

Microscopic study of halo nuclei through (p,t) reactions

Pierre Descouvemont

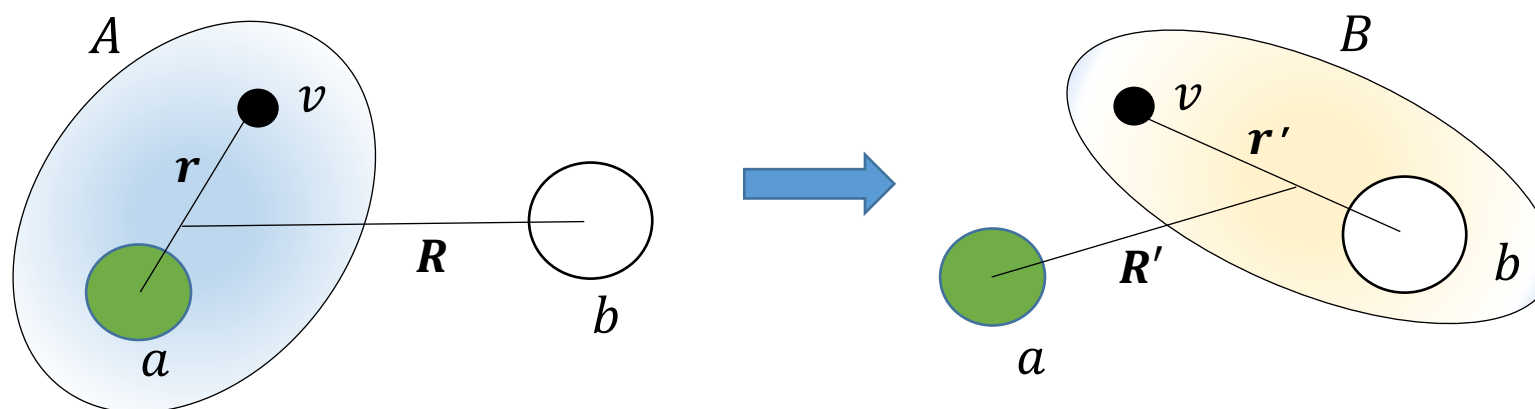
*Université Libre de Bruxelles,
Belgium*

1. Introduction
2. Transfer cross sections
3. The Resonating Group Method (RGM)
Overlap integrals for:
 - One-nucleon transfer: (d,p), (d,n): **simpler**
 - Two-nucleon transfer: (p,t)
4. Application to ${}^6\text{He}(p,t)\alpha$
5. Application to ${}^{11}\text{Li}(p,t){}^9\text{Li}$ (+ core excitations)
6. Conclusion

Ref.: P.D., Phys. Lett. B 862, 139356 (2025).

Transfer reactions are important in nuclear physics:

- **(d,p) reactions** used for a long time: provide information on the residual nucleus (spin, parity)
 $A(d,p)B$ with $B=A+n$: the cross section is very sensitive to L between A and n



- In nuclear astrophysics:
 - Direct measurements, examples: $^{13}\text{C}(\alpha,n)^{16}\text{O}$, $^{15}\text{N}(p,\alpha)^{16}\text{O}$, $^{18}\text{F}(p,\alpha)^{15}\text{O}$, etc.
 - **indirect tool** to probe the nucleus near the threshold
 Example: $^{12}\text{C}(^7\text{Li},t)^{16}\text{O}$ provides spectroscopic properties of ^{16}O levels

Two main topics

1. Microscopic models

- Reactions (elastic, capture, transfer, etc.) at low energies
- Spectroscopy of light nuclei

2. Transfer reactions

- Important in nuclear astrophysics
- Indirect method for spectroscopy
- DWBA approximation

Can we combine both?

- One-particle transfer:

Ref.: P.D., Eur. J. Phys. A58 (2022) 193

Ex: $^{16}\text{C}(\text{d},\text{p})^{17}\text{C}$

- **Two-particle transfer**

More complicated

Ex: $^6\text{He}(\text{p},\text{t})^4\text{He}$, $^{11}\text{Li}(\text{p},\text{t})^9\text{Li}$

Common input: overlap integrals

- One neutron: $^{17}\text{C} = ^{16}\text{C} + \text{n}$ (one coordinate)

- **Two neutrons:** $^6\text{He} = ^4\text{He} + \text{n} + \text{n}$
 $^{11}\text{Li} = ^9\text{Li} + \text{n} + \text{n}$

(two coordinates)

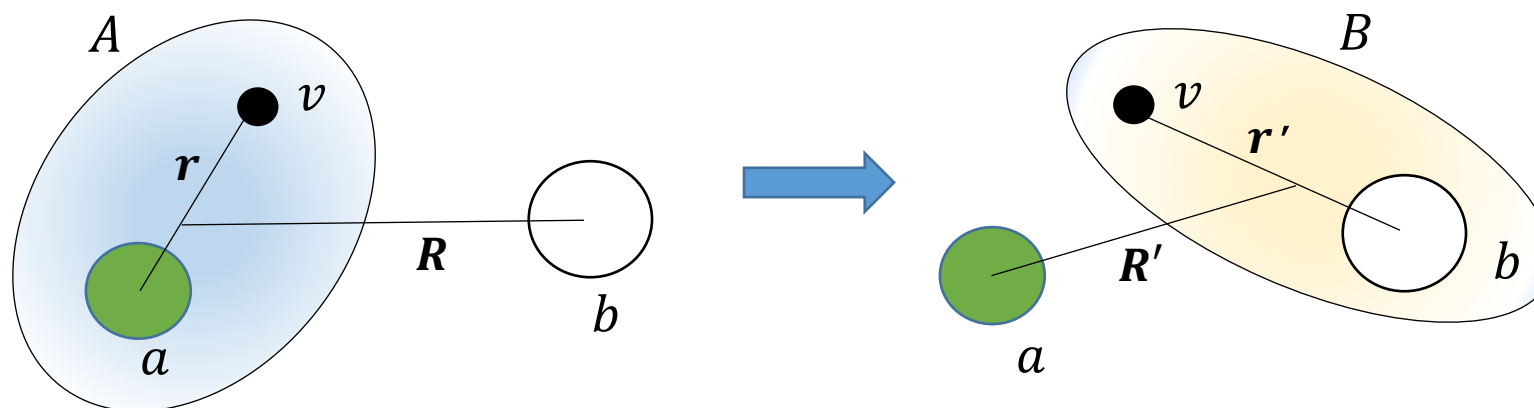


2. Transfer cross sections

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Transfer reactions are **difficult**:

