag. $\Sigma_{ m expt}^{ m stat}$ +non-diag. $\Sigma_{ m expt}^{ m syst}$ + $\Sigma_{ m extra}$
Moreland et al [89]	Trento w/ subnucleonic d.o. f.+f.s.	DNMR	P.T.B.; $\sigma$ meson production	p-Pb & Pb-Pb @ 5.02 TeV	$\Sigma_{ m emul}$ +diag. $\Sigma_{ m expt}^{ m stat}$ +non-diag. $\Sigma_{ m expt}^{ m syst}$
JETSCAPE [15, 53]	Trento+f.s.	DNMR	Grad, Chapman– Enskog, P.T.B.	Au–Au @ 0.2 TeV and Pb–Pb @ 2.76 TeV	$\Sigma_{ m emul}$ +diag. $\Sigma_{ m expt}^{ m stat}$ +diag. $\Sigma_{ m expt}^{ m syst}$
Nijs <i>et al</i> [111, 112]	Trento w/ subnucleonic d.o. f. + modified streaming	DNMR	P.T.B.; σ meson production	Pb–Pb @ 2.76 TeV; p-Pb and Pb– Pb @ 5.02 TeV; added differential observables	$\Sigma_{ m emul}$ +diag. $\Sigma_{ m expt}^{ m stat}$ +non-diag. $\Sigma_{ m expt}^{ m syst}$
Parkkila <i>et al</i> [52, 113]	Trento+f.s.	DNMR	P.T.B. $\sigma$ meson production	Pb–Pb @ 2.76 TeV and 5.02 TeV; added event-plane correlations	$\Sigma_{ m emul}$ +diag. $\Sigma_{ m expt}^{ m stat}$ +non-diag. $\Sigma_{ m expt}^{ m syst}$ + $\Sigma_{ m extra}$
Liyanage et al [35]	Trento + anisotropic hydro parameters	Viscous aniso- tropic hydro	P.T.M.A.	Pb–Pb @ 2.76 TeV	$\Sigma_{ m emul}$ +diag. $\Sigma_{ m expt}^{ m stat}$ +diag. $\Sigma_{ m expt}^{ m syst}$
Heffernan et al [24, 26]	IP-Glasma	DNMR	Grad, Chapman– Enskog	Pb–Pb @ 2.76 TeV; added event- plane correlations	$\Sigma_{ m emul}$ +diag. $\Sigma_{ m expt}^{ m stat}$ +diag. $\Sigma_{ m expt}^{ m syst}$

# Inverse Problems Solving with ML





- Direct inverse mapping capturing : with Supervised Learning
- Statistical approach to  $\chi^2$  fitting: Bayesian Reconstruction for posterior or Heuristic (Generic) Algorithm to min.

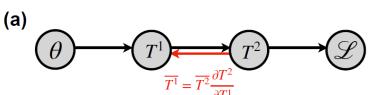
$$\chi^{2} = \sum_{y} \left( \frac{\mathcal{F}_{y}[\mathcal{Q}_{\text{NN}}(x|\boldsymbol{\theta})] - \mathcal{O}_{y}}{\Delta \mathcal{O}_{y}} \right)^{2}$$

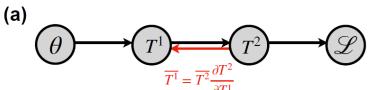
Automatic Differentiation :
 fuse physical prior into reconstruction
 via differentiable programming strategy

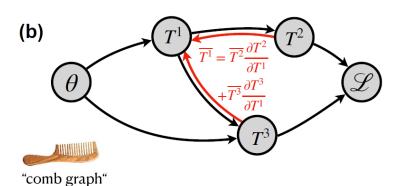
$$\frac{1}{2}\nabla_{\boldsymbol{\theta}}\chi^{2} = \sum_{y} \frac{\mathcal{F}_{y}[\mathcal{Q}_{\text{NN}}(x|\boldsymbol{\theta})] - \mathcal{O}_{y}}{(\Delta\mathcal{O}_{y})^{2}} \int dx \frac{\delta \mathcal{F}_{y}[\mathcal{Q}(x)]}{\delta \mathcal{Q}(x)} \bigg|_{\mathcal{Q}(x) = \mathcal{Q}_{\text{NN}}(x|\boldsymbol{\theta})} \nabla_{\boldsymbol{\theta}} \mathcal{Q}_{\text{NN}}(x|\boldsymbol{\theta})$$

# Overview: Deep Learning, Differentiable Programming and Automatic differentiation

Deep Learning composes differentiable components to a program, e.g. DNN, then optimizes it with gradients







Chain rule for gradients : 
$$\frac{\partial \mathcal{L}}{\partial \theta} = \frac{\partial \mathcal{L}}{\partial T^n} \frac{\partial T^n}{\partial T^{n-1}} \cdots \frac{\partial T^2}{\partial T^1} \frac{\partial T^1}{\partial \theta}$$

Defining adjoint variables :  $\overline{T} = \partial \mathcal{L}/\partial T$ 

$$\overline{T^i} = \overline{T^{i+1}} \frac{\partial T^{i+1}}{\partial T^i}$$

$$\overline{T^i} = \sum_{i: \text{ child of } i} \overline{T^j} \frac{\partial T^j}{\partial T^i}$$

$$\overline{\theta} = \overline{T^1} \frac{\partial T^1}{\partial \theta}$$

Differentiable programming tools







O PyTorch











# From EoS to NS Stellar Structure (MR)

Thin atmosphere: H, He, C,...





- Densities 5-8  $\rho_0$

Nat. Rev. Phys. 4, 237-246 (2022)

~10 km

0.5 km

### Gravity ← → Pressure

$$\left|rac{dP}{dr}=-rac{G}{r^2}\left(
ho+rac{P}{c^2}
ight)\left(m+4\pi r^3rac{P}{c^2}
ight)\left(1-rac{2Gm}{c^2r}
ight)^{-1}
ight|$$

$$M=m(R)=\int_0^R 4\pi r^2 
ho\,dr$$

Dense matter Equation of State

$$P(\rho) \leftarrow$$

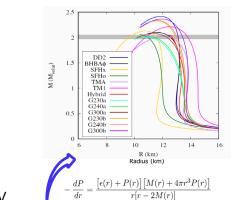
Outer crust: ions, electrons

~2× nuclear density

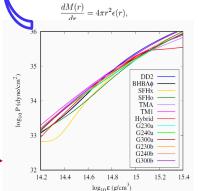
 $2 \times 10^{14} \,\mathrm{g}\,\mathrm{cm}^{-3}$ ~nuclear density

 $4 \times 10^{11} \,\mathrm{g}\,\mathrm{cm}^{-3}$ 'neutron drip'

#### Noisy/Limited NS Observables to EoS?





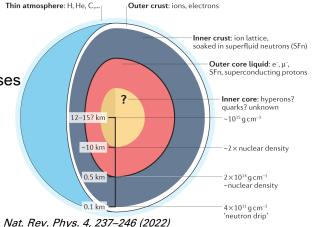


## From EoS to NS Stellar Structure (MR) -- Inverse?



■ Mass ~ 2 solar masses

- Padii ∼ 10 km
- Densities 5-8  $\rho_0$



Gravity ← → Pressure

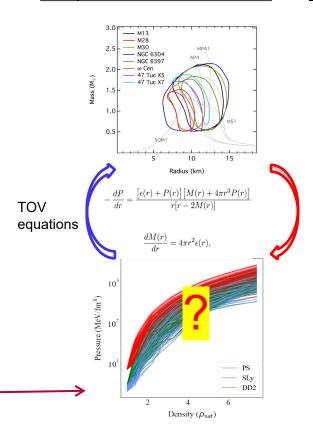
$$rac{dP}{dr} = -rac{G}{r^2}\left(
ho + rac{P}{c^2}
ight)\left(m + 4\pi r^3rac{P}{c^2}
ight)\left(1 - rac{2Gm}{c^2r}
ight)^{-1}$$

$$M=m(R)=\int_0^R 4\pi r^2 
ho\,dr$$

Dense matter Equation of State

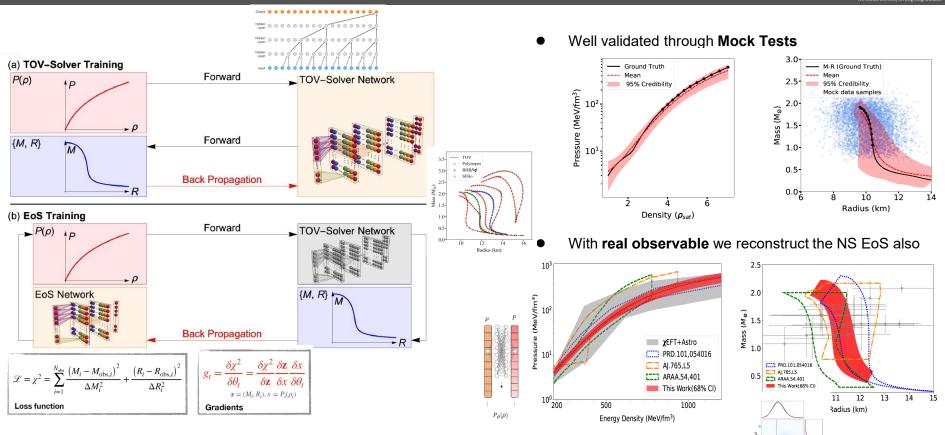


#### Noisy/Limited NS Observables to EoS?



### Auto-diff framework and Results

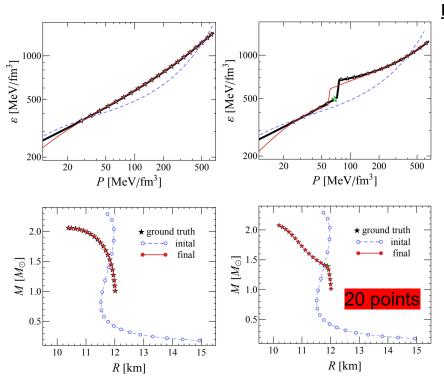




- S. Soma, L. Wang, S. Shi, H. Stoecker, K. Zhou, JCAP 98 (2022) 071
- S. Soma, L. Wang, S. Shi, H. Stoecker, K. Zhou, Phys. Rev. D 107 (2023)083028





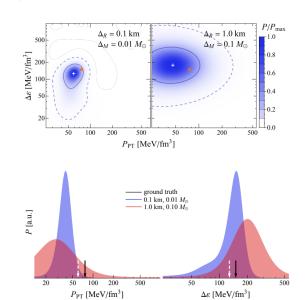


R. Li, S. Han, Z. Lin, L. Wang, K. Zhou, S. Shi, **Phys. Rev. D 111(2025)074026** 

#### <u>Linear response analysis</u> get the gradients! Then use DNN:

We parameterize the inverse speed of sound squared containing both regular parts and Dirac- $\delta$  functions corresponding to possible first-order phase transitions,

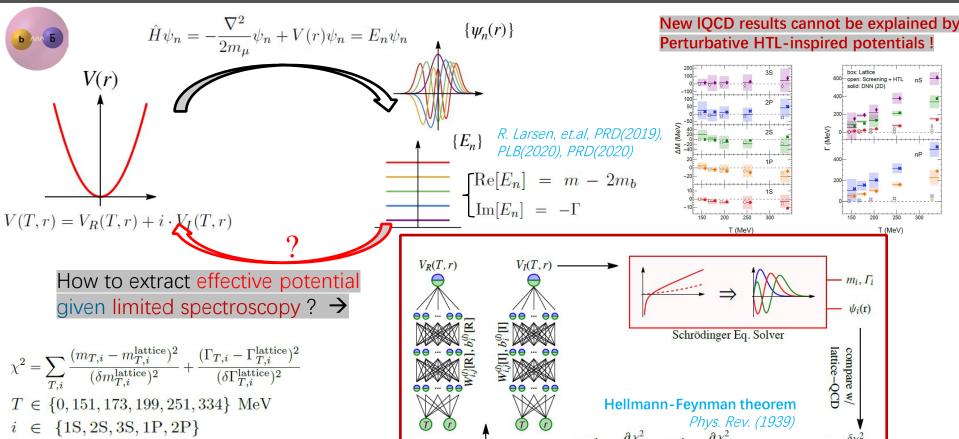
We adopt SFHo as the baseline EoS and introduce a PT with latent heat  $\Delta \varepsilon = 150 \text{ MeV/fm}^3$  at pressure  $P_{\rm PT} = 76 \text{ MeV/fm}^3$ . Above the PT point, we take the stiffest (causal) limit that  $c_s = 1$ . We employ twenty



## HQ Potential Model, Inverse Shroedinger Eq.

S.S, K. Z, J.Z, S.M., P. Z, Phys. Rev. D 105 (2022) 1, 1



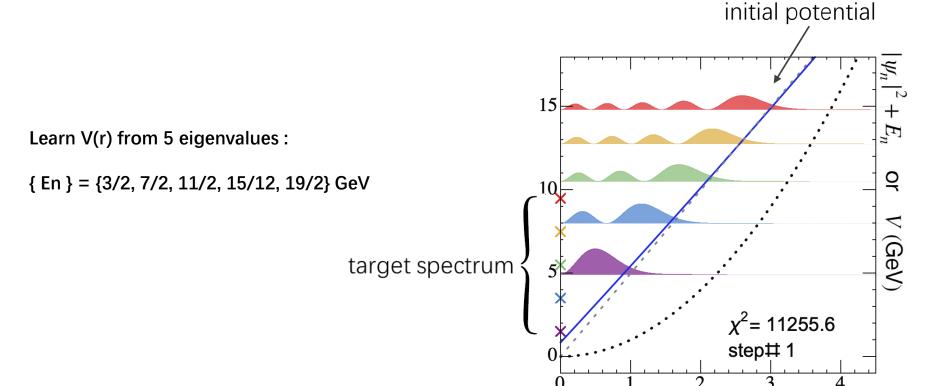


update

# **Proof of Concept**



limited spectrum { En } to continuous interaction V(r) ?



## **Proof of Concept**



<u>limited spectrum { En } to continuous interaction V(r) ?</u>

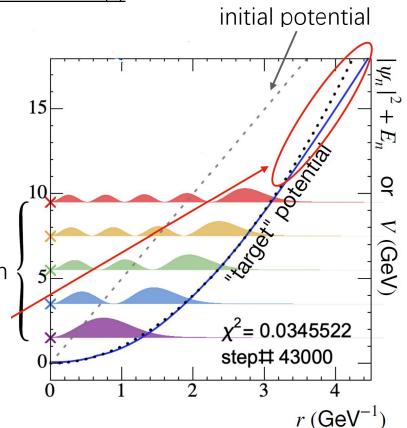
-- Yes! But to some range decided by the used states.

Learn V(r) from 5 eigenvalues :

target spectrum

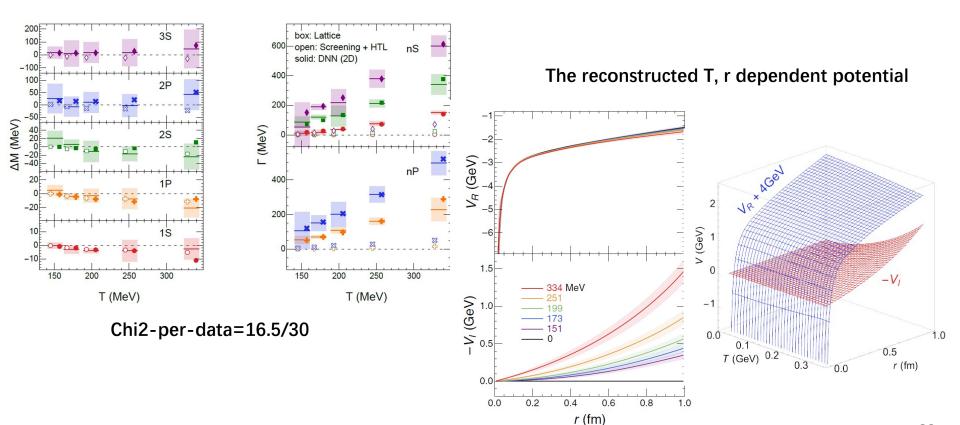
Deviation @ given states' wavefunction vanishes

$$\delta E_n = \langle \psi_n \, | \, \delta V(r) \, | \, \psi_n \rangle$$



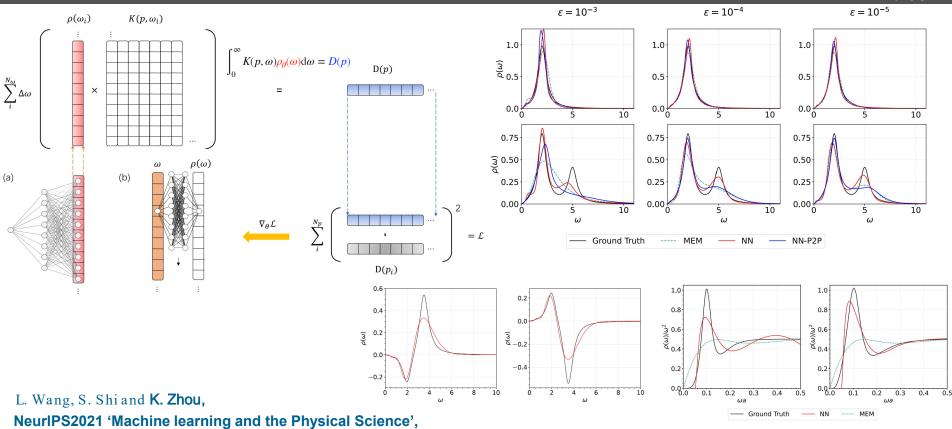






### Spectral function reconstruction from Euclidean correlator





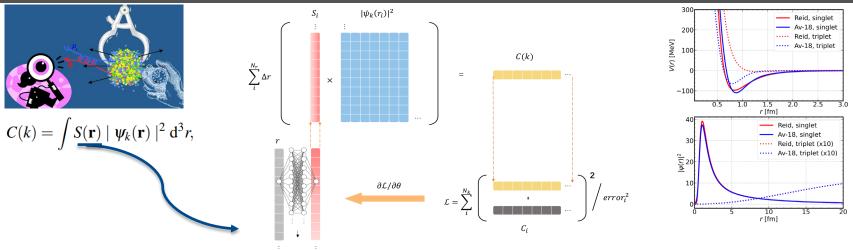
NeurlPS2021 'Machine learning and the Physical Science Phys. Rev. D 106, L051502 (Letter), Computer Physics Communications (2022) 108547,

 $K(\omega, \tau, T) = \frac{\cosh \omega(\tau - \frac{1}{2T})}{\sinh \frac{\omega}{2T}}$ 