- Two-body projectile : at least a 3-body model (+coupled-channel problem)



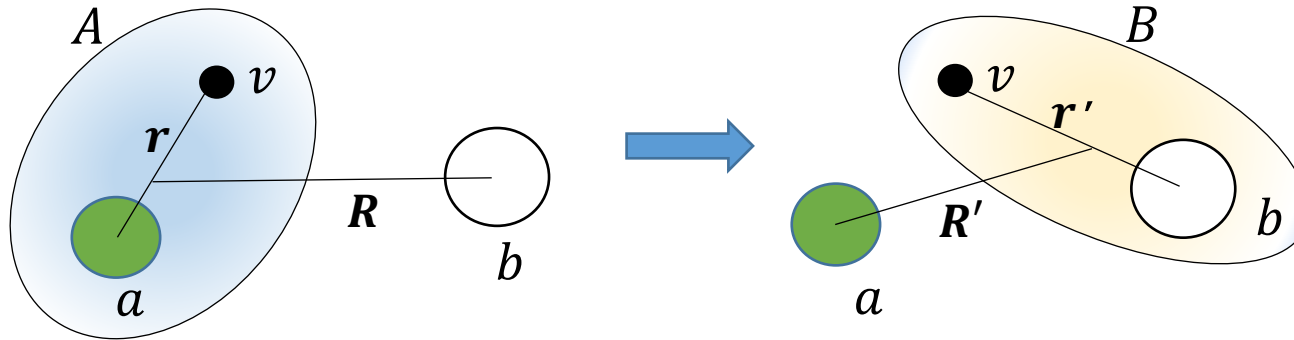
- Interactions are different in the entrance and exit channels
 - 4 coordinates (r, r', R, R') but only 2 are independent $\rightarrow r$ and r' expressed as a function of R and R'
 - Needs many inputs
 - optical potentials for $b+A$ and $a+B$
 - Bound-state potentials ($\rightarrow$ overlap integrals) for $A=a+v$ and $B=b+v$
 - Spectroscopic factors for A and B
 - The theoretical formalism is well established
- G. R. Satchler, Direct Nuclear Reactions, Oxford (1983) (+many others).

Theory not “simple” but does not require long computer times (several public codes)

2. Transfer cross sections

Transfer cross section

- Initial state $i = A + b$
- Final state $f = a + B$



Integrated cross section

$$\sigma_{if}(E) = \frac{\pi}{k^2} \sum_{J\pi} \frac{2J+1}{(2I_1+1)(2I_2+1)} |U_{if}^{J\pi}(E)|^2$$

- Definition common to all models
- Differential cross section

$\frac{d\sigma_{if}}{d\Omega}$: more complicated [also involves **the scattering matrices $U_{if}^{J\pi}(E)$**]

scattering matrices $U_{if}^{J\pi}(E)$ (spin neglected)

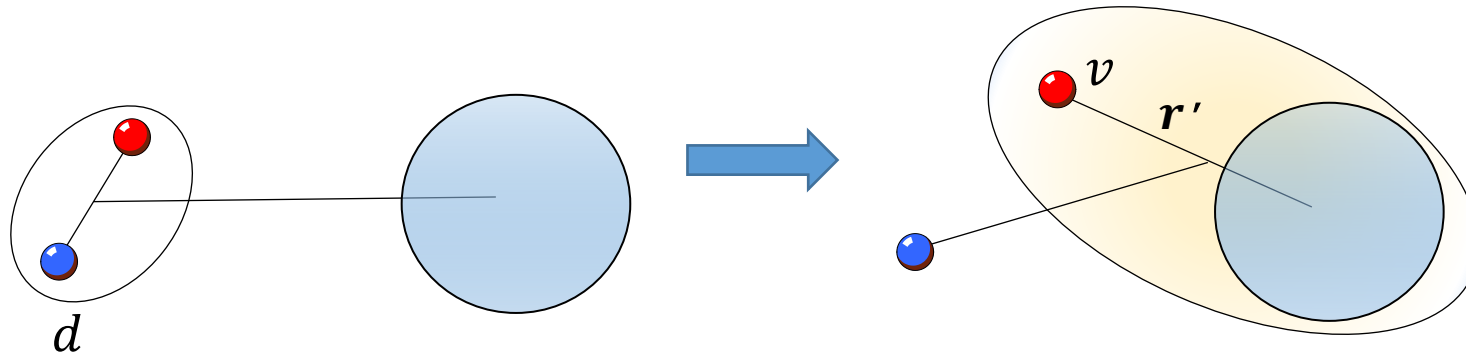
$$U^{J\pi} = \begin{pmatrix} U_{ii} & U_{if} \\ U_{fi} & U_{ff} \end{pmatrix}$$

elastic scattering $i \rightarrow i$
transfer $i \rightarrow f$
elastic scattering $f \rightarrow f$