34

## Hadron emission source reconstruction via femtoscopy

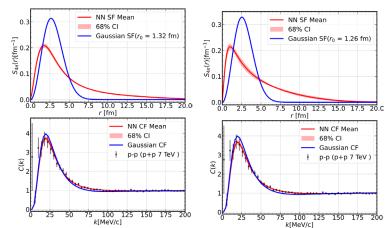




Learning Hadron Emitting Sources with Deep Neural Networks

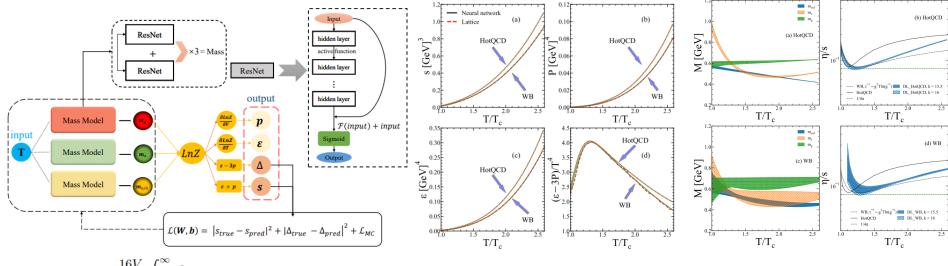
Lingxiao Wang<sup>1</sup> and Jiaxing Zhao<sup>2,3,\*</sup>

arXiv:2411.16343



# Quasi-particle analysis of IQCD thermodynamics





$$\ln Z_g(T) = -\frac{16V}{2\pi^2} \int_0^\infty p^2 dp$$

$$\ln \left[ 1 - \exp\left(-\frac{1}{T}\sqrt{p^2 + m_g^2(T)}\right) \right], \quad (2)$$

$$\ln Z_{q_i}(T) = +\frac{12V}{2\pi^2} \int_0^\infty p^2 dp$$

$$\ln \left[ 1 + \exp\left(-\frac{1}{T}\sqrt{p^2 + m_{q_i}^2(T)}\right) \right], \quad (3)$$

$$P(T) = T \left( \frac{\partial \ln Z(T)}{\partial V} \right)_T, \tag{5}$$

$$\epsilon(T) = \frac{T^2}{V} \left( \frac{\partial \ln Z(T)}{\partial T} \right)_V,$$
 (6)

See talk of Fu-peng Li tomorrow morning 10:20am

$$\chi_i^B = \frac{\partial P(T,\hat{\mu}_B)/T^4}{\partial \hat{\mu}_B^i}\bigg|_{\hat{\mu}_B=0}, \hat{\mu}_B = \mu_B/T.$$

 $\mathcal{L}(\theta_{1}, \theta_{2}, \theta_{3}) = |s_{NN} - s_{input}| + |\frac{|\Delta_{NN} - \Delta_{input}|}{T}| + |\chi_{2,NN}^{B} - \chi_{2,input}^{B}| + |\chi_{4,NN}^{B} - \chi_{4,input}^{B}| + \mathcal{L}_{MC}$ 

F. Li, H. Lue, L. Pang and G. Qin, **Phys. Lett. B** 2023, arXiv:2211.07994

Recently generalized to finite baryon chemical potential (use IQCD res with Taylor expansion) → arXiv:2501.10012



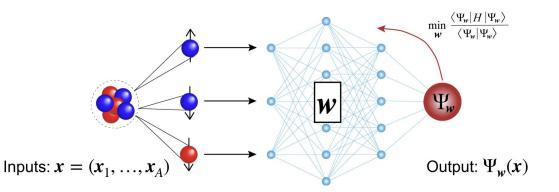


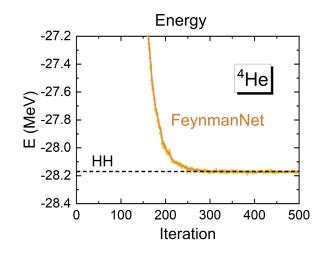
$$H = \sum_{i=1}^{A} \frac{p_i^2}{2M_i} + \sum_{i < j} v_{ij} + \sum_{i < j < k} V_{ijk} \qquad H\Psi(x_1, x_2, ..., x_A) = E\Psi(x_1, x_2, ..., x_A)$$

See talk of Yi-Long Yang this afternoon, 15:40pm

$$\psi_T = \psi_T(R, \vec{\theta})$$

$$E_T = \frac{\langle \psi_T | H | \psi_T \rangle}{\langle \psi_T | \psi_T \rangle} \ge E_0$$

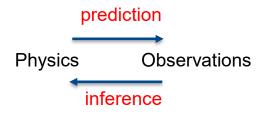




#### Lessons



- Merge ML/DL with accumulated Physics Knowledge via AD
- Differentiable Physics Programming to directly invert the simulation Key words: <u>inverse control</u>, <u>inverse design</u>
- Physics priors still important in DNN representation constraints
- Uncertainties can be obtained via Bayesian perspective training: Langevin



#### Generative Models



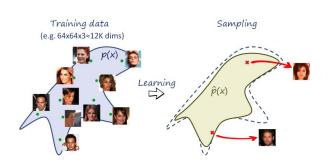




Want to **model** the observed data's underlying but unknown **distribution**, to further:

- Understand/Inference the data (inherent structure, properties, features...)
- · Sample according to the distribution

"What I can not create, I do not understand"



Suppose observation dataset :

$$\mathbf{X} = \{x^{(1)}, x^{(2)}, ..., x^{(N)}\} \stackrel{i.i.d}{\sim} p_{data}(x)$$

We use parametric model to approach the data distribution:

$$p_{\theta}(x) \to p_{data}(x)$$

Often use NN to parametrize transformation  $\log p_{ heta}(\mathbf{x}) = \log p_{ heta}(f_{ heta}(\mathbf{z}_0))$ 

Maximize Likelihood Estimation: (given training samples)

$$\theta^* = \underset{\theta}{\operatorname{arg max}} \log p_{\theta}(\mathbf{X}) = \underset{\theta}{\operatorname{arg max}} \frac{1}{N} \sum_{i=1}^{N} \log p_{\theta}(x^{(i)})$$

Reverse KL Divergence : Sample many  $\mathbf{z}_0 \sim p_0(\mathbf{z}_0)$  (given unnormalized target distribution, e.g., Action)

$$\theta^* = \arg\min_{\theta} \frac{1}{N} \sum_{i=1}^{N} [\log p_{\theta}(f_{\theta}(\mathbf{z}_0)) - \log \tilde{p}_{target}(f_{\theta}(\mathbf{z}_0))]$$

## Variational Free Energy Learning with autoregressive generative model



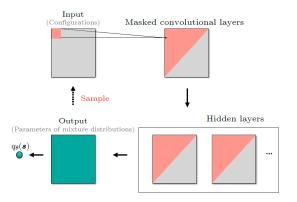
Variational free energy minimization - Reverse KL divergence

$$D_{\mathrm{KL}}(q_{\theta} \parallel p) = \sum_{\mathbf{s}} q_{\theta}(\mathbf{s}) \ln \left( \frac{q_{\theta}(\mathbf{s})}{p(\mathbf{s})} \right) = \beta(F_q - F) \qquad F_q = \frac{1}{\beta} \sum_{\mathbf{s}} q_{\theta}(\mathbf{s}) \left[ \beta E(\mathbf{s}) + \ln q_{\theta}(\mathbf{s}) \right]$$

$$\underset{X \sim p(X)}{\mathbb{E}} \left[ \underbrace{E(X)}_{X \sim p(X)} + k_B T \ln p(X) \right]$$
Autoregressive  $q_{\theta}(\mathbf{s}) = \prod_{i=1}^{N} q_{\theta}(s_i \mid s_1, \dots, s_{i-1})$ 

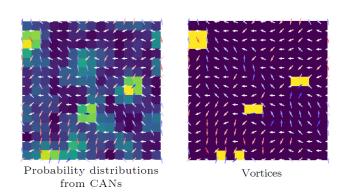
$$p(\mathbf{s}) = \frac{\mathrm{e}^{-\beta E(\mathbf{s})}}{Z}$$

- **Continuous** Autoregressive Net for XY model



D. Wu, Lei Wang and P. Zhang, **PRL**122,080602(2019)

L. Wang, Y. Jiang, L. He, K. Zhou, CPL 39, 120502 (2022)

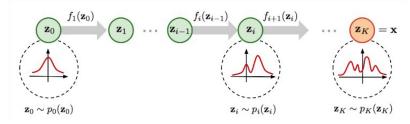


### Flow based generative model given unnormalized distribution



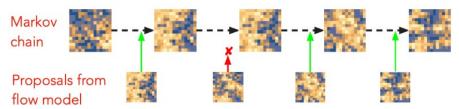
#### A series (Flow) of invertible/bijective transformations for p(z)

#### compose several invertible transformations to form the flow:



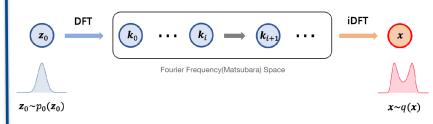
$$p_i(\mathbf{z}_i) = p_{i-1}(f_i^{-1}(\mathbf{z}_i)) |\det J_{f_i^{-1}}| = p_{i-1}(\mathbf{z}_{i-1}) |\det J_{f_i}|^{-1}$$

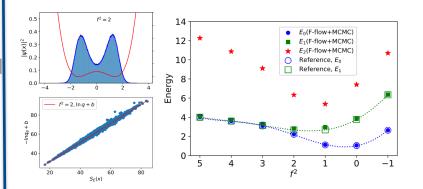
$$\to \log p(\mathbf{x}) = \log p_0(f^{-1}(\mathbf{x})) + \sum_{i=1}^{K} \log |\det J_{f_i}| = \log p_0(\mathbf{z}_0) - \sum_{i=1}^{K} \log |\det J_{f_i}|$$



Albergo +, 1904.12072; Boyda +, 2008.05456; Favoni +, 2012.12901; Abbott +, 2208.03832; Abbott +, 2211.07541; Abbott +, 2305.02402; Bulgarelli+ 2412.00200 (SU(3)); Abbott +, arXiv:2502.00263 K.C, G. K., S. R., D. R., P. S., **Nature Reviews Physics** 5, 526-535 (2023)