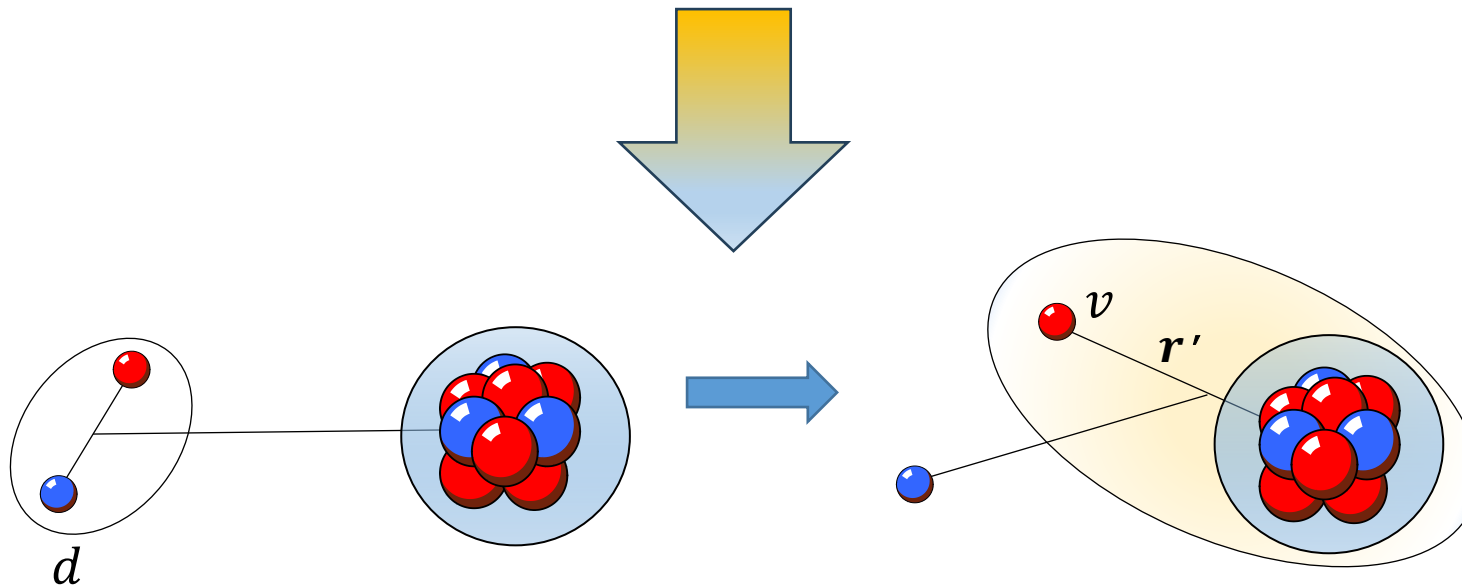
➔ The calculation of the **scattering matrices** is the main issue in scattering problems

2. Transfer cross sections

Various approaches One-particle transfer



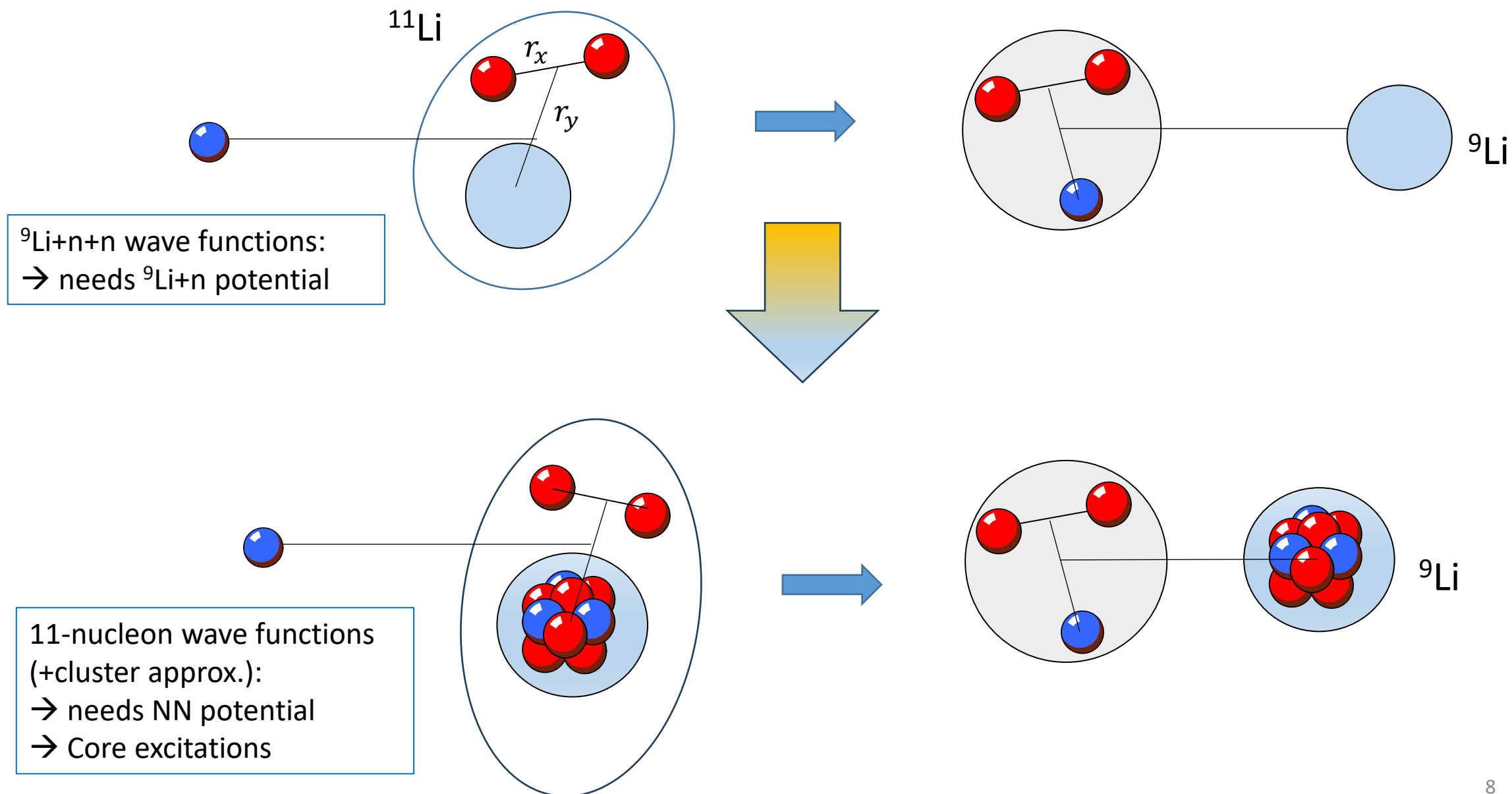
- Standard DWBA method
- The structure of a, b, v is neglected



- Microscopic wave functions of the residual nucleus are needed
- RGM method (based on NN interaction)

2. Transfer cross sections

Two-particle transfer: much more complicated!



2. Transfer cross sections

Cross section computed from the scattering matrices (general formula at the **DWBA approximation**)

$$U_{if} = -\frac{i}{\hbar} \iint \chi_i(R) K_{i,f}(R, R') \chi_f(R') dR dR'$$

scattering wave functions in the
entrance channel: optical potential

Scattering wave functions in **the exit channel**: optical potential

transfer kernel: computed from the BS wave functions
and the potentials

- One-nucleon transfer: $b=1$, $B=A+1$:

$$K_{i,f}(R, R') = \phi_d(r) \times \Delta V(R, r) \times I(r')$$

- with $I(r') = \langle \phi_A | \phi_{A+1} \rangle$ = **overlap integral** (reduced width amplitude)
- ΔV = transition potential (p+n potential in (d,p) reactions, α +t potential in (^7Li ,t) reactions)

- Two-nucleon transfer: Similar form with $I(r', s') = \langle \phi_A | \phi_{A+2} \rangle$

→ Main problem: to determine the overlap integrals $I(r)$ or $I(r', s')$

→ Spectroscopic factor $S = \int |I(r)|^2 dr$ or $S = \int |I(r, s)|^2 dr ds$

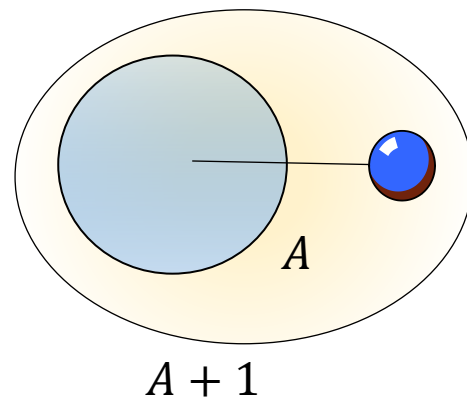
Standard DWBA: the target A has no structure

$$\phi_{A+1} = \phi_A u(r)$$

With $u(s)$ determined from $\left[-\frac{\hbar^2}{2\mu} \left(\frac{d^2}{dr^2} - \frac{l(l+1)}{r^2} \right) + V_f(r) \right] u_f(r) = E_f u_f(r)$, $V_f(r) = A + n$ potential with energy E_f

$$\rightarrow I(r) = \langle \phi_{A+1} | \phi_A \rangle = u_f(r)$$

$\rightarrow$ A spectroscopic factor is needed: $I(r) = \sqrt{S_f} u_f(r)$
(additional parameter)



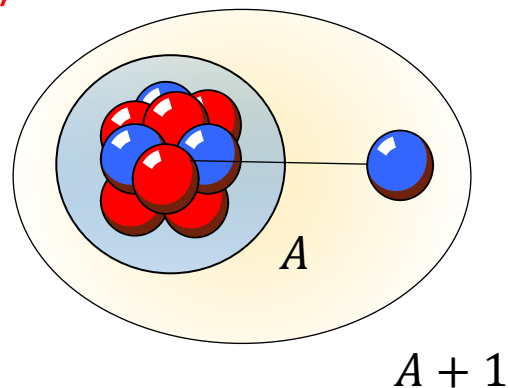
Present method: Resonating Group Method (RGM)

The nucleon structure is included

$$\phi_{A+1} = \mathcal{A} \phi_A \phi_n u(r)$$

$$\rightarrow I(r) = \langle \phi_{A+1} | \phi_A \rangle \neq u(r)$$

Spectroscopic factor: $S = \int |I(r)|^2 dr$ is $\neq 1$
=output of the calculation (not fitted)





3. The Resonating Group Method (RGM)

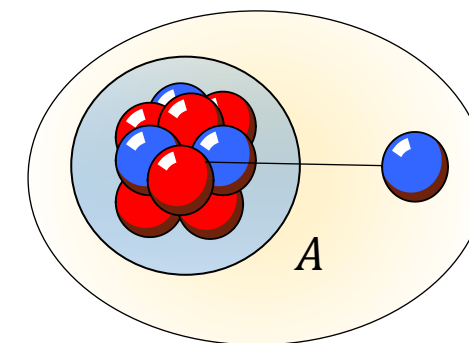
Microscopic cluster model

Hamiltonian: $H = \sum_i T_i + \sum_{i<j} V_{ij}$

T_i = kinetic energy of nucleon i

V_{ij} = nucleon-nucleon **effective** interaction (Minnesota, Volkov)

Total wave function: $\phi_{A+1} = \mathcal{A} \phi_A \phi_n u(r)$ (= **Resonating Group Method** definition – RGM)