#### **Fourier Flow Model**

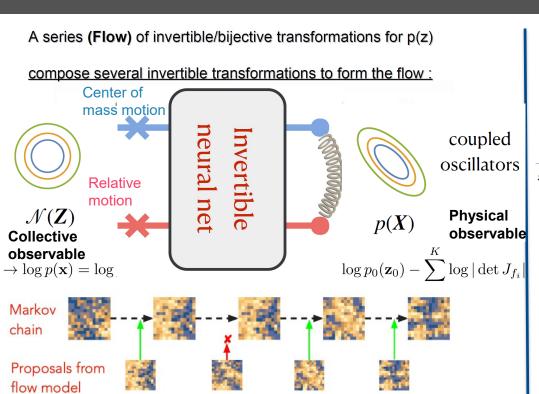




S.C, O. S, S. Z, B. C, H. S, L. W, **K. Zhou**, **Phys. Rev. D 107, 056001(2023)** 

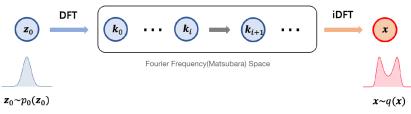
### Flow based generative model given unnormalized distribution

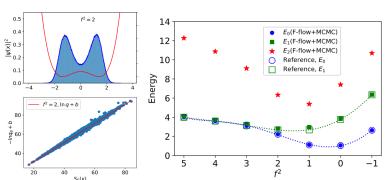




Albergo +, 1904.12072; Boyda +, 2008.05456; Favoni +, 2012.12901; Abbott +, 2208.03832; Abbott +, 2211.07541; Abbott +, 2305.02402; Bulgarelli+ 2412.00200 (SU(3)); Abbott +, arXiv:2502.00263 K.C, G. K., S. R., D. R., P. S., **Nature Reviews Physics** 5, 526-535 (2023)

#### **Fourier Flow Model**



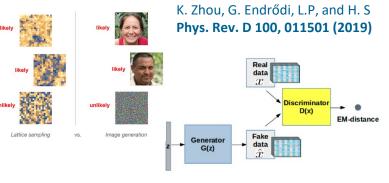


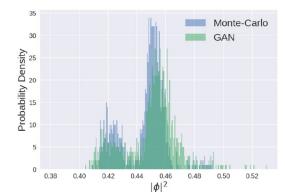
S.C, O. S, S. Z, B. C, H. S, L. W, **K. Zhou**, **Phys. Rev. D 107, 056001(2023)** 

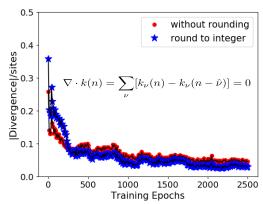
### Given an ensemble of data from the target distribution

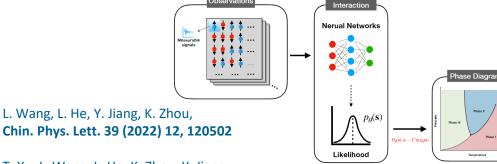
Learning

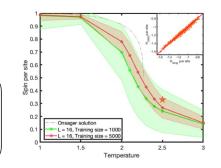


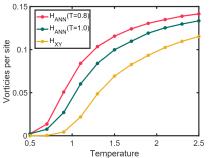








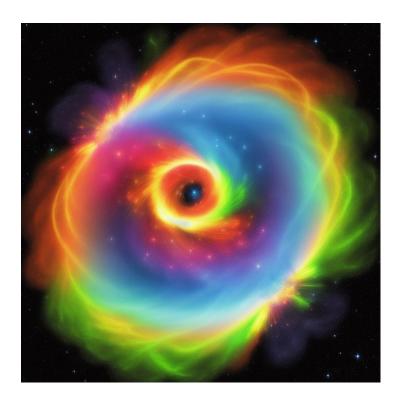




T. Xu, L. Wang, L. He, K. Zhou, Y. Jiang, Chin. Phys. C 48 (2024) 10, 103101

# Diffusion Model





"A heavy quark move inside quark-gluon plasma"

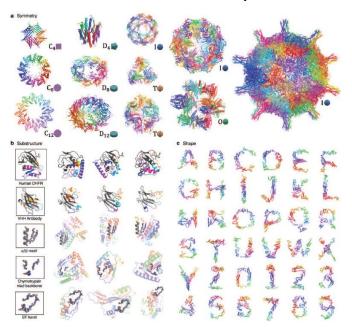




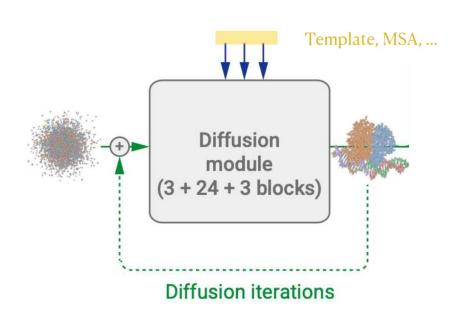
#### **Diffusion Model**



## protein structure prediction and design



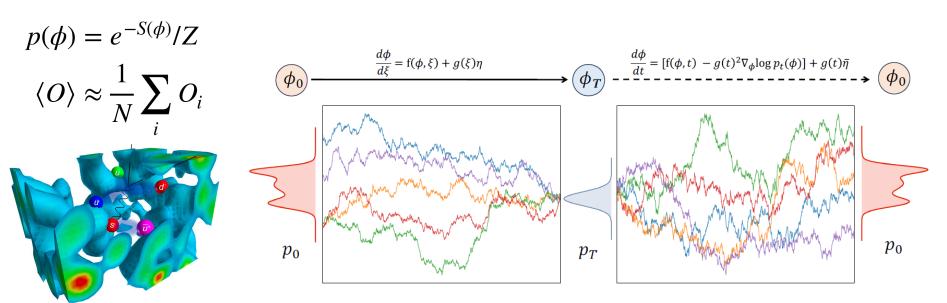
Ingraham et al, Chroma, Nature 2023 <a href="https://generatebiomedicines.com/chroma">https://generatebiomedicines.com/chroma</a>



Abramson et al, AlphaFold3, Nature 2024 https://deepmind.google/technologies/alphafold/

#### Diffusion Model meets lattice QFT





- L. Wang, G. Aarts, K. Zhou, JHEP 05 (2024) 060
- L. Wang, G. Aarts, K. Zhou, arXiv:2311.03578 (NeurIPS 2023 workshop "ML&Physical Sciences")
- G. A, D. E. H, L. W, K. Zhou, arXiv:2410:21212 (NeurIPS 2024 workshop "ML&Physical Sciences) → "Best Physics for Al Paper" Award
- Q. Zhu, G. Aarts, W. Wang, K. Zhou, L. Wang, arXiv:2410.19602 (NeurIPS 2024 workshop "ML&Physical Sciences)
- G.Aarts, D.E.H, L.W, K. Zhou, arXiv:2510.01328

# Diffusion Model for field configurations

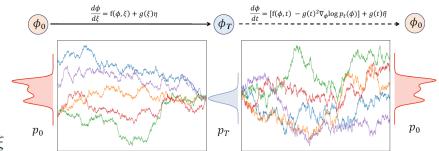


Forward diffusion SDE

$$\frac{d\phi}{d\xi} = f(\phi, \xi) + g(\xi)\eta(\xi) \quad \langle \eta(\xi)\eta(\xi') \rangle = 2\alpha\delta(\xi - \xi')$$

Backward diffusion SDE

$$\frac{d\phi}{dt} = \left[ f(\phi, t) - g^2(t) \nabla_{\phi} \log p_t(\phi) \right] + g(t) \bar{\eta}(t) \quad t \equiv T - \xi$$



- O Score matching Training  $\mathcal{L}_{\theta} = \sum_{i=1}^{N} \sigma_i^2 \mathbb{E}_{p_0(\phi_0)} \mathbb{E}_{p_i(\phi_i|\phi_0)} \left[ \|s_{\theta}(\phi_i, \xi) \nabla_{\phi_i} \log p_i(\phi_i|\phi_0)\|_2^2 \right]$
- O Sample generation SDE in variance exploding scheme :  $\frac{d\phi}{d\tau} = g_{\tau}^2 \nabla_{\phi} \log q_{\tau}(\phi) + g_{\tau} \bar{\eta}(\tau)$   $\tau \equiv T t$

$$\frac{\partial p_{\tau}(\phi)}{\partial \tau} = \int d^n x \left\{ g_{\tau}^2 \frac{\delta}{\delta \phi} \left( \bar{\alpha} \frac{\delta}{\delta \phi} + \nabla_{\phi} S_{\rm DM} \right) \right\} p_{\tau}(\phi).$$

$$p_{\rm eq}(\phi) \propto e^{-S_{\rm DM}/\bar{\alpha}}$$

$$\nabla_{\phi} S_{\rm DM} \equiv -\nabla_{\phi} \log q_{\tau}(\phi)$$

A flow of <u>effective action</u> will be learned in DMs
 sampling from a DM is equivalent to optimize

sampling from a DM is equivalent to optimizing a stochastic trajectory to approach the "equilibrium state"

L. Wang, G. Arts, K. Zhou, JHEP 05(2024) 060

# Diffusion Model for field configurations



Forward diffusion SDE

$$\frac{d\phi}{d\xi} = f(\phi, \xi) + g(\xi)\eta(\xi) \quad \langle \eta(\xi)\eta(\xi') \rangle = 2\alpha\delta(\xi - \xi')$$

Physical motivation

- Destroy structure in data through a diffusive process.
- Carefully record the destruction.