$A + 1$

Overlap integral in the RGM

$I(r) = \langle \phi_A | \phi_{A+1} \rangle$ = integral over the A internal coordinates
difficult owing to the antisymmetrization

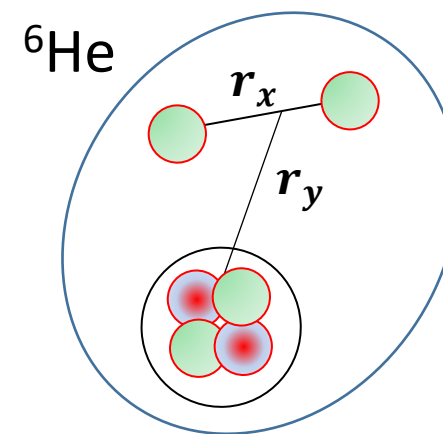
$I(r) = \mathcal{N}u(r) = \int \mathcal{N}(r, r') u(r') dr'$ with $\mathcal{N}(r, r')$ = overlap kernel

Technique proposed by K. Varga and R. G. Lovas, Phys. Rev. C **37**, 2906 (1988)

Can be generalized to 3-cluster overlap integrals $I(r, s) = \langle \phi_A | \phi_{A+2} \rangle$

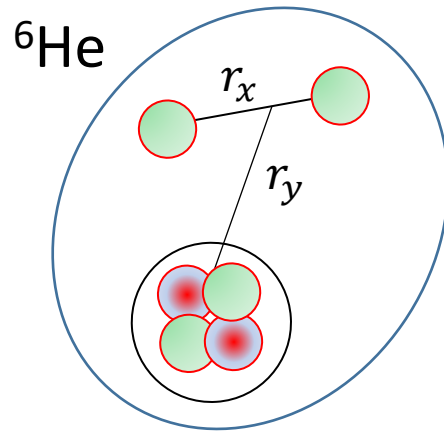
Two-neutron transfer reaction

- 3-cluster RGM approximation : $\Psi_A^{j\pi} = \mathcal{A} \varphi_{A-2} \varphi_n \varphi_n g^{j\pi}(\mathbf{r}_x, \mathbf{r}_y)$
- Use of hyperspherical coordinates
- RGM ${}^6\text{He}$: S. Korennov and P.D., Nucl. Phys. A740 (2004) 249
- RGM ${}^{11}\text{Li}$: P. D., Phys. Rev. C99 (2019), 064308
- Calculation of the 3-cluster overlap integrals [P. D., Phys. Rev. C 107, 014312 (2023)]
 - From $\Psi_A^{j\pi}$: $\rightarrow I_A(\mathbf{r}_x, \mathbf{r}_y) = \langle \varphi_{A-2} | \Psi_A^{j\pi} \rangle$
 - For ${}^{11}\text{Li}$: calculation of $\langle \varphi_{9\text{Li}} | \Psi_{11}^{j\pi} \rangle$ and $\langle \varphi_{9\text{Li}^*} | \Psi_{11}^{j\pi} \rangle \rightarrow$ acces to ${}^{11}\text{Li}(p,t){}^9\text{Li}^*$



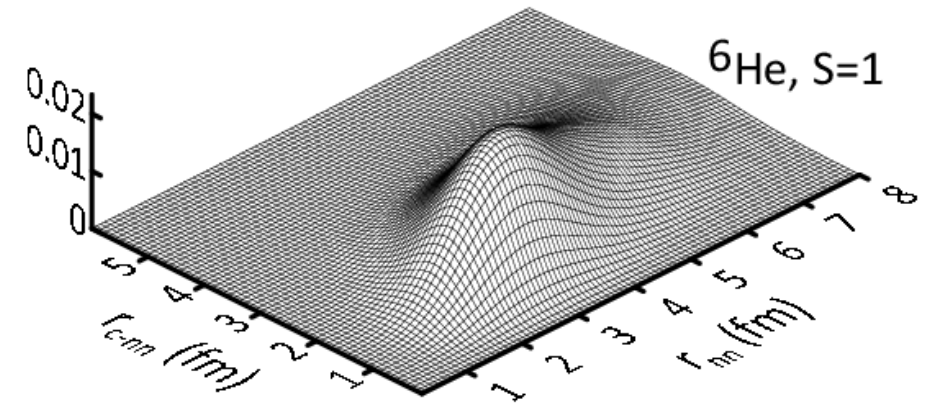
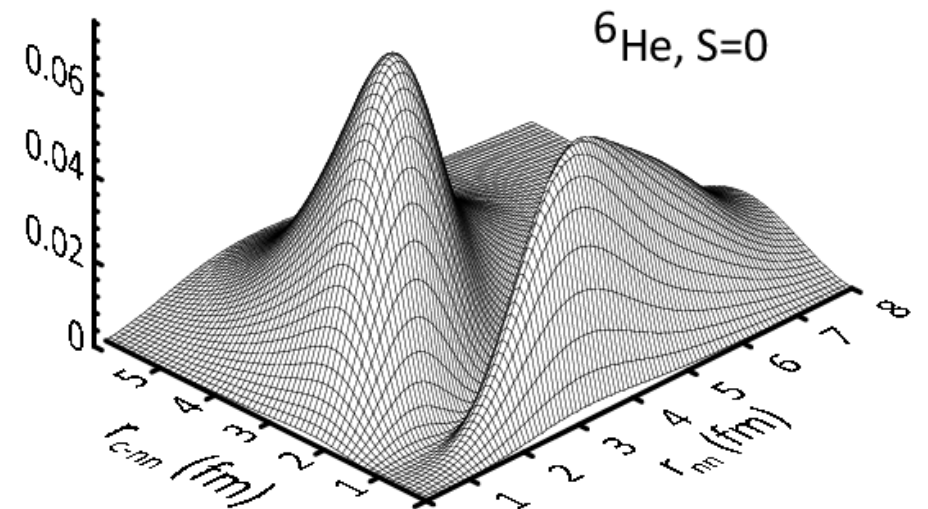
4. Application to ${}^6\text{He}(p,t)\alpha$

Description of ${}^6\text{He}$



- NN interaction: Minnesota + spin-orbit
- Admixture parameter adjusted to reproduce $S_{2n}=0.97$ MeV:
 $u=1.0047$
- 2 coordinates $P_c(r_x, r_y) = \int |I_c(\mathbf{r}_x, \mathbf{r}_y)|^2 d\Omega_x d\Omega_y$
- Spectroscopic factor $S = \int P_c(r_x, r_y) r_x^2 r_y^2 dr_x dr_y$
 $S=1.41$ (good agreement with the literature)

${}^6\text{He}$ overlap integral



4. Application to ${}^6\text{He}(p,t)\alpha$

Scattering matrix for a given $J\pi$

$$U_{if} = -\frac{i}{\hbar} \iint \chi_i(R) \mathbf{K}_{i,f}(R, R') \chi_f(R') dR dR'$$

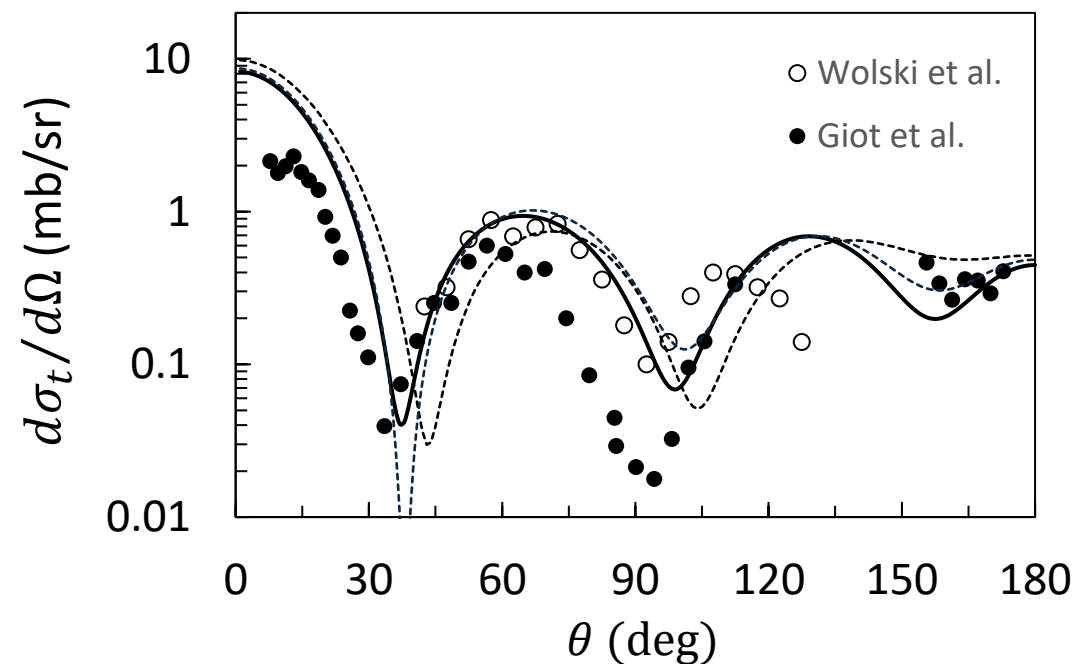
- Entrance channel ${}^6\text{He}+p$: $\chi_i(R)$
2 choices for the optical potential
 1. Koning-Delaroche compilation *Nucl. Phys. A*, **713**, 231 : solid lines
 2. Two potentials fitted on elastic scattering (Wolski et al.): dashed lines
- Exit channel $\alpha+t$: $\chi_f(R')$
Potential from Giot et al., *Phys. Rev. C* **71**, 064311 (2005).
- Transfer kernel $\mathbf{K}_{i,f}(R, R')$
Computed from the overlap integrals and the potentials (see PRC104 (2021) 024613)

${}^6\text{He}(p,t)\alpha$ cross section

Data from

- R. Wolski et al., *Phys. Lett. B* **467**, 8 (1999).
- L. Giot et al., *Phys. Rev. C* **71**, 064311 (2005).

$E_{\text{lab}}=150$ MeV, $E_{\text{cm}}=21.4$ MeV



→ Weak influence of the ${}^6\text{He}+p$ potential

5. Application to $^{11}\text{Li}(\text{p},\text{t})^9\text{Li}$

5. Application to $^{11}\text{Li}(\text{p,t})^9\text{Li}$

Minnesota NN interaction adjusted to S_{2n}

^9Li core : 6 neutrons, 3 protons :not a closed shell
15x6=90 Slater determinants