Backward diffusion SDE

$$\frac{d\phi}{dt} = \left[ f(\phi, t) - g^2(t) \nabla_{\phi} \log p_t(\phi) \right] + g(t) \bar{\eta}(t) \quad \underline{t} \equiv T - \underline{\xi}$$

- Use deep networks to reverse time and create structure from noise.
- O Score matching Training  $\mathcal{L}_{\theta} = \sum_{i=1}^{N} \sigma_i^2 \mathbb{E}_{p_0(\phi_0)} \mathbb{E}_{p_i(\phi_i|\phi_0)} \left[ \|s_{\theta}(\phi_i, \xi) \nabla_{\phi_i} \log p_i(\phi_i|\phi_0)\|_2^2 \right]$
- O Sample generation SDE in **variance exploding scheme :**  $\frac{d\phi}{d\tau} = g_{\tau}^2 \nabla_{\phi} \log q_{\tau}(\phi) + g_{\tau} \bar{\eta}(\tau)$   $\tau \equiv T t$

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$$p_{\rm eq}(\phi) \propto e^{-S_{\rm DM}/\bar{\alpha}}$$

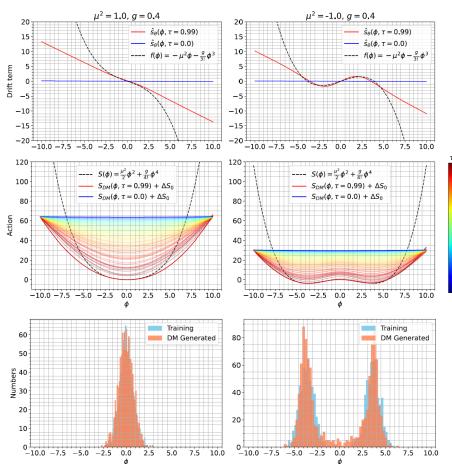
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O A flow of **effective action** will be learned in DMs

sampling from a DM is equivalent to optimizing a stochastic trajectory to approach the "equilibrium state" L. Wang, G. Arts, K. Zhou, JHEP 05(2024) 060

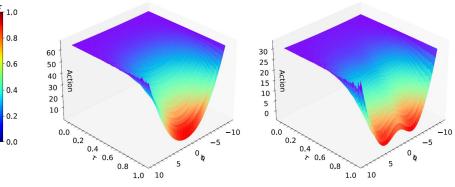
## Effective Action on A Toy model





#### Flow of the effective action

$$S(\phi) = \frac{\mu^2}{2}\phi^2 + \frac{g}{4!}\phi^4, \qquad f(\phi) = -\frac{\partial S(\phi)}{\partial \phi} = -\mu^2\phi - \frac{g}{3!}\phi^3$$



$$S_{\mathrm{DM}}(\phi, \tau) = \int^{\phi} \hat{s}_{\theta}(\tilde{\phi}, \tau) d\tilde{\phi}$$

#### Stochastic Quantization



$$\frac{\partial \phi(x,\tau)}{\partial \tau} = -\frac{\delta S_E[\phi]}{\delta \phi(x,\tau)} + \eta(x,\tau)$$

$$\langle \eta(x,\tau) \rangle = 0, \quad \langle \eta(x,\tau) \eta(x',\tau') \rangle = 2\alpha \delta(x-x') \delta(\tau-\tau')$$
  
 $\tau$ : fictitious time,  $\alpha$ : diffusion constant

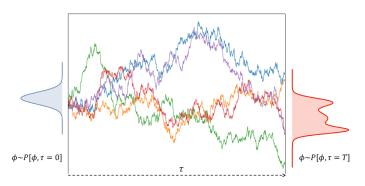
#### Fokker-Planck equation

$$\frac{\partial P[\phi, \tau]}{\partial \tau} = \alpha \int d^n x \left\{ \frac{\delta}{\delta \phi} \left( \frac{\delta}{\delta \phi} + \frac{\delta S_E}{\delta \phi} \right) \right\} P[\phi, \tau]$$

Equilibrium solution (long-time limit),

$$P_{\sf eq}[\phi] \propto e^{-rac{1}{lpha}S_{E}[\phi]}$$

One can construct stochastic process to reproduce the quantum path integral with its equilibrium:



Thermal equilibrium limit → Quantum distribution

• Set the diffusion constant as  $\alpha = \hbar$ 

$$P_{\mathsf{eq}}[\phi] \sim e^{-\frac{1}{\hbar}S_E[\phi]} = P_{\mathsf{quantum}}[\phi]$$

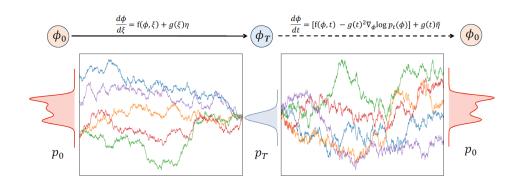
### Diffusion Model as SQ



#### O DM generation SDE and Stochastic Quantization:

$$\frac{\partial \phi(x,\tau)}{\partial \tau} = g^2(\tau) \nabla_{\phi} \log P(\phi;\tau) + g(\tau) \eta(x,\tau)$$

$$\frac{\partial \phi(x,\tau)}{\partial \tau} = -\nabla_{\phi} S(\phi) + \sqrt{2} \eta(x,\tau)$$



#### Similarities and differences:

- ✓ SQ: fixed drift, determined from known action constant noise variance (but can be generalised using kernels) thermalisation followed by long-term evolution in equilibrium
- ✓ DM: drift and noise variance time-dependent, learn from data evolution between  $0 \le \tau \le T = 1$ , many short runs

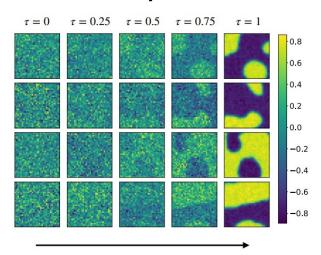
# DM on 2d scalar $\phi^4$ model



o 32x32 lattice, HMC generated <u>5120 configurations</u> as training set

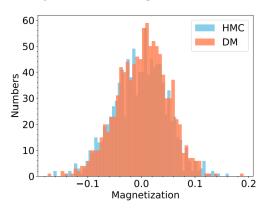
$$S_E = \sum_{x} [-2\kappa \sum_{\mu=1}^{d} \phi(x)\phi(x+\hat{\mu}) + (1-2\lambda)\phi(x)^2 + \lambda\phi(x)^4].$$

#### **Broken phase:**



numerous "bulk" patterns emerge

#### symmetric phase:



data-set	$\langle M \rangle$	$\chi_2$	$U_L$
Training (HMC)	$0.0012 \pm 0.0007$	$2.5160\pm0.0457$	$0.1042 \pm 0.0367$
Testing (HMC)	$0.0018 \pm 0.0015$	$2.4463\pm0.1099$	$-0.0198 \pm 0.1035$
Generated (DM)	$0.0017 \pm 0.0015$	$2.4227\pm0.1035$	$0.0484\pm0.0959$

# Relation to (inverse) RG



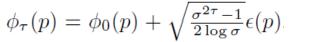
Forward diffusion kernel: **gaussian smoothing** 

$$p_{\xi}(\phi_{\xi}|\phi_0) = \mathcal{N}\left(\phi_{\xi}; \phi_0, \frac{1}{2\log\sigma}(\sigma^{2\xi} - 1)\mathbf{I}\right)$$

$$\phi_{\tau}(\mathbf{x}) = \phi_{0}(\mathbf{x}) + \sqrt{\frac{\sigma^{2\tau} - 1}{2 \log \sigma}} \epsilon(\mathbf{x}) \text{ with } \epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$$

In **Fourier space**:

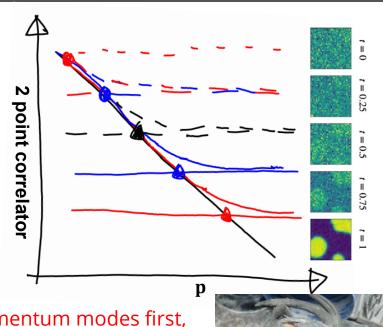
$$\phi_{\tau}(p) = \phi_0(p) + \sqrt{\frac{\sigma^{2\tau} - 1}{2 \log \sigma}} \epsilon(p)$$



- ! the above evolution will perturb (smear) higher momentum modes first,
- With decreasing cut scale because of the gradually increasing noise level!

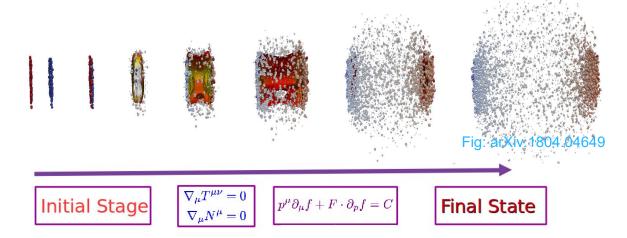


In FRG, the high frequency (short-distance) degrees of freedom is progressively integrated out!