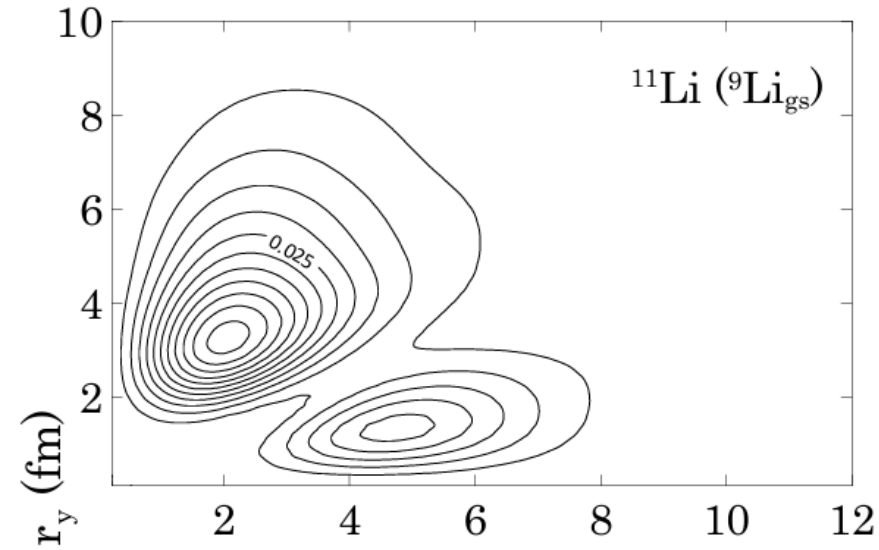
$$^6\text{He}: \Psi_6^{j\pi} = \mathcal{A} \varphi_\alpha \varphi_n \varphi_n g^{j\pi}(\mathbf{r}_x, \mathbf{r}_y)$$



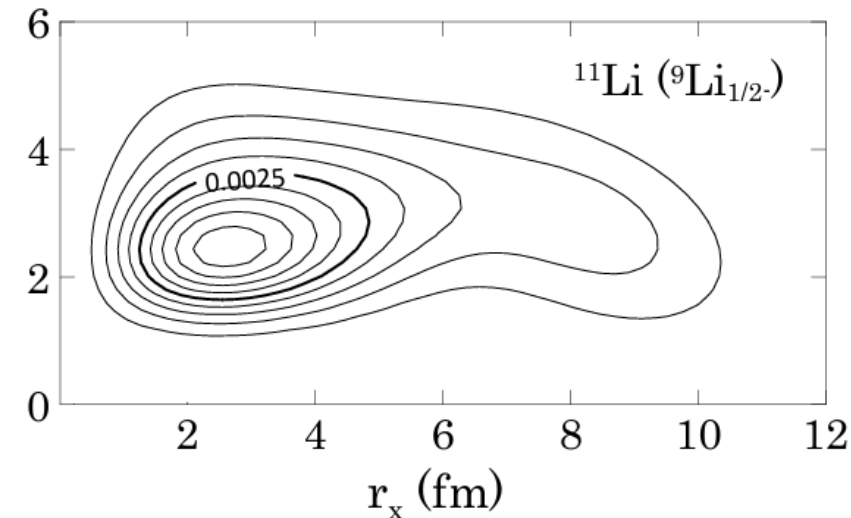
$$^{11}\text{Li}: \Psi_{11}^{j\pi} = \sum_c \mathcal{A} \varphi_{^9\text{Li}}^c \varphi_n \varphi_n g_c^{j\pi}(\mathbf{r}_x, \mathbf{r}_y)$$

With $\varphi_{^9\text{Li}}^c$ =shell-model ^9Li wave functions
(combinations of 90 Slater determinants)
 $c=3/2^-$ gs, $1/2^-$ excited state + others (Pseudostates)

^9Li core	Spectroscopic factor
$3/2^-$	0.78 ($S_{12} = 0$) 0.13 ($S_{12} = 1$)
$1/2^-$	0.054 ($S_{12} = 0$), 0.050 ($S_{12} = 1$)



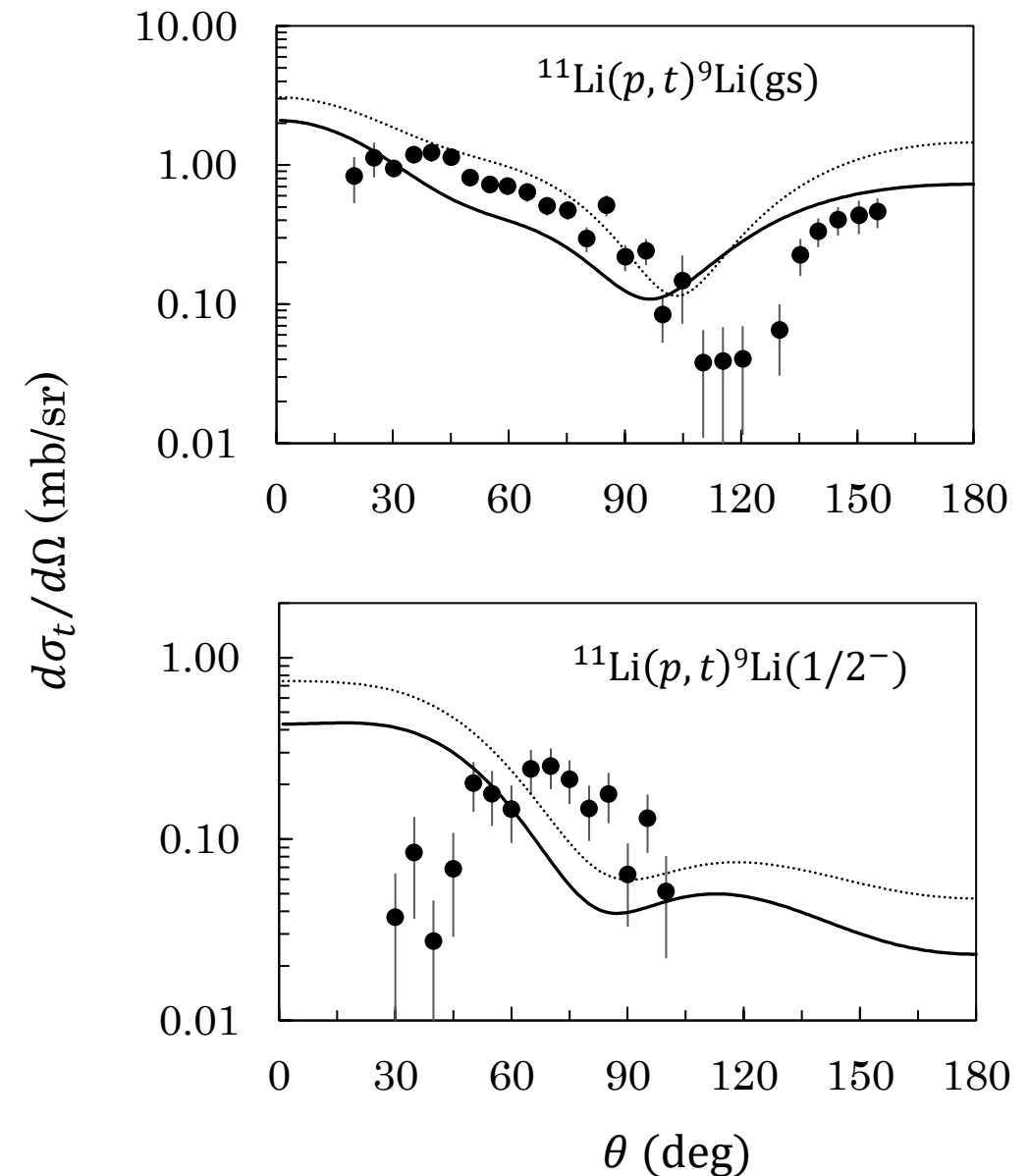
→ $^{11}\text{Li}(\text{p,t})^9\text{Li}(\text{gs})$



→ $^{11}\text{Li}(\text{p,t})^9\text{Li}(1/2^-)$

5. Application to $^{11}\text{Li}(p,t)^9\text{Li}$

- Data from I. Tanihata et al, Phys. Rev. Lett. **100**, 192502 (2008):
 ^9Li ground state and 1st excited state
- $E_{\text{lab}}=33\text{ MeV} \rightarrow E_{\text{cm}}=2.75\text{ MeV}$
- $^{11}\text{Li}+p$ optical potential unknown at 2.75 MeV $\rightarrow$ 2 potentials
 - Equivalent potential from a CDCC 4-body calculation [P. D., Phys. Rev. C **101**, 64611 (2020)]
 - Koning-Delaroche global potential (KD03)
- $^9\text{Li}+t$: global potential of Y. Pang et al., Phys. Rev. C **79**, 24615 (2009).

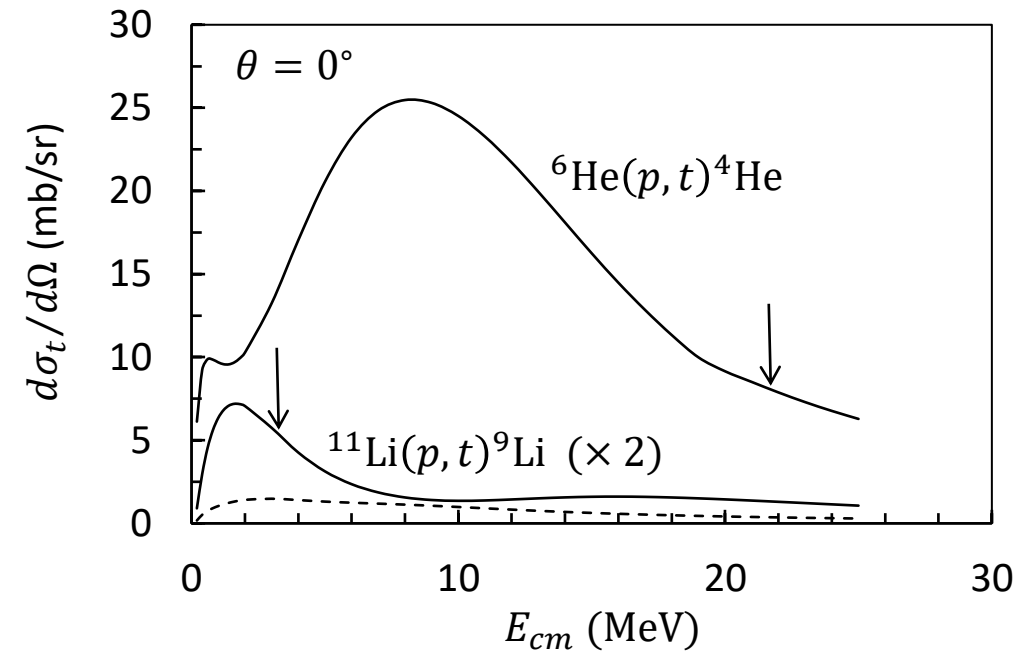


Energy dependence of the cross section

- Data are available at a single energy
- Calculations at several energies ($\theta = 0^\circ$)
- $^{11}\text{Li}(p,t)^9\text{Li}$: 2.75 MeV is near the predicted maximum
- $^6\text{He}(p,t)^4\text{He}$: 22 MeV is far from the maximum

→ Needs for theory

- Other energies
- Simultaneous measurement of transfer and elastic scattering
- Other nuclei? ^{14}Be seems to be a good candidate





6. Conclusion

- Overlap integrals are simple to obtain in cluster models (very difficult in ab initio models)
- No need of spectroscopic factors, no need of (bound-state) potentials
- Core excitations are easily included
- Extended to three-cluster systems:
 - ${}^6\text{He}(p,t)\alpha$
 - ${}^{11}\text{Li}(p,t){}^9\text{Li}$ with microscopic ${}^{11}\text{Li}$ wave functions (${}^9\text{Li}$ core more difficult than α)
- Reasonable agreement with experiment (no parameter)
- Elastic scattering data are important (preferably at the same energy)!
 - Excellent tests for theory
 - Determination of optical potentials (if necessary)