## Generative model to speed up HIC simulation





Impact parameter
Nuclear structure

Bulk Matter properties: Phase Transition EOS Shear/Bulk viscosities Hard Probes Event Generation Final observables

Applications to Lattice QFT

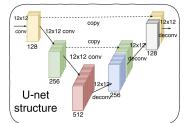
### U-net Emulator for relativistic hydrodynamics



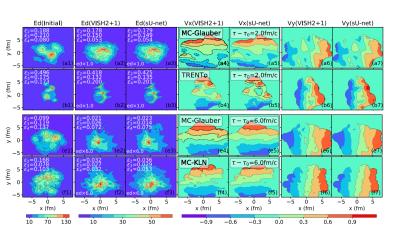
#### PHYSICAL REVIEW RESEARCH 3, 023256 (2021)

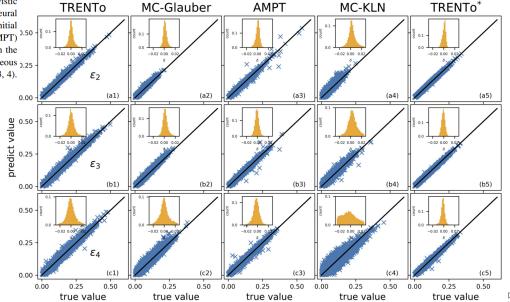
#### Applications of deep learning to relativistic hydrodynamics

Hengfeng Huang,<sup>1,2</sup> Bowen Xiao,<sup>3</sup> Ziming Liu,<sup>1</sup> Zeming Wu,<sup>1,2</sup> Yadong Mu,<sup>3,4</sup> and Huichao Song <sup>1,2,5</sup>



tic heavy-ion collisions. Using 10 000 initial and final profiles generated from (2+1)-dimensional relativistic hydrodynamics VISH2+1 with Monte Carlo Glauber (MC-Glauber) initial conditions, we train a deep neural network based on the stacked U-net, and use it to predict the final profiles associated with various initial conditions, including MC-Glauber, MC Kharzeev-Levin-Nardi (MC-KLN), a multiphase transport (AMPT) nodel, and the reduced thickness event-by-event nuclear topology (TRENTo) model. A comparison with the VISH2+1 results shows that the network predictions can nicely capture the magnitude and inhomogeneous  $_{0.25}$  structures of the final profiles, and creditably describe the related eccentricity distributions  $P(\varepsilon_n)$  (n=2,3,4).





## CNN Emulator to hydrodynamic results of heavy-ion collisions

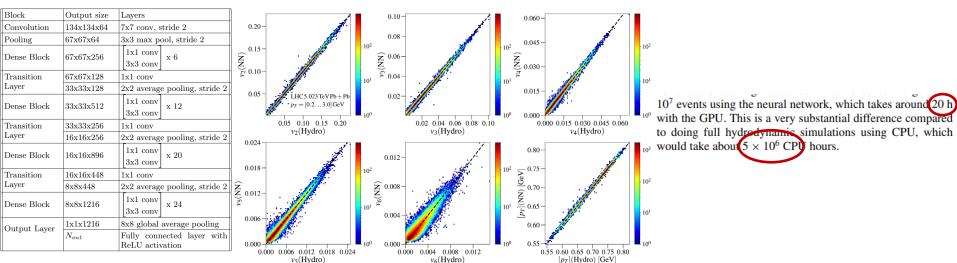


#### PHYSICAL REVIEW C 108, 034905 (2023)

#### Deep learning for flow observables in ultrarelativistic heavy-ion collisions

H. Hirvonen , K. J. Eskola, and H. Niemi

As an input, the DenseNet model uses discretized initial energy density in the transverse-coordinate (x, y) plane calculated from the EKRT model with a grid size  $269 \times 269$  and a resolution of 0.07 fm. The DenseNet model is trained to reproduce a set of final state  $p_T$  integrated observables  $v_n$ , average transverse momentum  $[p_T]$ , and charged particle multiplicity  $dN_{ch}/d\eta$  for each event. The input energy density is normalized in such a



## Generative diffusion model to heavy-ion collisions

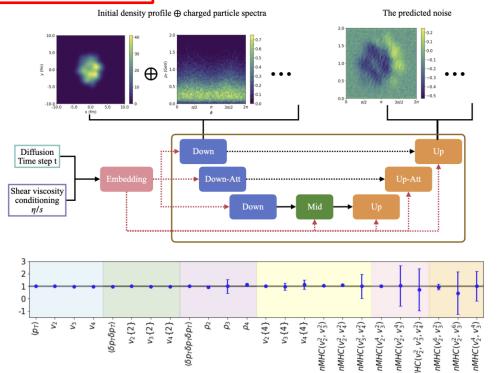


See the talk of Jing-An Sun tomorrow 10:40am

#### An end-to-end generative diffusion model for heavy-ion collisions

Jing-An Sun,<sup>1,2</sup> Li Yan,<sup>1,3</sup> Charles Gale,<sup>2</sup> and Sangyong Jeon<sup>2</sup>

Phys. Rev. C (Letter) 2025 arXiv:2410.13069



tor. We carried out (2+1)D minimum bias simulations of Pb-Pb collisions at 5.02 TeV, choosing the shear viscosity  $\eta/s$  to be one of three distinct values: 0.0, 0.1, and 0.2. For each value of  $\eta/s$ , we generate 12,000 pairs of initial entropy density profiles and final particle spectra, corresponding to 12,000 simulated events, as the training dataset. 70% of the total events are used for training and the rest are used for validation.

Considering that the spectra  $\mathbf{S}_0$  depend on the initial entropy density profiles  $\mathbf{I}$  and the shear viscosity  $\eta/s$ , we train a conditional reverse diffusion process  $p(\mathbf{S}_0|\mathbf{I},\eta/s)$  without modifying the forward process.

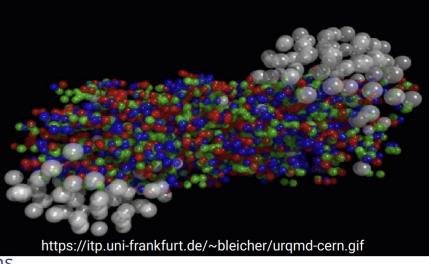
one single central collision event in just  $10^{-1}$  seconds on a GeForce GTX 4090 GPU.

ble precision, as the traditional numerical simulation of hydrodynamics for one central event typically takes approximately 120 minutes (10<sup>4</sup> seconds) on a single CPU.

### Point Cloud Diffusion Model for HICs – UrQMD cascade model



- Event-by-event collision output
- Microscopic non-equilibrium description
- hadrons on classical trajectories
  - stochastic binary scatterings
  - color string formation
  - resonance excitation and decays

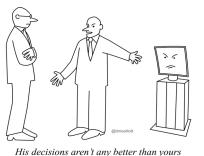


- interactions based on scattering cross sections
- default setup effective EoS: Hadron Resonance Gas
- Non-trivial interactions can be added through QMD approach

# Can we emulate UrQMD with DL?

### Point Cloud Diffusion Model for HICs – AI clone of simulation

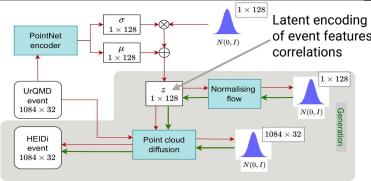


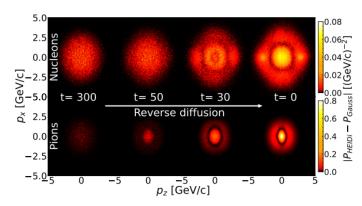


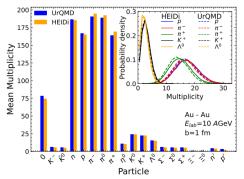
— but they're WAY faster...

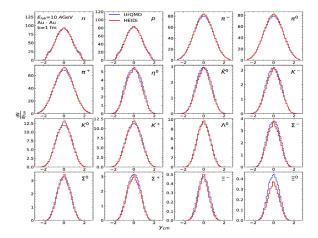
- 18k UrQMD simulation events for central Au-Au@10 AGeV collisions
- HEIDi: Heavy-ion Events through Intelligent Diffusion

PointNet encoder + Normalizing flow decoder + Pointcloud diffusion  $\rightarrow$ 



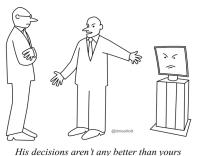






### Point Cloud Diffusion Model for HICs – AI clone of simulation

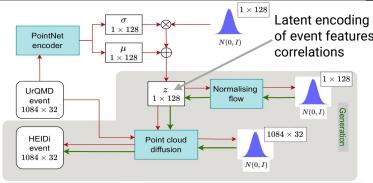


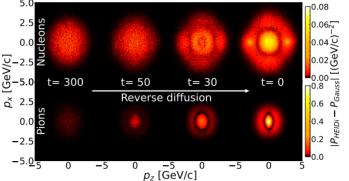


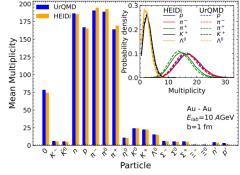
— but they're WAY faster...

- 18k UrQMD simulation events for central Au-Au@10 AGeV collisions
- HEIDi: Heavy-ion Events through Intelligent Diffusion

PointNet encoder + Normalizing flow decoder + Pointcloud diffusion →







Running time of UrQMD simulation

cascade: ~ 3 sec/event; with potential: ~ 3 min/event; hybrid: ~ 1 hour/event

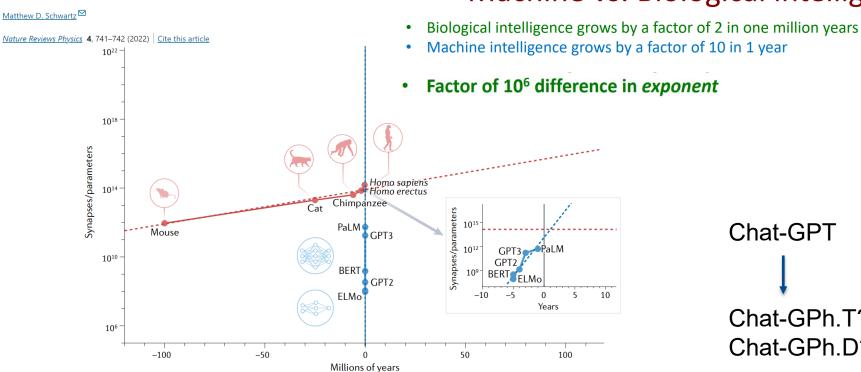
- HEIDI on A100: ~ 30 ms/event
- Speedup **2** ~ **5 orders** of magnitude

## What's the future? – Generative AI and LLM maybe the future



#### Should artificial intelligence be interpretable to humans?

# Machine vs. Biological intelligence



Chat-GPT Chat-GPh.T? Chat-GPh.D?

Fig. 1| The evolution of biological and artificial intelligence takes place on dramatically different timescales. Any hope of interpreting and understanding Al will exponentially fade. Some example data points are highlighted in the evolution of biological (red) and artificial (blue) intelligence. The dashed lines represent the linear regression to these points. The acronyms in the figure are: Pathways Language Model (PaLM), Embeddings from Language Model (ELMo), Bidirectional Encoder Representations from Transformers (BERT), Generative Pre-trained Transformer (GPT).

## Summary: Machine Learning and HENP



- **Deep Learning** help bridging <u>HIC experiment</u> with <u>theory/model</u> for physics exploration/inversion caveat: model dependency
- **Bayesian Inference** for EoS from different beam energy experiment data (v2 and mT) perform well - consistent with dv1/dy measurements and BNSM constraint sensitivity check reveals tension: measurement uncertainty or model limitation
- Auto-diff help physics extraction taking advantange of GPU and DNN
- → Combined global fit of EoS from HIC and NS obs. ? (need to take care of isospin dependence)
- → More Physics Priors, and, Generative AI with Discriminative AI together as the fifth paradigm for nuclear physics Nature Review Physics (2025)

Prog. Part. Nucl. Phys. 135 (2024) 104084

Nucl. Sci. Tech. 34 (2023) 6, 88

# Summary: Machine Learning and HENP



- **Deep Learning** help bridging <u>HIC experiment</u> with <u>theory/model</u> for physics exploration/inversion caveat: model dependency
- **Bayesian Inference** for EoS from different beam energy experiment data (v2 and mT) perform well consistent with dv1/dy measurements and BNSM constraint sensitivity check reveals tension: measurement uncertainty or model limitation
- Auto-diff help physics extraction taking advantange of GPU and DNN
- → Combined global fit of EoS from HIC and NS obs. ? (need to take care of isospin dependence)
- → More Physics Priors, and, Generative AI with Discriminative AI together as the fifth paradigm for nuclear physics

  Nature Review Physics (2025)

Thanks!

Nature Review Physics (2025)

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## Formal solution for forward process in DM



o forward process:

$$\dot{x}(t) = K(x(t), t) + g(t)\eta(t)$$

noise profile  $\sigma^{t/T}$ 

backward process:

$$x'(\tau) = -K(x(\tau), T - \tau) + g^2(T - \tau)\partial_x \log P(x, T - \tau) + g(T - \tau)$$
score

two main schemes:

- $K \circ \infty$  variance-expanding (VE): no drift K(x,t) = 0
- o variance-preserving (VP) or denoising diffusion probabilistic models (DDPMs):

linear drift 
$$\ K(x(t),t)=-rac{1}{2}k(t)x(t)$$

- $\circ$  initial data from target ensemble  $x_0 \sim P_0(x_0)$
- solution:  $x(t) = x_0 f(t,0) + \int_0^t ds \, f(t,s) g(s) \eta(s)$
- with  $f(t,s)=e^{-rac{1}{2}\int_s^t ds' \, k(s')}$

G. Aarts, D. E.H, L. W, and K. Zhou, **Mach. Learn.: Sci. Tecnol. 6(2025)025004** 

## Correlations evolution in forward process in DM



moments

$$\mu_n(t) = \mathbb{E}[x^n(t)]$$

and cumulants or connected n-point functions  $\,\kappa_n(t)\,$ 

$$\kappa_n = \mu_n - \sum_{m=2}^{n-2} {n-1 \choose m-1} \kappa_m \mu_{n-m}$$

o second moment/cumulant:

(assume: first moment vanishes:  $x_0 o x_0 - \mathbb{E}_{P_0}[x_0]$  )

$$\kappa_2(t) = \mu_2(t) = \mu_2(0)f^2(t,0) + \Xi(t)$$

$$\Xi(t) = \int_0^t ds \int_0^t ds' \, f(t,s) f(t,s') g(s) g(s') \mathbb{E}_{\eta} [\eta(s) \eta(s')] = \int_0^t ds \, f^2(t,s) g^2(s)$$

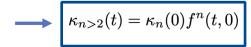
higher-order moment and cumulants:

$$\kappa_3(t) = \mu_3(t) = \kappa_3(0) f^3(t, 0) 
\mu_4(t) = \mu_4(0) f^4(t, 0) + 6\mu_2(0) f^2(t, 0) \Xi(t) + 3\Xi^2(t) 
\kappa_4(t) = \mu_4(t) - 3\mu_2^2(t) 
\kappa_4(t) = \left[\mu_4(0) - 3\mu_2^2(0)\right] f^4(t, 0) = \kappa_4(0) f^4(t, 0)$$

variance-expanding scheme: no drift

$$f(t,0) = 1$$

higher cumulants conserved!



in variance-expanding scheme ( f(t,0)=1 , no drift): distribution at end of forward process as correlated as target distribution

# Generating functions – simple structures



proof to all orders: generating functionals  $Z[J] = \mathbb{E}[e^{J(t)x(t)}]$ 

$$W[J] = \log Z[J]$$

average over both noise and target distribution

$$Z_{\eta}[J] = \mathbb{E}_{\eta}[e^{J(t)x(t)}] = \frac{\int D\eta \, e^{-\frac{1}{2} \int_0^t ds \, \eta^2(s) + J(t) \left[ x_0 f(t,0) + \int_0^t ds \, f(t,s) g(s) \eta(s) \right]}}{\int D\eta \, e^{-\frac{1}{2} \int_0^t ds \, \eta^2(s)}}$$

noise average:

$$Z_n[J] = e^{J(t)x_0f(t,0) + \frac{1}{2}J^2(t)\Xi(t)}$$

$$Z[J] = \mathbb{E}[e^{J(t)x(t)}] = e^{\frac{1}{2}J^2(t)\Xi(t)} \int dx_0 P_0(x_0)e^{J(t)x_0f(t,0)}$$

total average: 
$$Z[J] = \mathbb{E}[e^{J(t)x(t)}] = e^{\frac{1}{2}J^2(t)\Xi(t)} \int dx_0 \, P_0(x_0) e^{J(t)x_0f(t,0)}$$
 cumulants:  $W[J] = \log Z[J] = \frac{1}{2}J^2(t)\Xi(t) + \log \int dx_0 \, P_0(x_0) e^{J(t)x_0f(t,0)}$ 

o 2<sup>nd</sup> cumulant:

$$\kappa_2(t) = \frac{d^2W[J]}{dJ(t)^2} \Big|_{J=0} = \Xi(t) + \mathbb{E}_{P_0}[x_0^2] f^2(t,0) \qquad \qquad \text{G. Aarts, D. E.H, L. W, and K. Zhou,} \\ \qquad \qquad \qquad \text{Mach. Learn.: Sci. Tecnol. 6(2025)} d^2(t,0) \qquad \qquad \qquad \text{Mach. Learn.: Sci. Tecnol. 6(2025)} d^2(t,0) = \frac{d^2W[J]}{dJ(t)^2} \Big|_{J=0} = \frac{d^2W[J]}{dJ(t)^2$$

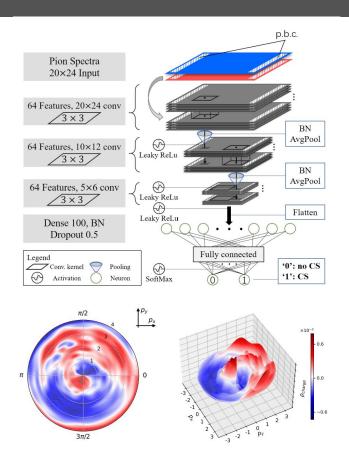
Mach. Learn.: Sci. Tecnol. 6(2025)025004

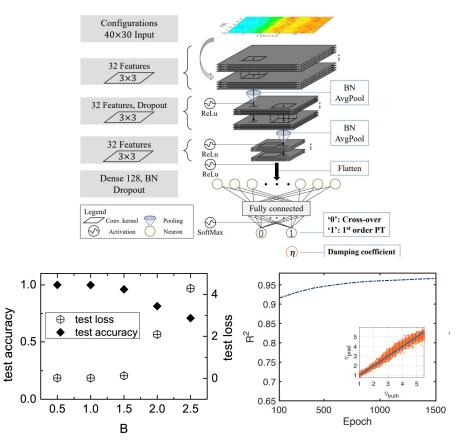
higher-order

$$\kappa_{n>2}(t) = \frac{d^n W[J]}{dJ(t)^n} \Big|_{J=0} = \frac{d^n}{dJ(t)^n} \log \mathbb{E}_{P_0}[e^{J(t)x_0 f(t,0)}] \Big|_{J=0} = \kappa_n(0) f^n(t,0)$$

## CNN to detect CME, and regress stochastic dynamics in HICs





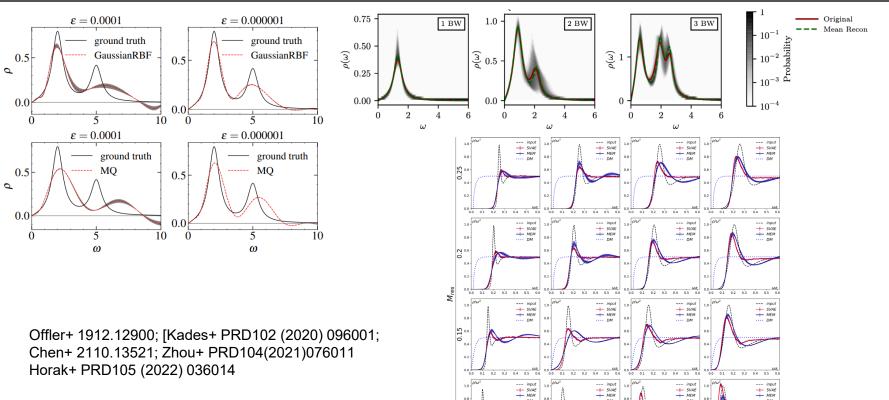


L. Jiang, L. Wang, K. Zhou, *PRD 103, 116023* 

Y. Zhao, L. Wang, K. Zhou, X. Huang, PRC 106, L051901(Letter)



### Spectral function reconstruction from Euclidean correlator

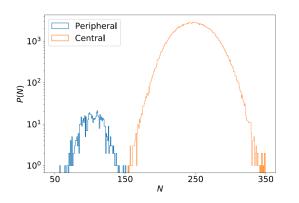


0.09

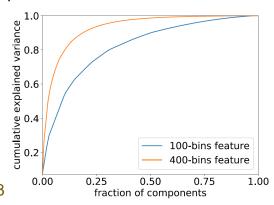
### Outlier Detection for HIC

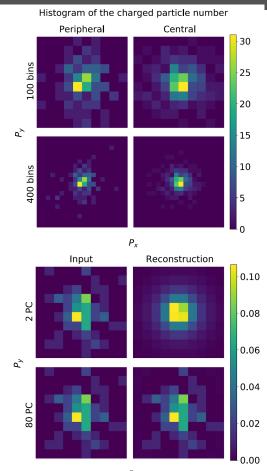


• Use **centrality misclassification** as example



PCA to reduce dim while keep some reconstruction →







# A series (Flow) of invertible/bijective transformations for p(z)

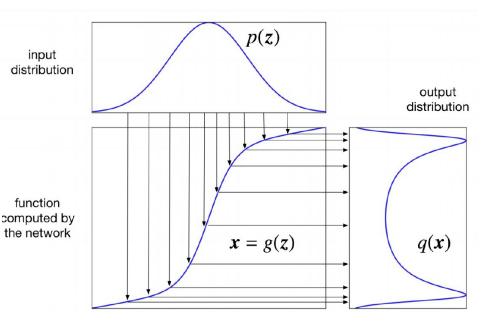
**Normalizing:** keep the probability to be normalized →

Change of variable theorem

$$z \sim p(z)$$

$$x = g(z)$$

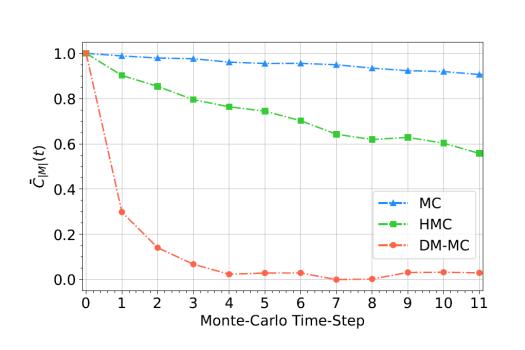
$$q(x) = p(z) \left| \frac{dz}{dx} \right|$$
$$= p(g^{-1}(x)) \left| \frac{dg^{-1}(x)}{dx} \right|$$

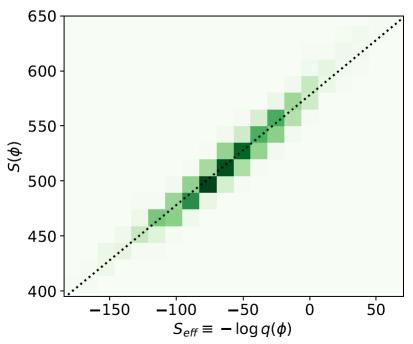


## Autocorrelation time and finally captured effective Action









$$C_O(t) = \langle (O_{t_0} - \langle O_{t_0} \rangle)(O_{t_0+t} - \langle O_{t_0+t} \rangle) \rangle = \langle O_{t_0} O_{t_0+t} \rangle - \langle O_{t_0} \rangle \langle O_{t_0+t} \rangle$$

## A collision event output



- UrQMD outputs a list of final state hadrons along their momentum info
- Pointclouds: ideal representation

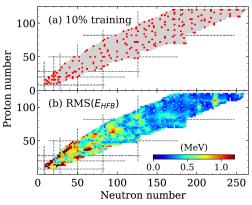
- Consider Au-Au 10 AGeV, impact parameter b=1 fm
  - An event= 1084 X 32
  - Empty rows=0,0,0,0,...
  - $\circ$  p<sub>x</sub>, p<sub>y</sub>, p<sub>z</sub>, One hot encoded PID
  - o 26 hadron species, spectator nucleons, empty particles

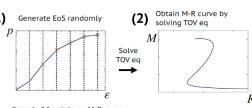
$$egin{aligned} \mathbf{X}^{(0)} &= \{\mathbf{x}_i^{(0)}\}_{i=1}^{1084} \ \mathbf{x}_i^{(0)} &= \{\mathbf{p}_i^{(0)}, \mathrm{ID}_i^{(0)}\}, \ \mathbf{p}_i^{(0)} &= (p_{x_i}^{(0)}, p_{y_i}^{(0)}, p_{z_i}^{(0)}) \end{aligned}$$

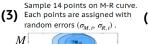
# Regressive and Generative AI for High Energy Nuclear Physics

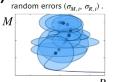


- Nuclear properties prediction
  - Dripline locations, atomic masses, separation energies, superheavy nuclei location...
  - ➤ ANN application since 1992, later to beta-decay etc., → BNN
  - Different ML methods, SVM, Gradient boost, BDT,...
- Interpo-/extrapolation of nuclear data, augment nuclear model
  - Nuclear masses, nuclear charge radii, alpha-decay rate,
  - Fission yield constrain, fusion cross-section estimation, isotopic cross-section prediction
  - Within nuclear DFT, Energy density functional (EDF) need to be adjusted to exp data with ML
- Nuclear matter equation of state and Neutron Star properties
  - Inverse problems in heavy ion collisions and EoS extraction
  - Experimental global analysis, for QGP properties and PDF
  - Neutron Star analysis with Bayesian, DNN, Auto-diff...
  - > Fast Simulation (Emulator) for HICs
- In lattice QCD
  - ➤ Inverse problem: spectral function or interaction or PDF reconstruction
  - Bayesian inference and DNN, and also auto-diff
  - Configurations Generation via Generative models

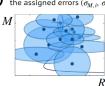








Shift the points withi the assigned errors (a

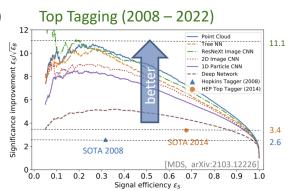


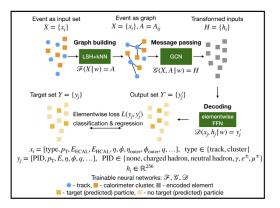
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### (particle phy) Supervised Learning – Regressive Tasks



- Jet Tagging, PID, <u>BDT, CNN, GNN, PCN (CMS-DeepJet, ATLAS)</u>
  - B-tagging (identifying jets originating from b-quarks)
  - Tau-jet : Lepton/photon vs. hadron separation
  - Heavy-flavor jets (specific particle decays)
  - Pion, kaon, proton identification, medium-like/vacuum-like jets
- Reconstruction GNN, CNN, Self-Supervised (ML4ParticleFlow)
  - Convert raw detector signals to physical variables (4 mom, vertex)
  - Calibrating reconstructed energies in calorimeter
  - Correcting measured momenta from tracking detectors
- Real-Time Trigger / Filtering system CNN, RL, Q-learning
  - ➤ Ultra-low latency classification of collision event / signals
  - Implementing ML inference on specialized hardware (FPGAs)
  - Online distillation reducing raw data flow from Terabytes/second to manageable levels
- Inference cINN, flows
  - Learn param of theory from high-d exp data simulation based inference
  - Inverse problem solving

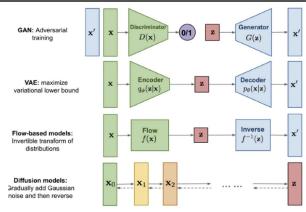




### (particle phy) Unsupervised Learning – Generative or Detection tasks



- Simulation GAN, VAE, flow, Diffusion (CaloGAN)
  - Fast simulation of collision events and detector responses.
  - Use classical simulation or collider data as input, train surrogate
  - 3D voxel image or Point Cloud
  - Replace time-consuming full GEANT4 simulations to accelerate experimental analyses.
- Unfolding GNN, CNN, Self-Supervised (ML4ParticleFlow)
  - Recover theoretical-level physical distributions (e.g., transverse momentum) from detector-level data.
  - Calibrating reconstructed energies in calorimeter
  - Correct for detector effects such as resolution and efficiency.
  - NN based direct unfolding, or Generative based probabilistic unfolding
- Anomaly Detection Classifier, PCA, AutoEncoder,
  - Detect physics phenomena beyond standard models.
  - Search for rare events, such as dark matter signals or new resonances.



#### **Fast Calorimeter Simulation Challenge 2022**

View on GitHub

Welcome to the home of the first-ever Fast Calorimeter Simulation Challenge

The purpose of this challenge is to spur the development and benchmarking of fast and high-fidelity calorimeter shower generation using deep learning methods. Currently, generating calorimeter showers of interacting particles (electrons, photons, pions, ...) using GEANT4 is a major computational bottleneck at the LHC, and it is forecast to overwhelm the computing budget of the LHC experiments in the near future. Therefore there is an urgent need to develop GEANT4 emulators that are both fast (computationally lightweight) and accurate. The LHC collaborations have been developing fast simulation methods for some time, and the hope of this challenge is to directly compare new deep learning approaches on common benchmarks. It is expected that participants will make use of cutting-edge techniques in generative modeling with deep learning, e.g. GANs, VAEs and normalizing flows.

This challenge is modeled after two previous, highly successful data challenges in HEP – the top tagging community challenge and the LHC Olympics 2020 anomaly detection challenge.